3HEET

Substitute Form PTO-1595

Attorney Docket No.: 08411-016001 Client's Ref. No.: ISURF 02600

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Commissioner for Patents: Please record the attached original docume	ent(s) or copy(les).						
Name of conveying party(ies):	Name and address of receiving party(ies):						
F. Chris Minion and Sreekumar A. Menon	IOWA STATE UNIVERSITY RESEARCH						
Additional name(s) attached? ☐ Yes 囯 No	FOUNDATION, INC.						
3. Nature of conveyance:	310 Lab of Mechanics						
☑ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:	lowa State University Ames, Iowa 50011-2131						
Execution Date: 3/5/01 and 3/26/01	Additional names/addresses attached? ☐ Yes ☒ No						
4. Application number(s) or patent number(s):							
If this document is being filed with a new application, the execution	date of the application is:						
A. Patent Application No(s).:	B: Patent No(s).:						
09/692,064							
Additional numbers attached? ☐ Yes থ No							
Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1						
STUART MACPHAIL, PH.D.	7. Total fee (37 CFR §3.41): \$40						
Fish & Richardson P.C.	☑ Enclosed						
45 Rockefeller Plaza, Suite 2800	☐ Authorized to charge Deposit Account.						
New York, NY 10111	8. Deposit Account No.: 06-1050						
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.						
DO NOT USE THIS SPACE							
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.							
Stuart Macphail, Ph.D.  Reg. No. 44,217  Name of Person Signing  Signature  A 19 10 1  Date							
Total number of pages including coversheet, attachments and document: 7							

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## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

April 9, 2001 Paula J. Romes
Signature

Paula T. Romeo

Typed Name of Person Signing Certificate

**PATENT** 

**REEL: 011720 FRAME: 0887** 

## **ASSIGNMENT**

For valuable consideration, we, <u>F. CHRIS MINION</u> of <u>3400 Southdale</u>, <u>Ames, Iowa 50010</u>, and <u>SREEKUMAR A. MENON</u> of <u>3901 City Avenue</u>, <u>Apt. A-710</u>, <u>Philadelphia</u>, <u>Pennsylvania 19131</u>, hereby assign to:

<u>IOWA STATE UNIVERSITY RESEARCH FOUNDATION, INC.</u>, an <u>IOWA</u> corporation having a place of business at:

310 Lab of Mechanics Iowa State University Ames, Iowa 50011-2131; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled VACCINE ADJUVANT, filed October 19, 2000, and assigned U.S. Serial Number 09/692,064, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

In W	ITNESS WHERE	OF, I hereto set my hand and	d seal at	
this	day of	, 20		
				L.S.
	F. CH	IRIS MINION		
State of		_:		
		: ss.		
County of _		_ :		
Befo	ore me this	day of	, 20	, personally
appeared F.	CHRIS MINIO	N known to me to be the pe	erson whose name is sub	oscribed to the
foregoing A	ssignment and a	cknowledged that he execu	nted the same as his free	act and deed for
the purposes	s therein contain	ed.		
		Notary Publi	ic	
		My Commis	sion Expires:	
[Notary's Se	eal Here]			

	t my hand and seal at Montgomery Count , 2001 L.S. MENON
State of Pennsylvana:	
County of Montesmeans	
	f
for the purposes therein contained.	Notary Public  My Commission Expires:
[Notary's Seal Here]	NOTARIAL SEAL DAWN M. PINYARD, Notary Public Lower Merion Twp., Montgomery County My Commission Expires Jan. 13, 2003

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## ASSIGNMENT

For valuable consideration, we, <u>F. CHRIS MINION</u> of <u>3400 Southdale</u>, <u>Ames, Iowa 50010</u>, and <u>SREEKUMAR A. MENON</u> of <u>3901 City Avenue</u>, <u>Apt. A-710</u>, <u>Philadelphia</u>, <u>Pennsylvania 19131</u>, hereby assign to:

<u>IOWA STATE UNIVERSITY RESEARCH FOUNDATION, INC.</u>, an <u>IOWA</u> corporation having a place of business at:

310 Lab of Mechanics Iowa State University Ames, Iowa 50011-2131; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled <u>VACCINE ADJUVANT</u>, filed October 19, 2000, and assigned U.S. Serial Number <u>09/692,064</u>, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at <u>Towa State University</u> , Ames, IA
this 26 day of March , 20 01  L.S.
Defending L.S.
F. CHRIS MINION
State of:
: ss.
: ss.  County of Story:
Before me this 26 th day of March, 20 01, personally
appeared F. CHRIS MINION known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.
Melinda S. Chminke Notary Public
Notary Public
My Commission Expires: $2/21/04$
[Notary's Seal Here]

In With	NESS WHERE	OF, I hereto set my	hand and seal a	at	
this	day of		, 20		
					L.S.
	SRE	EKUMAR A. MEN			
C4 4. C					
State of		<del></del> :			
		: SS.			
County of		:			
Before	me this	day of		, 20	, personally
appeared SREF	EKUMAR A	<u> MENON</u> known t	to me to be the	person whose na	me is subscribed to
the foregoing A	Assignment a	ınd acknowledged t	hat he executed	d the same as his	free act and deed
for the purpose	s therein cor	ntained.			
		Note	ary Public		
		My	Commission E	expires:	
[Notary's Seal	Here]				

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**RECORDED: 04/17/2001**