

04-30-2001



Atty. Docket No.: 0941-0240P

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101693860

RECORDATION FORM COVER SHEET

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **04/19/01**
FANG, Wei; JAO, Rueychi; LEE, Den Hua

Additional name(s) of conveying party(ies) attached?
☐ YES ☒ NO

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Date: **February 1, 2001, February 1, 2001, January 5, 2001,**
Respectively

2. Name and address of receiving party(ies)

Name: **Wei FANG**

Internal Address:

Street Address: **No. 1, Sec. 4, Lo-Szu-Fu Rd.**

City: **Taipei** State: ZIP:

Country: **TAIWAN, R.O.C.** Postal Code:

Additional name(s) & address(es) attached? ☒ YES ☐ NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

February 1, 2001, February 1, 2001, January 5, 2001, respectively

A. Patent Application No(s).

NEW 09/837170

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **BIRCH, STEWART, KOLASCH & BIRCH, LLP**

Street Address: **P.O. BOX 747**

City: **FALLS CHURCH** State: **VA** ZIP: **22040-0747**

Country: **USA**

6. Total No. of applications/patents involved: **One (1)**

7. Total fee (37 C.F.R. § 3.41): **\$40.00**

- ☒ Enclosed
☒ Authorized to be charged to deposit account, if no fee attached.

8. Deposit account number: **02-2448**

(Attach triplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joe McKinney Muncy, #32,334
Name of Person Signing/Reg. No.

James H. Satterly
Signature **28380**

April 19, 2001
Date

Total number of pages including cover sheet, attachments, and document: **Three (3)**

KM/nv

04/20/2001 RHARIS1 00000035 09837170

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02 FC:581

(Rev. 04/19/2000)

PATENT
REEL: 011724 FRAME: 0528

Attachment to
RECORDATION FORM COVER SHEET

Continuation of Box 1--Name of conveying party(ies):

Continuation of Box 2--Name and address of receiving party(ies):

Den Hua LEE
4F-15, No. 126, Sheng-Li Rd.
Hsinchu, TAIWAN, R.O.C.

Continuation of Box 4--Application number(s) or patent number(s):

ASSIGNMENT

WHEREAS, Wei FANG, Rueychi JAO and Den Hua LEE
hereafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as
described and set forth in the below identified application for United States Letters Patent:

Title: ARTIFICIAL LIGHTING APPARATUS FOR YOUNG PLANTS USING LIGHT EMITTING DIODES
AS LIGHT SOURCE

Filed: April 19, 2001

Serial No. NEW

Executed on: February 1, 2001 and January 5, 2001

WHEREAS, Wei Fang of No. 1, Sec. 4, Lo-Szu-Fu Rd., Taipei, Taiwan
R.O.C., Den Hua LEE of 4F-15, No. 126, Sheng-Li Rd., Hsinchu,
Taiwan, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous
of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters
Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good
and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor
has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer
unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and
interest in and to the said invention and application and all future improvements thereon, and in
and to any Letters Patent which may hereafter be granted on the same in the United States, the
said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been
held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end
and term of any Letters Patent which may be granted thereon, or of any division, renewal,
continuation in whole or in part, substitution, conversion, reissue, prolongation or extension
thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee,
but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or
applications, execute, verify, acknowledge and deliver all such further papers, including
applications for Letters Patent and for the reissue thereof, and instruments of assignment and
transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or
maintain Letters Patent for said invention and improvement, and to vest title thereto in said
Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s)
indicated.

Wei Fang
Wei FANG

Feb. 1, 2001
Date:

Rueychi Jao
Rueychi JAO

Feb. 1, 2001
Date:

Den Hua Lee
Den Hua LEE

Jan. 5, 2001
Date: