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PATENT APPLICATIONS & PATENTS ONLY

JCOS Rec'd PCT/PTO

07 MAR 2001

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PATENTS AND TRADEMARKS:

ORIGINAL DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. LUKE, Richard William Arthur

3. COTTON, Ronald

5.

7.

2. JEWSBURY, Philip John

4.

6.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: ASTRAZENECA AB

ADDRESS: S-151 85 Sodertalje, Sweden

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF☒ WHOLE☐ PART INTEREST☒ ORIGINAL☐ FACSIMILE/PHOTOCOPY☐ CHANGE OF NAME☐ VERIFIED TRANSLATION☐ SECURITY ☐ MERGER☐ OTHER:

EXEC. DATE: 1) Feb. 14; 2) Feb. 9 and 3) Feb. 12, 2001

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) 1) Feb. 14; 2) Feb. 9 and 3) Feb. 12, 2001

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 st INVENTOR if not in item 1	B. PATENT NO.(S)	M#	1 st INVENTOR if not in item 1
NEW APPLICATION	277098				

5. Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed:

Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918

6. NUMBER INVOLVED:

APPLNS 0 + PATS 0 = TOTAL1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 277098

Z 70385/UST

CLIENT REF.

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

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CLIENT NO.

MATTER NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

10. Total number of pages including this cover sheet, attachments and document (do not file dup. Cover sheet)

3

Attorney: Donald J. Bird

Reg. No. 25323

Atty/Sec: DJB/mhn

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Date: March 7, 2001

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FILE WITH PTO RETURN RECEIPT (PAT-103A)

PAT-114X 11/1999

30142619_1.DOC

PATENT
REEL: 011727 FRAME: 0737

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

RICHARD WILLIAM ARTHUR LUKE, PHILIP JOHN JEWSBURY, RONALD COTTON

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, AstraZeneca AB, a company created and existing under the laws of Sweden, having its Head Office at S-151 85 Sodertalje, Sweden, and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: PIPERAZINE-4-PHENYL DERIVATIVES AS INHIBITORS OF THE INTERACTION BETWEEN MDM2 AND P53

and which is found in

- (a) ___ U.S. patent application executed on even date herewith;
(b) ___ U.S. patent application executed on _____;
(c) ___ U.S. application serial no. filed on 07.09.1999;
(d) X International application no. PCT/GB99/02957;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Signed this

14th day of February, 2001

Richard William Arthur Luke
RICHARD WILLIAM ARTHUR LUKE

WITNESS: Karel Shynes
DATE: 14th February 2001

WITNESS: Elizabeth Judson
DATE: 14th February 2001

9th day of February, 2001

Philip John Jewsbury
PHILIP JOHN JEWSBURY

WITNESS: Karel Shynes
DATE: 9th February 2001

WITNESS: Elizabeth Judson
DATE: 9th February 2001

☒ Additional page(s) are attached hereto.
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12th day of February, 2001

Ronald Cotton
RONALD COTTON

WITNESS: Carol Hynes
DATE: 12 February 2001

WITNESS: AIMEE LISA WILD
DATE: 12 February 2001

☐ Additional page(s) are attached hereto.
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RECORDED: 03/07/2001

PATENT
REEL: 011727 FRAME: 0739