

04-27-2001

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To the Honorable Commissioner of Patents and

101690930

Documents or copy thereof.

1. Name of conveying party(ies):

CHAO-CHUEH WU, SHENG-FEN CHIU, JESSE
CHUNG & HSIAO-LEI WANG

2. Name and address of receiving party(ies):

Name ProMOS Technologies, Inc.

Internal Address:

Street Address:

No. 19 Li Hsin Road
Science Based Industrial Park
Hsin-Chu, TaiwanAdditional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ other _____Execution Date: 3/7/01Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/7/01A. Patent Application No(s)B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 20 MCINTOSH DRIVECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit acct.8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy
of the original documentSTEPHEN B. ACKERMAN

Name of Person Signing

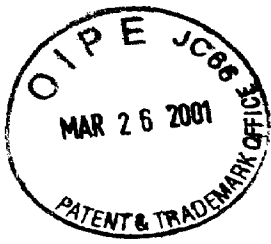


Signature

March 22, 2001

Date

Total number of pages comprising cover sheet: 3



**RECORDATION FORM COVER SHEET
PATENTS ONLY**

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Continued:

2. Mosel Vitelic, Inc.
No. 19, Li Hsin Road
Science Based Industrial Park
Hsin-Chu, Taiwan, R.O.C.
3. Infineon Technologies, Inc.
St. Maritn-Street 53
D-81541 Munich
Germany

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,
ASSIGNOR:

Chao-Chueh Wu
Type or print name of ASSIGNOR
8F-1, No. 439, Li-To-shan,
Address
Shin-Pu Town, Hsin-chu, Taiwan
Taiwan, R.O.C.
Nationality

Sheng-Fen Chiu
Type or print name of ASSIGNOR
No. 4, Lane 419, Sec. 3, Wen-Hsin Rd.,
Address
Taichung City, Taiwan
Taiwan, R.O.C.
Nationality

Tseng Cheng
Type or print name of ASSIGNOR
No. 17, Wen-Ming Street,
Address
Chu-Pei City, Hsin-chu, Taiwan
Taiwan, R.O.C.
Nationality

Hsiao-Lei Wang
Type or print name of ASSIGNOR
7F-2, No. 27-1, Yu-No Rd.,
Address
Tainan, Taiwan
Taiwan, R.O.C.
Nationality

ASSIGNEES:

ProMOS Technologies, Inc.
3F, No. 19, Li Hsin Road
Science-Based Industrial Park
Hsin-Chu, Taiwan, R.O.C.
Taiwan, R.O.C.
Nationality

Mosel Vitelic Inc.
No. 19, Li Hsin Road
Science-Based Industrial Park
Hsin-Chu, Taiwan, R.O.C.
Taiwan, R.O.C.

Infineon Technologies, Inc.
St.-Martin-Str. 53
D-81541 Munich
Germany

and the successors, assigns and legal representatives of the ASSIGNEE
(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

A Method For Making Deep Trench Capacitors For DRAMS With Reduced Faceting At The Substrate Edge And Providing A More Uniform Pad Si3N4 Layer Across The Substrate

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. application serial no. _____ filed on _____
(d) ☐ U.S. patent no. _____ issued _____
(e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

(also check (e) if foreign application(s) is also being assigned)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

7 / March / 2001 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Chao-Chueh Wu
Signature of ASSIGNOR(S)
Sheng-Fen Chiu
Jesse Chung
Hsiao-Lei Wang

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on
behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

____ Notarization of Legalization Page Added.