

PATENTS ONLY

04-30-2001



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mechanical Technology Incorporated

MTI
4.16.01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: October 19, 2000

2. Name and address of receiving party(ies):

Name: Foster-Miller Technologies, Inc.

Internal Address: APR 16 2001

Street Address: 431 New Karner Road

City: Albany State: NY ZIP: 12205

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,149,936

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph C. Sullivan

Internal Address: Pitney, Hardin, Kipp & Szuch LLP

Street Address: 711 Third Avenue

04/30/2001 AAHMED1 00000020 501145 5149936

01 FC:581 40.00 CH
City: New York

State: NY ZIP: 10017

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1145

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph C. Sullivan

Joe Sullivan

April 13, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

3

ASSIGNMENT

For valuable consideration, Mechanical Technology Incorporated, a corporation having its principal place of business at 30 South Pearl Street, Albany, NY 12207 (hereinafter "the Assignor"), an entity who was recorded as the assignee for United States Patent Application Serial No. 07/683,333 on April 10, 1991 Reel 5675, Frame 0680, hereby sells, assigns and transfers to Foster-Miller Technologies, Inc., a corporation having its principal place of business at 431 New Karner Road, Albany, NY 12205 and its successors, assigns and legal representatives (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are the subject of United States Patent Application Serial No. 07/683,333, filed April 10, 1991, now issued as United States Patent No. 5,149,936, and entitled, Multi-Plane Balancing Process and Apparatus Using Powder Metal for Controlled Material Addition, and, in and to said application, all Letters patent which may be granted thereon, and all foreign patents granted for said inventions and improvements, and any continuation, division, renewal, or substitute thereof, and any re-issue or re-examination thereof.

Assignor hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.


Assignor further covenants that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said inventions and improvements, said

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applications and said Letters Patent and legal equivalents as may be known and accessible to Assignor and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to Assignee or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said applications, and said Letters patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

In Witness Whereof, We have set hand and seal this 19th day of OCTOBER, 2000.

[Company Seal]


Cynthia A. Scheuer
Vice President & CFO
Mechanical Technology, Inc.
30 South Pearl Street
Albany, NY 12207

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Assignment/MTI/FMT

RECORDED: 04/16/2001

PATENT
REEL: 011722 FRAME: 0568