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Attorney's Ref. Nos. 6395-56282, 58275/JWS

FORM PTO-1595  
1-31-92

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark office

## PATENTS ONLY

To the Director of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of Conveying Party(ies):

Paul A. Pierce

Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: March 8, 2001

2. Name and address of receiving party(ies):

Name: The Government of the United States of America as  
represented by the Secretary of the Department of Health and  
Human Services, Centers for Disease Control and Prevention

Internal Address:

Street Address: 1600 Clifton Rd, NE (E67)

City Atlanta State GA ZIP 30333

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date  
of the application is: \_\_\_\_\_

A. Patent Application No.(s)

PCT/US01/08102

60/190,811

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: John W. Stuart  
Klarquist Sparkman Campbell Leigh & Winston, LLP

Internal Address:

One World Trade Center, Suite 1600

Street Address:

121 S.W. Salmon Street  
Portland, Oregon 97204-2988

6. Total number of applications and patents involved: two

7. Total fee (37 CFR § 3.41): \$80.00 Enclosed.

8. ☒ Any deficiency/overpayment is authorized to be  
charged to deposit account 02-4550. A copy of this sheet  
is enclosed.

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of  
the original document.*

John W. Stuart

Name of Person Signing

Signature

April 9, 2001

Date

Total number of pages including cover sheet, attachments and document: 3

OMB No. 0651-0011 (exp. 4/94)

04/25/2001 GTON11 00000196 PCTUS0108102

01 FC:581

80.00 DP

PATENT  
REEL: 011716 FRAME: 0561

## ASSIGNMENT

WHEREAS, I, Paul A. Pierce, of 6003 North Moore, Spokane, WA 99205, a citizen of the United States of America, employees of the Department of Health and Human Services at the time the invention was made, have invented ROD GUIDE FOR ATTACHMENT TO A ROOF BOLTER TO ALLOW FOR CORE DRILLING for which the following patent applications have been filed:

U.S. Provisional Patent Application No. 60/190,811, filed on March 21, 2001; and  
PCT Application No. PCT/US01/08102, filed on March 14, 2001.

Both of which applications are collectively referred to herein as "the patent applications."

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States of America under Paragraph 1(a) of Executive order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring all domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States of America; and

NOW, THEREFORE, to all whom it may concern, be it known for and in consideration of the premises and other valuable considerations, I the undersigned, have sold, assigned, and transferred and by these presents do sell, assign, and transfer unto The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services, Centers for Disease Control and Prevention (hereinafter THE GOVERNMENT), and their successors, the full and exclusive right, title, and interest throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file patent applications on the invention described in the aforesaid patent applications, all rights in the invention and the patent applications, and all Letters Patents and Registrations which may be granted on the same in the United States of America and all countries throughout the world, and to claim the priority from the applications as provided by United States law and the Paris Convention, or of any divisional, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

AND, I hereby authorize and request the Commissioner for Patents to issue said Letters Patent to THE GOVERNMENT as an assignee of the entire right, title, and interest in and to the same throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file, for the sole use for the full term or terms for which said Letters Patent and Registrations may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof which may be granted as fully and entirely as the same would have been held by me, had this assignment not been made;

AND, I hereby warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied with respect to the aforesaid application for Letters Patent which will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of this instrument by me;

AND, I hereby agree to execute any and all applications for Letters Patent in the United States of America and foreign countries, and to furnish and deliver to the Secretary, Department of Health and Human Services, upon request, all data and documents, and to execute any papers which may be necessary for the prosecution of

any application or applications of Letters Patent or for THE GOVERNMENT to exercise its rights granted hereunder, including communicating to THE GOVERNMENT, its representatives or agents, any facts relating to said invention, including evidence for interference purposes, or for other proceedings, whenever requested, to testify in any interference or other proceedings, whenever requested, and to execute and deliver on request all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon our heirs, legal representatives, administrators and assigns, and I shall assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

I hereby grant the law firm of Klarquist Sparkman Campbell Leigh & Whinston, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

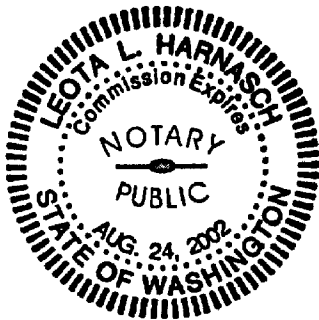
Dated: March 8, 2001

Paul A. Pierce  
Paul A. Pierce

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF Spokane )

This 8<sup>th</sup> day of March, 2001, before me personally came the above-named Paul A. Pierce, who executed the foregoing Assignment in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

[SEAL]



Leota L. Harnasch  
Notary Public for Washington  
My commission expires: Aug. 24, 2002