

04-27-2001

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

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Additional name(s) of conveying party(ies) attached?

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☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution date(s) of each conveying party:

See attached sheet

2. Name and Address of receiving party(ies):

Name: **Komatsu Denshi Kinzoku Kabushiki Kaisha**Address: **2612, Shinomiya Hiratsuka-shi**City: **Kanagawa**State: **Japan**Zip: **254-0014**Additional name(s) & address(es) attached? ☐ Yes ☒ No4(a). Patent Application No.(s): **09/775,287**

Patent No.(s):

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: **Theodore M. Green**  
Address: **KILPATRICK STOCKTON LLP**  
**2400 Monarch Tower**  
**3424 Peachtree Road, N.E.**  
**Atlanta, Georgia 30326**6. Total number of applications and patents involved: **1**7. Total fee (37 C.F.R. §3.41) enclosed: **\$40**

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an addressed to: Box Assignments, Commissioner of Patents &amp; Trademarks, Washington, DC 20231, on the date given below.

Theodore M. Green - Reg. No. 41,801  
Name of Person Signing  
SignatureDate: **April 13, 2001**Total number of pages including  
cover sheet: **9**Attorney Docket No. **45484-252991**  
(19378)

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PATENT  
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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

**U.S. Patent Application No. 09/775,287**

**Filed: February 1, 2001**

**Page 2**

<b>Name of Conveying Party</b>	<b>Execution Date</b>
Hiroshi Inagaki	January 15, 2001
Shigeki Kawashima	January 15, 2001
Makoto Kamogawa	January 17, 2001
Toshiro Kotooka	January 17, 2001
Toshiaki Saishoji	January 15, 2001
Daisuke Ebi	January 18, 2001
Kentaro Nakamura	January 15, 2001
Kengo Hayashi	January 15, 2001
Yoshinobu Hiraishi	January 17, 2001
Shigeo Morimoto	January 22, 2001
Hiroshi Monden	January 18, 2001
Tadayuki Hanamoto	January 18, 2001
Tadashi Hata	January 12, 2001

## A S S I G N M E N T

WHEREAS,

Hiroshi INAGAKI , of Kanagawa , Japan ,  
Shigeki KAWASHIMA , of Kanagawa , Japan ,  
Makoto KAMOGAWA , of Kanagawa , Japan ,  
Toshiro KOTOOKA , of Kanagawa , Japan ,  
Toshiaki SAISHOJI , of Kanagawa , Japan ,  
Daisuke EBI , of Kanagawa , Japan ,  
Kentaro NAKAMURA , of Kanagawa , Japan ,  
Kengo HAYASHI , of Kanagawa , Japan ,  
Yoshinobu HIRAISHI , of Kanagawa , Japan ,

Assignors, have invented a new and useful

APPARATUS FOR PULLING SINGLE CRYSTAL BY CZ METHOD ,  
for which an application for United States Letters Patent was executed by them on  
or about the same date as this Assignment; and

WHEREAS, Assignors believe themselves to be the original, first and  
sole inventors of the invention disclosed and claimed in the application for Letters  
Patent; and

WHEREAS, KOMATSU DENSHI KINZOKU KABUSHIKI KAISHA ,  
a Japanese corporation, having a place of business at  
2612, Shinomiya Hiratsuka-shi, Kanagawa 254-0014 Japan , Assignee,  
desires to acquire by formal, recordable assignment the entire right, title and interest  
in and to the invention, the application, and any Letters Patent that might be granted  
for the invention in the United States and throughout the world; and

NOW, THEREFORE, in consideration of good and valuable  
consideration, the receipt and sufficiency of which are hereby acknowledged,  
Assignors hereby sell, assign and transfer to Assignee, the entire right, title and  
interest in and to the invention, the application, and any Letters Patent that might be  
granted for the invention in the United States and throughout the world, including  
the right to file foreign applications directly in the name of Assignee and to claim  
for any such foreign applications any priority rights to which such applications are  
entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further  
compensation, but at no expense to Assignor, they and their legal representatives  
and assigns will perform all lawful acts, including the execution of papers and the

giving of testimony, that might be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for the invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to the invention, the application, and any Letters Patent granted for the invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted by this Assignment .

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for the invention, whether on the application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in the invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment.

