

04-30-2001

JC08 Rec'd PCT/PTO 07 MAR 2001

RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101692622

f Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Se-Chang KWON, Sung-Youb JUNG, Hoon SHIN, Jay-Do CHOI, Ki-Doo CHOI, and Gwan-Sun LEEAdditional name(s) of conveying party(ies) attached?  
\_ Yes X No

2. Name and address of receiving party(ies)

Name: Hanmi Pharm. Co., Ltd.

Internal Address:

Street Address: 893-5, Hajeo-ri, Paltan-myeon  
City: Kyungki-do 445-910  
Republic of KoreaAdditional name(s) & address(es) attached? \_ Yes X No

09786569

3. Nature of conveyance:

- X Assignment  
\_ Security Agreement  
\_ Merger  
\_ Change of Name  
\_ Other

Execution Date: February 22, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: March 7, 2001

A. Patent Application No.(s)

B. Patent No.(s):

Additional numbers attached? \_ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David Einhorn, Esq.  
Internal Address: ANDERSON, KILL & OLICK, P.C.  
Street Address: 1251 Avenue of the Americas  
43rd Floor  
City: NEW YORK State: N.Y. Zip: 10020-1182

6. Total number of applications and patents involved . . . . 1

7. Total fee (37 CFR 3.41) . . . . . \$ 40.00  
\_ Enclosed  
X Authorized to be charged to deposit account #01-19448. Deposit account number: 01-1944  
(Attach duplicate copy of this page if paying by deposit account)

03/12/2001 UEDUWIJE 00000024 011944 09786569

02 FC:581 40.00 CH

Do not use this space

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard Klar  
REG. NO.: 31,385  
Name of Person Signing

Signature

Mar 7, 2001  
Date

Total number of pages including cover sheet, attachments, and document: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

## ASSIGNMENT

WHEREAS, WE, **Se-Chang KWON; Sung-Youb JUNG; Hoon SHIN; Jay-Do CHOI; Ki-Doo CHOI; and Gwan-Sun LEE**, ASSIGNORS, citizens of Republic of Korea, residing at **Hanyang Apt. 5-201, #789, Siheung-1-dong, Keumcheon-gu, Seoul 153-031, Republic of Korea; Geoyeo Apt. 504-1402, #294, Geoyeo-2-dong, Songpa-gu, Seoul 138-112, Republic of Korea; Mokdong Apt. 1117-607, #325, Sinjeong-dong, Yangcheon-gu, Seoul 158-070, Republic of Korea; Donga 1-cha Apt. 502, Seongnae-3-dong, Kangdong-gu, Seoul 134-033, Republic of Korea; Gaepojugong Apt. 601-407, Gaepo-dong, Kangnam-gu, Seoul 135-240, Republic of Korea; and Geukdong Apt. 2-806, Garak-2-dong, Songpa-gu, Seoul 138-743, Republic of Korea**, respectively, are the inventors of the invention in **MODIFIED E. COLI ENTEROTOXIN II SIGNAL PEPTIDE AND A MICROORGANISM EXPRESSING A FUSION PROTEIN OF SAID PEPTIDE AND A HETEROLOGOUS PROTEIN**

for which We have executed an application for a Patent of the United States

☐ which is executed on ☐ even date herewith or ☐ \_\_\_\_\_ (date)  
☒ which is identified by Anderson Kill & Olick, P.C. docket no. DE-1242  
☐ which was filed on \_\_\_\_\_, Serial No. \_\_\_\_\_

and WHEREAS, **HANMI PHARM. CO., LTD. of #893-5, Hajeo-ri, Paltan-myeon, Hwaseong-gun, Kyungki-do 445-910, Republic of Korea**, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (US\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date February 22, 2001 Kwon Se Chang L.S.  
 Date February 22, 2001 Sung-Youb Jung L.S.  
 Date February 22, 2001 Hoon Shin L.S.  
 Date February 22, 2001 Jay Do Choi L.S.  
 Date February 22, 2001 Ki Doo Choi L.S.  
 Date February 22, 2001 Lee Gwan Sun L.S.