


4-18-01

04-26-2001

Q64126

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1. Name of conveying party(ies):

Ryuichi KATAYAMA

Additional name(s) of conveying party(ies) attached?

☐ yes☒ no

2. Name and address of receiving party(ies)?

 NEC CORPORATION  
 7-1, Shiba 5-chome  
 Minato-ku, Tokyo, Japan

J1036 U.S. PTO

09/836282

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3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Names☐ Other

Additional name(s) &amp; address(es) attached?

☐ yes☒ no

Execution Date: April 17, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 17, 2001

A. Patent Application No.(s)/Confirmation No.(s)  
/Unknown

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

 J. Frank Osha, Esq.  
 SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
 2100 Pennsylvania Avenue, N.W.  
 Suite 800  
 Washington, D.C. 20037-3213
6. Total number of applications and registration involved:  
1

7. Total Fee (37 CFR 3.41): \$40.00

☒ Enclosed. Please charge any underpayment in connection with this Assignment to Deposit Account No. 19-4880.☐ Authorized to be charged to Deposit Account

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Frank Osha

Reg. No. 24,625

April 18, 2001

Date

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 PATENT  
 REEL: 011716 FRAME: 0017

For Non-U.S. Clients

# Assignment

Whereas, I/We, Ryuichi KATAYAMA of  
c/o NEC Corporation, 7-1, Shiba 5-chome,  
Minato-ku, Tokyo, Japan

hereinafter called assignor(s), have invented certain improvements in

OPTICAL HEAD AND OPTICAL INFORMATION RECORDING  
AND PLAYBACK APPARATUS

and executed an application for Letters Patent of the United States of America therefor on  
April 17, 2001 ; and

Whereas, NEC Corporation  
7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

(assignee), desires to acquire the entire right, title, and interest in the application and invention, and to  
 any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its  
 successors and assigns, the entire right, title and interest in the application and the invention disclosed  
 therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and  
 I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth  
 in the application to the assignee, its successors and assigns; and I/we will execute without further  
 consideration all papers deemed necessary by the assignee in connection with the United States application  
 when called upon to do so by the assignee.

I/We hereby authorize and request my attorneys SUGHRUE, MION, ZINN, MACPEAK & SEAS of  
2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202 to insert here in parentheses (Application  
 number \_\_\_\_\_, filed \_\_\_\_\_) the filing date and application  
 number of said application when known.

Date: April 17,  
2001

s/ Ryuichi Katayama  
Ryuichi KATAYAMA



Date:

s/

Date:

s/

Witness:

Date: April 17,  
2001

s/

Fumitake Watanabe

Date: April 17,  
2001

s/

[Signature]

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)