Assignors

Date: 15 / 1 / 2001

Hiroshi Inagaki  
Hiroshi INAGAKI

Date: 15 / 1 / 2001

Shigeki Kawashima  
SHigeki KAWASHIMA

Date: 17 / 1 / 2001

Makoto Kamogawa  
Makoto KAMOGAWA

Date: 17 / 1 / ~~2000~~ 2001

Toshiro Kotooka  
Toshiro KOTOOKA

Date: 15 / 1 / 2001

Toshiaki Saishoji  
Toshiaki SAISHOJI

Date: 18 / 1 / 2001

Daisuke Ebi  
Daisuke EBI

Date: 15 / 1 / 2001

Kentaro Nakamura  
Kentaro NAKAMURA

Date: 15 / 1 / 2001

Kengo Hayashi  
Kengo HAYASHI

Date: 17 / 1 / 2001

Yoshinobu Hiraishi  
Yoshinobu HIRAISHI

09/775,287  
10/1

## A S S I G N M E N T

WHEREAS,

Shigeo MORIMOTO , of Kanagawa , Japan ,  
Hiroshi MONDEN , of Kanagawa , Japan ,  
Tadayuki HANAMOTO , of Kanagawa , Japan ,

Assignors, have invented a new and useful

APPARATUS FOR PULLING SINGLE CRYSTAL BY CZ METHOD ,  
for which an application for United States Letters Patent was executed by them on  
or about the same date as this Assignment; and

WHEREAS, Assignors believe themselves to be the original, first and  
sole inventors of the invention disclosed and claimed in the application for Letters  
Patent; and

WHEREAS, KOMATSU DENSHI KINZOKU KABUSHIKI KAISHA ,  
a Japanese corporation, having a place of business at  
2612, Shinomiya Hiratsuka-shi, Kanagawa 254-0014 Japan , Assignee,  
desires to acquire by formal, recordable assignment the entire right, title and interest  
in and to the invention, the application, and any Letters Patent that might be granted  
for the invention in the United States and throughout the world; and

NOW, THEREFORE, in consideration of good and valuable  
consideration, the receipt and sufficiency of which are hereby acknowledged,  
Assignors hereby sell, assign and transfer to Assignee, the entire right, title and  
interest in and to the invention, the application, and any Letters Patent that might be  
granted for the invention in the United States and throughout the world, including  
the right to file foreign applications directly in the name of Assignee and to claim  
for any such foreign applications any priority rights to which such applications are  
entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further  
compensation, but at no expense to Assignor, they and their legal representatives  
and assigns will perform all lawful acts, including the execution of papers and the  
giving of testimony, that might be necessary or desirable for obtaining, sustaining,  
reissuing or enforcing Letters Patent in the United States and throughout the world  
for the invention, and for perfecting, recording or maintaining the title of Assignee,  
its successors and assigns, to the invention, the application, and any Letters Patent  
granted for the invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will

not grant to others any rights inconsistent with the rights granted by this Assignment .

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for the invention, whether on the application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in the invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment.

Assignors

Date: 12/1/2001

Shigeo Morimoto  
Shigeo MORIMOTO

Date: 18/1/2001

Hiroshi Monden  
Hiroshi MONDEN

Date: 18/1/2001

Tadayuki Hanamoto  
Tadayuki HANAMOTO

## A S S I G N M E N T

WHEREAS,

Tadashi HATA , of Ehime , Japan ,  
Assignors, have invented a new and useful

APPARATUS FOR PULLING SINGLE CRYSTAL BY CZ METHOD ,  
for which an application for United States Letters Patent was executed by them on  
or about the same date as this Assignment; and

WHEREAS, Assignors believe themselves to be the original, first and  
sole inventors of the invention disclosed and claimed in the application for Letters  
Patent; and

WHEREAS, KOMATSU DENSHI KINZOKU KABUSHIKI KAISHA ,  
a Japanese corporation, having a place of business at  
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for any such foreign applications any priority rights to which such applications are  
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giving of testimony, that might be necessary or desirable for obtaining, sustaining,  
reissuing or enforcing Letters Patent in the United States and throughout the world  
for the invention, and for perfecting, recording or maintaining the title of Assignee,  
its successors and assigns, to the invention, the application, and any Letters Patent  
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Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for the invention, whether on the application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in the invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment.

Assignors

Date: 12 January 2001

Tadashi HATA  
Tadashi HATA