

04-27-2001



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FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

# RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):  Simon Mawson, Sun-Chueh Kao, Tae Hoon Kwak  Timothy R. Lynn, David H. McConville, Matthew G.  McKee, John F. Szul, Kersten Anne Terry, Timothy T.  Wenzel, Mark G. Goode, John H. Oskam, Robert J.  Jorgensen, Robert H. Vogel</p> <p>Additional name(s) of conveying party(ies) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <b>Univation Technologies, LLC</b></p> <p>Street Address: <b>5555 San Felipe, Suite 1950</b></p> <p>City: <b>Houston</b> State: <b>Texas</b> ZIP: <b>77056</b></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other</p> <p>Execution Date: January 31, 2001, February 2, 2001,  February 5, 2001, February 6, 2001, February 22,  2001, February 23, 2001, February 28, 2001, March 2,  2001 and April 3, 2001.</p>	<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is: _____</p> <table style="width: 100%;"> <tr> <td style="width: 50%;"> A. Patent Application No.(s)  <b>09/729,550</b> </td> <td style="width: 50%;"> B. Patent No.(s) </td> </tr> </table> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	A. Patent Application No.(s) <b>09/729,550</b>	B. Patent No.(s)	
A. Patent Application No.(s) <b>09/729,550</b>	B. Patent No.(s)			
<p>5. Name and address of party to whom correspondence concerning documents should be mailed:</p> <p>Name: <b>Lisa Kimes Jones</b>  Internal Address: <b>Univation Technologies LLC.</b>  Mailing Address: <b>5555 San Felipe, Suite 1950</b>  City: <b>Houston</b> State: <b>Texas</b> ZIP: <b>77056</b></p>	<p>6. Total number of applications and patents involved: [1]</p> <p>7. Total fee (37 CFR 1.41): <b>\$40.00</b></p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <b>50-0589</b></p>			
DO NOT USE THIS SPACE				
<p>9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true Copy of the original document.</i></p> <table style="width: 100%;"> <tr> <td style="width: 35%; text-align: center;"> <u>Lisa Kimes Jones</u>  Name of Person Signing </td> <td style="width: 35%; text-align: center;">   Signature </td> <td style="width: 30%; text-align: center;"> <u>April 5, 2001</u>  Date </td> </tr> </table> <p>Total number of pages including cover sheet, attachments, and document: [ 5 ]</p>		<u>Lisa Kimes Jones</u> Name of Person Signing	 Signature	<u>April 5, 2001</u> Date
<u>Lisa Kimes Jones</u> Name of Person Signing	 Signature	<u>April 5, 2001</u> Date		
<p>Mail documents to be recorded with required cover sheet information to:</p> <p style="text-align: center;"><b>COMMISSIONER OF PATENTS AND TRADEMARKS</b>  <b>BOX ASSIGNMENT</b>  <b>WASHINGTON DC 20231</b></p>				

**PATENT**  
**REEL: 011724 FRAME: 0750**

# ASSIGNMENT

## INVENTOR or INVENTORS:

Simon Mawson

Tae Hoon Kwack *Kwack*

Matthew G. McKee

Kersten A. Terry

Mark G. Goode

Robert J. Jorgensen

Sun-Chueh Kao

Timothy R. Lynn

John F. Szul

Timothy T. Wenzel

John H. Oskam

Robert Harold Vogel

For good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, a Delaware company, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

### POLYMERIZATION PROCESS

said application having been executed on the *31st, 2nd, 5th, 6th, 22nd, 13th, 2nd and 3rd* day(s) of *January, February, March, April* 2001, and being further identified as **Case No. 2000U041, U.S.S.N. 09/729,550, filed December 4, 2000**; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE

*3/2/2001*

*Simon Mawson*

(LS)

Simon Mawson, Inventor

*Joan Ethel Lee*

Witness

DATE Feb. 2nd, 2001 Sun-Chueh Kao (LS)  
Sun-Chueh Kao, Inventor

Barbara Muller  
Witness

DATE Feb. 6th, 2001 Tae Kwack (LS)  
Tae Kwack, Inventor

Barbara Muller  
Witness

DATE February 5, 2001 Timothy R. Lynn (LS)  
Timothy R. Lynn, Inventor

Barbara Muller  
Witness

DATE 4/3/01 Matthew G. McKee (LS)  
Matthew G. McKee, Inventor

Joan Elta Cox  
Witness

DATE 2-23-01 John Francis Szul (LS)  
John F. Szul, Inventor

Joan Elta Cox  
Witness

DATE 2-23-01 Kersten Anne Terry (LS)  
Kersten Anne Terry, Inventor

Joan Elta Cox  
Witness

DATE 2/28/01 Timothy T. Wenzel (LS)  
Timothy T. Wenzel, Inventor

Joan Elta Cox  
Witness

DATE 2/22/01

Mark Gregory Goode (LS)  
Mark G. Goode, Inventor

Joan Etha Cox  
Witness

DATE 2/2/01

John Henry Oskam (LS)  
John H. Oskam, Inventor

Barbara Muller  
Witness

DATE 2/05/01

Robert James Jorgensen (LS)  
Robert J. Jorgensen, Inventor

Barbara Muller  
Witness

DATE 02/06/01

Robert H. Vogel (LS)  
Robert H. Vogel, Inventor

Barbara Muller  
Witness

# ASSIGNMENT

## INVENTOR or INVENTORS:

\_\_\_\_\_  
David H. McConville

In consideration of One Thousand Dollars (\$1000.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, a Delaware company, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

### POLYMERIZATION PROCESS

said application having been executed on the 31<sup>st</sup> day(s) of January 2001, and being further identified as **Case No. 2000U041, U.S.S.N. 09/729,550, filed December 4, 2000**; and all rights of priority created by said application under provisions of international conventions or treaties.

2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

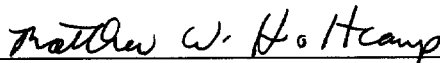
DATE

1/31/01



(LS)

David H. McConville, Inventor



Witness