



04-30-2001



RECORDATION FORM COVER SHEET

PATENTS ONLY

101693114

Form PTO-1592 (modified)

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To the Assistant Commissioner of Patents: Please record the attached original documents or copies thereof:

**1. Name of Conveying Party or Parties:**Wei Tong and Zhang Qing Zhuo  
24 Emmons Drive  
Clifton Park, NY 12065

Additional Names of conveying party or parties attached?

☐ Yes ☒ No**2. Name and address of receiving party or parties:**General Electric Company, a New York Corporation  
One River Road  
Schenectady, New York 12345Additional Name(s) and address(es) attached? ☐ Yes ☒ No**3. Nature of Conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: April 12, 2001**4. Application Number(s) or Patent Number(s):**If this document is being filed together with a new application, the execution date of the application is: April 12, 2001**A. Patent Application Number(s):**Additional Name(s) and address(es) attached? ☐ Yes ☒ No**B. Patent Number(s):**

09740008

**5. Name and address of party to whom correspondence concerning the document should be mailed:**Banner & Witcoff, Ltd.  
1001 G Street, N.W. - 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597**6. Total Number of Applications and patents involved:****7. Total fee (37 CFR 3.41) \$40.00**


- ☐ Enclosed  
☒ Authorized to be charged to deposit account  
☐ Please charge or credit our deposit account for any additional or refunded fees associated with recording this assignment

**8. Deposit Account No.:**

19-0733 (in the event additional fees are required)

(Duplicate copy of this page attached if paying by deposit account)

Do Not Use this Space

**9. Statement and Signature:***To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Darrell G. Mottley, Reg. No. 42,912  
Name of Person Signing  
SignatureApril 18, 2001  
DateTotal Number of Pages including Cover Sheet: 3

04/27/2001 TDI A21 00000150 190733 09740008

01 FC:581 40.00 CH

PATENT  
REEL: 011728 FRAME: 0240

**ASSIGNMENT**

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Wei Tong of 24 Emmons Drive, Clifton Park, NY 12065 and Zhang Qing Zhuo of 24 Emmons Drive, Clifton Park, NY 12065, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in HEAT TRANSFER ENHANCEMENT AT GENERATOR STATOR CORE SPACE BLOCKS invented by us, as described in the application for United States Patent filed on December 20, 2000 as Serial No. 09/740,008; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

4/12/01

Date

, 2001

Wei Tong

Wei Tong

STATE OF New York )

) ss:

COUNTY OF Schenectady )

**BONNIE A. MAYNARD**  
**Notary Public, State of New York**  
**No. 01MA603392**  
**Qualified in Schenectady County**  
**Commission Expires December 6, 2001**

This 12th day of April 2001, before me personally came the above-named Wei Tong to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes herein set forth.

Bonnie A. Maynard  
 Notary Public

My Commission Expires: 12/6/01

PATENT-

REEL: 011728 FRAME: 0241

4/12/01, 2001  
Date

STATE OF New York )  
 ) ss:  
COUNTY OF Schenectady )

Zhuo, Zhongqiz  
Zhang Qing Zhuo  
**BONNIE A. MAYNARD**  
Notary Public, State of New York  
No. 01MA6033992  
Qualified in Schenectady County  
Commission Expires December 8, 2001

This 12th day of April 2001, before me personally came the above-named Zhang Qing Zhuo to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes herein set forth.

Bonnie A. Maynard  
Notary Public

My Commission Expires: 12/8/2001