

(Rev. 8-95)

04-26-2001

# RECORDATION FORM COVER SHEET PATENTS ONLY



101690322

Attorney DOCKET NO. 044150-2001

To the Assistant Commissioner for Patents:

Please record the attached original documents or copy thereof.

ATTN: BOX ASSIGNMENT

## 1. Name of conveying party(ies):

Christopher D. TAGGE, Robert B. WILSON Jr., Seajin OH  
Albert S. HIRSCHON, Dale W. Ploeger

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: SRI International

Internal Address:

Street Address: 333 Ravenswood Avenue

City: Menlo Park

State: California Zip: 94025-3493

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: November 16, 2000; November 17, 2000;  
January 19, 2001; and March 21, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is: November 9, 2000

A. Patent Application No.(s): 09/708,688 B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey A. Lindeman  
Internal Address: Customer No. 009629  
Morgan, Lewis & Bockius LLP

Street Address: 1800 M Street, N.W.  
City: Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. §3.41): \$40.00

☒ Enclosed  
☐ Authorized to be charged to deposit account 50-0310

8. Deposit account number: 50-0310  
Attach duplicate of page if paying by deposit account

## 9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey A. Lindeman  
Reg. No. 34,658  
Name of Person Signing

Jeffrey A. Lindeman

Signature

April 5, 2001

Date

Total number of pages including cover sheet, attachments and documents: 9

## ASSIGNMENT

WHEREAS, we, Christopher D. TAGGE, Robert B. WILSON, Jr., Seajin OH, Albert S. HIRSCHON, and Dale W. PLOEGER, have invented a new and useful improvement in "VISCOSITY AND MASS SENSOR FOR THE HIGH-THROUGHPUT SYNTHESIS, SCREENING AND CHARACTERIZATION OF COMBINATORIAL LIBRARIES" for which application papers for United States Letters Patent thereon were executed by us on November 9, 2000;

AND WHEREAS, the aforesaid patent application was filed in United States Patent and Trademark Office on November 9, 2000 and was assigned Serial No.        not yet known;

AND WHEREAS, SRI INTERNATIONAL, a California corporation with a place of business at 333 Ravenswood Avenue, Menlo Park, California 94025-3493, desires to acquire all rights to the invention in the above-named application;

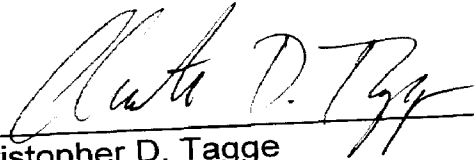
NOW, THEREFORE, for valuable consideration received, we hereby sell, assign and transfer to SRI INTERNATIONAL of Menlo Park, California, its successors and assigns, all right, title and interest in and to said invention in the United States and in all countries throughout the world, including all right, title and interest in and to the above-named application and any continuation, substitute, division or reissue application thereof, and the patent or patents which may be issued thereon in the United States, and in and to any application or patent in any or all other countries of the world, and the right to file further applications in all countries throughout the world;

AND we hereby agree that we will, upon request, execute any instrument which SRI INTERNATIONAL desires to carry this Assignment into effect and to perfect the title transferred hereby or to prosecute any of the above-mentioned United States applications or any foreign applications on this invention;

AND the Commissioner of Patents and Trademarks of the United States is hereby authorized to issue Letters Patent on said above-named application to SRI INTERNATIONAL.

IN TESTIMONY WHEREOF, we have hereunto subscribed our names.

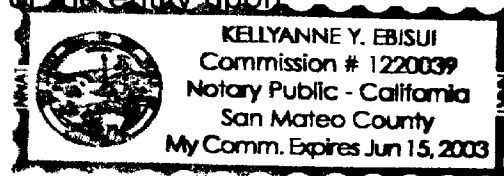
Dated: 11/17/00

  
Christopher D. Tagge

State of California )  
 ) ss.  
County of San Mateo )

On November 17<sup>th</sup>, 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Christopher D. Tagge ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui  
Notary Public

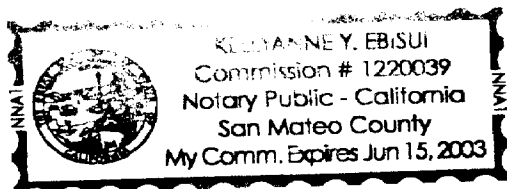
Dated: 1/19/01

Robert B. Wilson, Jr.  
Robert B. Wilson, Jr.

State of California )  
 ) ss.  
County of San Mateo )

On ~~November~~ <sup>January 19<sup>th</sup> 2001</sup>, 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Robert B. Wilson, Jr. ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui  
Notary Public

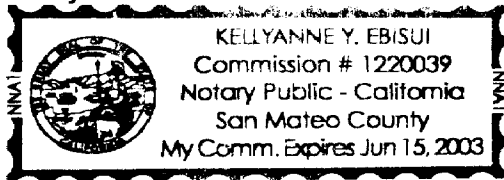
Dated: 11/16/00

Seajin Oh  
Seajin Oh

State of California )  
 ) ss.  
County of San Mateo )

On November 16<sup>th</sup>, 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Seajin Oh ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui  
Notary Public

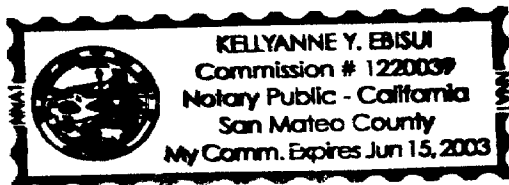
Dated: 11/17/00

Albert A. Hirschon  
Albert A. Hirschon

State of California )  
 ) ss.  
County of San Mateo )

On November 17<sup>th</sup>, 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Albert A. Hirschon ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui  
Notary Public

