

04-26-2001

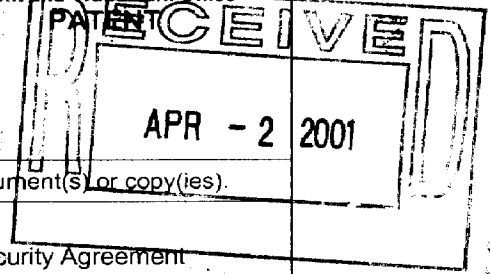
Docket No.: EMCORE 3:0-036

Form PTO-1619A
Expires 06/30/99
OMB 0651-0027



101687701
RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office



TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

4-2-01

- New
- Resubmission (Non-Recordation)
Document ID# _____
- Correction of PTO Error
Reel # _____ Frame # _____
- Corrective Document
Reel # _____ Frame # _____

Conveyance Type

- Assignment Security Agreement
- License Change of Name
- Merger Other _____

U.S. Government

- (For Use ONLY by U.S. Government Agencies)
- Departmental File Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Brown, Michael G.

Execution Date
Month Day Year
03 05 2001

Name (line 2) _____

Second Party

Name (line 1) Eliashevich, Ivan

Execution Date
Month Day Year
02 06 2001

Name (line 2) _____

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Emcore Corporation

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) _____

Address (line 1) 145 Belmont Drive

Address (line 2) _____

Address (line 3) Somerset
City

NJ
State/Country

08873 -
Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

Address (line 4) _____

40E

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Mail documents to be recorded with required cover sheet(s) information to:
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Form PTO-1619B
Expires 06/30/99
OMB 0651-0027

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Correspondent Name and Address **Area Code and Telephone Number** (908) 518-6450

Name Marcus J. Millet

Address (line 1) LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

Address (line 2) 600 South Avenue West

Address (line 3) Westfield, New Jersey 07090

Address (line 4) _____

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 6

Application Number(s) or Patent Number(s) Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
09692953	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned

PCT PCT PCT

PCT PCT PCT

Number of Properties Enter the total number of properties involved. # 1

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment: Enclosed Deposit Account

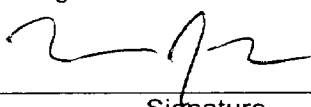
Deposit Account
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 12-1095

Authorization to charge additional fees: Yes No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

_____  _____

Marcus J. Millet Signature March 29, 2001

Name of Person Signing Date

Form PTO-1619C
Expires 06/30/99
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**RECORDATION FORM COVER SHEET
CONTINUATION
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U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Party(ies)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Name (line 1)

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Patent Application Number(s)

Patent Number(s)

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ASSIGNMENT

WHEREAS, MICHAEL G. BROWN, a citizen of the United States, residing at 2928 Winding Trail Drive, Valerico, Florida 33594; IVAN ELIASHEVICH, a citizen of the United States, residing at 185 South Orange Avenue, #10, South Orange, New Jersey 07079; and LOUIS A. KOSZI, a citizen of the United States, residing at 8115 Lark Street, Fogelsville, Pennsylvania 18051 as assignors, have invented improvements in

CONTACT CONFIGURATIONS FOR OPTOELECTRONIC DEVICES

for which U.S. Application No. 09/692,953 for Letters Patent was filed in the U.S. Patent and Trademark Office on October 20, 2000.

AND WHEREAS, Emcore Corporation, a corporation of New Jersey, having a place of business at 145 Belmont Drive, Somerset, New Jersey 08873, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Letters Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we as assignors hereby sell, assign and set over to said assignee the entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid application for Letters Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for us and our legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

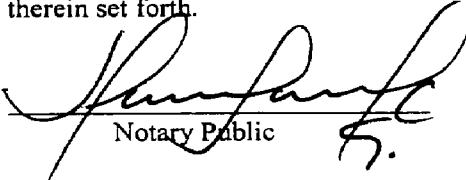
And we do hereby authorize our attorneys, to insert on this deed the filing date and application number of said application when known.


3/5/2001
Date


MICHAEL G. BROWN

STATE OF FLORIDA
COUNTY OF HILLSBOROUGH

On this 5 day of MARCH 2001, before me appeared MICHAEL G. BROWN the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.


Notary Public

 Jose Guevara
My Commission CC969812
Expires September 24, 2004

Date

IVAN ELIASHEVICH

STATE OF _____)
COUNTY OF _____)

On this ____ day of _____, 2001, before me appeared IVAN ELIASHEVICH the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public

Date

LOUIS A. KOSZI

STATE OF _____)
COUNTY OF _____)

On this ____ day of _____, 2001, before me appeared LOUIS A. KOSZI the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public

289322_1.DOC

PATENT
REEL: 011711 FRAME: 0631

ASSIGNMENT

WHEREAS, MICHAEL G. BROWN, a citizen of the United States, residing at 2928 Winding Trail Drive, Valerico, Florida 33594; IVAN ELIASHEVICH, a citizen of the United States, residing at 185 South Orange Avenue, #10, South Orange, New Jersey 07079; and LOUIS A. KOSZI, a citizen of the United States, residing at 8115 Lark Street, Fogelsville, Pennsylvania 18051 as assignors, have invented improvements in

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And we do hereby authorize our attorneys, to insert on this deed the filing date and application number of said application when known.

Date

MICHAEL G. BROWN

STATE OF _____)
COUNTY OF _____)

On this ___ day of _____, 2001, before me appeared MICHAEL G. BROWN the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public

2/6/01

Date

Ivan Eliashevich
IVAN ELIASHEVICH

STATE OF New Jersey)
COUNTY OF Somerset)

On this 6th day of February 2001, before me appeared IVAN ELIASHEVICH the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.

Felecia Patrice Beachum
Notary Public

FELECIA PATRICE BEACHUM
NOTARY PUBLIC OF NEW JERSEY
My Commission Exp. Aug. 10, 2005

Date

LOUIS A. KOSZI

STATE OF _____)
COUNTY OF _____)

On this ___ day of _____, 2001, before me appeared LOUIS A. KOSZI the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public
289322_1.DOC

ASSIGNMENT

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Date

MICHAEL G. BROWN

STATE OF _____)
COUNTY OF _____)

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Notary Public

Date

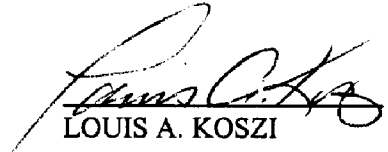
IVAN ELIASHEVICH

STATE OF _____)
COUNTY OF _____)

On this ___ day of _____, 2001, before me appeared IVAN ELIASHEVICH the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public

January 26, 2001
Date


LOUIS A. KOSZI

STATE OF New Jersey)
COUNTY OF Somerset)

On this 26 day of Jan., 2001, before me appeared LOUIS A. KOSZI the person who signed this instrument, who acknowledged that the same was executed by him of his own free will for the purposes therein set forth.


Notary Public
289322_1.DOC

FELECIA PATRICE BEACHUM
NOTARY PUBLIC OF NEW JERSEY
My Commission Exp. Aug. 10, 2005