Dated: \_\_\_\_\_

\_\_\_\_\_  
Dale W. Ploeger

State of California            )  
  )       ss.  
County of \_\_\_\_\_)

On \_\_\_\_\_, 2001, before me, \_\_\_\_\_,  
Notary Public, personally appeared \_\_\_\_\_ Dale W. Ploeger \_\_\_\_\_ personally known to me  
(or proved to me on the basis of satisfactory evidence) to be the person whose name is  
subscribed to the within instrument, and acknowledged to me that he executed the  
same in his authorized capacity and that by his signature on the instrument the person,  
or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

## ASSIGNMENT

WHEREAS, we, Christopher D. TAGGE, Robert B. WILSON, Jr., Seajin OH, Albert S. HIRSCHON, and Dale W. PLOEGER, have invented a new and useful improvement in "VISCOSITY AND MASS SENSOR FOR THE HIGH-THROUGHPUT SYNTHESIS, SCREENING AND CHARACTERIZATION OF COMBINATORIAL LIBRARIES" for which application papers for United States Letters Patent thereon were executed by us on November 9, 2000;

AND WHEREAS, the aforesaid patent application was filed in United States Patent and Trademark Office on November 9, 2000 and was assigned Serial No. \_\_\_\_\_ not yet known;

AND WHEREAS, SRI INTERNATIONAL, a California corporation with a place of business at 333 Ravenswood Avenue, Menlo Park, California 94025-3493, desires to acquire all rights to the invention in the above-named application;

NOW, THEREFORE, for valuable consideration received, we hereby sell, assign and transfer to SRI INTERNATIONAL of Menlo Park, California, its successors and assigns, all right, title and interest in and to said invention in the United States and in all countries throughout the world, including all right, title and interest in and to the above-named application and any continuation, substitute, division or reissue application thereof, and the patent or patents which may be issued thereon in the United States, and in and to any application or patent in any or all other countries of the world, and the right to file further applications in all countries throughout the world;

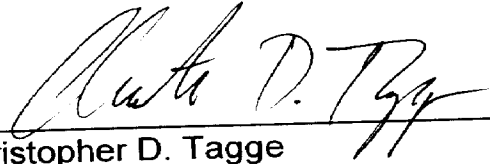
AND we hereby agree that we will, upon request, execute any instrument which SRI INTERNATIONAL desires to carry this Assignment into effect and to perfect the title transferred hereby or to prosecute any of the above-mentioned United States applications or any foreign applications on this invention;

AND the Commissioner of Patents and Trademarks of the United States is hereby authorized to issue Letters Patent on said above-named application to SRI INTERNATIONAL.

IN TESTIMONY WHEREOF, we have hereunto subscribed our names.

Dated: \_\_\_\_\_

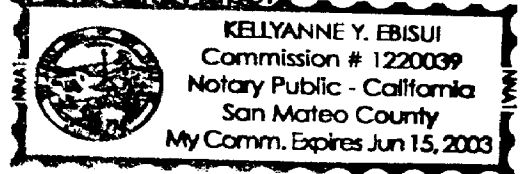
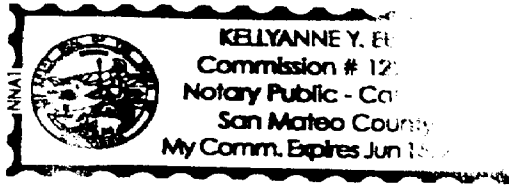
11/17/00

  
Christopher D. Tagge

State of California     )  
                                      ) ss.  
County of San Mateo    )

On November 17<sup>th</sup>, 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Christopher D. Tagge ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui  
Notary Public

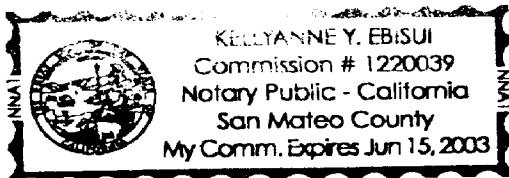
Dated: 1/19/01

Robert B. Wilson, Jr.  
Robert B. Wilson, Jr.

State of California     )  
                                      ) ss.  
County of San Mateo    )

On ~~November 17<sup>th</sup>~~ <sup>January 19<sup>th</sup> 2001</sup>, 2000, before me, Kellyanne Y. Ebisui, Notary Public, personally appeared Robert B. Wilson, Jr. ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui  
Notary Public


Seajin Oh

 KELLYANNE Y. EBISUI  
Commission # 1220039  
Notary Public - California  
San Mateo County  
My Comm. Expires Jun 15, 2003

Kellyanne U. Obion  
Notary Public

Dated: 11/17/00

Albert A. Hirschon *Albert A. Hirschon*

 **KELLYANNE Y. EBISUI**  
Commission # 1220039  
Notary Public - California  
San Mateo County  
My Comm. Expires Jun 15, 2003

Kellyanne U. Ebin  
Notary Public



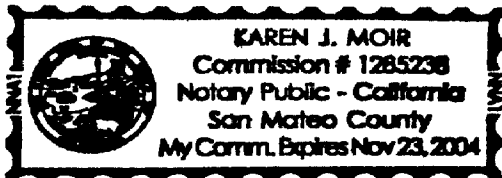
Dated: 21 MAR 01

Dale W. Ploeger  
Dale W. Ploeger

State of California            )  
  )    ss.  
County of San Mateo        )

On march 21, 2001, before me, Karen J. Moir,  
Notary Public, personally appeared Dale W. Ploeger ~~personally known to me~~  
(or proved to me on the basis of satisfactory evidence) to be the person whose name is  
subscribed to the within instrument, and acknowledged to me that he executed the  
same in his authorized capacity and that by his signature on the instrument the person,  
or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Karen J. Moir  
Notary Public