



PATENT

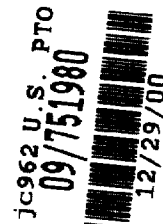
Docket No.: U 013143-7

Box Patent Application
Commissioner of Patents
and Trademarks
Washington, D.C. 20231

04-27-2001



101691287



MMD
12-29-00

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21
and 37 CFR 3.31(a)4))

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

- a. Date of execution: DECEMBER 26, 2000.
- b. Name of each inventor:
PING-HO CHEN 09/751980
- c. Title of invention: SINGLE-STATOR-DOUBLE-ROTOR ROTATING MOTOR

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date DECEMBER 29, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number ET043060222US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

BARBARA D. SANTIAGO
(type or print name of person mailing paper)

Barbara D. Santiago
(Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

01/17/2001 DNGUYEN 00000126 09751980

01 FC:581
ET04306022200

PATENT
REEL: 011728 FRAME: 0399

Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

PING-HO CHEN

Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: CHUNG-SHAN INSTITUTE OF SCIENCE & TECHNOLOGY

Address: No. 481 Sec. Chia-An, Chung-Chen Rd.,
Chia-An Village, Lung-Tang,
Taoyuan County, Taiwan, R.O.C.

Telephone No.: ()

Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- an assignment.
- a security agreement.
- a license.
- a merger.
- a change of name.
- a change of address.
- other.

Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: William R. Evans
Address: Ladas & Parry
26 West 61 Street
New York, NY 10023
Telephone No.: (212) 708-1945

Date Assignment (Document) Executed (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on DECEMBER 26, 2000.

Language of Assignment (Document) to be Recorded

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26

The attached document:

- is in the English language
- is not in the English language and a verified English translation signed by the individual making the translation is attached.

Original Document or True Copy Submitted

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- the original document.
- a true copy of the original document.

NOTE: "If the original[assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

Number of Applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:
- B. The fee for recordal (37 CFR 1.21(h)) is \$40.00
- Attached is a check for \$40.00
- Please charge Account 12-0425 \$40.00.
A duplicate of this cover sheet is attached.

Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))

(Cover Sheet for Assignment Accompanying New Patent Application [4-2.3]—page 3 of 4)

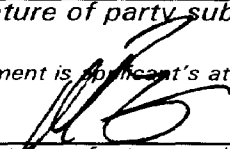
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: DECEMBER 29, 2000

(Name of party submitting document)

(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)



(Signature of attorney)

Reg. No. 25,858

William R. Evans
Ladas & Parry
26 West 61 Street

Tel. No. (212) 708-1930

New York, NY 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 5

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

Inventor(s) Name, Address, Citizenship 1. Ping-Ho Chen residing at No. 9, Alley 8, Lane 443, Ming-Hu Rd., Hsinchu, Taiwan, R.O.C. and a citizen of R.O.C. 2. ... and a citizen of ... 3. ... and a citizen of ...

Date Patent Declaration Signed and Title is entitled SINGLE-STATOR-DOUBLE-ROTOR ROTATING MOTOR Serial No. and Filing Date and the filing date of

Name of Corporation AND WHEREAS Chung-Shan Institute of Science and Technology (herein called "ASSIGNEE"), a corporation organized under the laws of R.O.C. and having an office and place of business at No. 481 Sec. Chia-An, Chung-Cheng Rd., Chia-An Village, Lung-Tang, Taoyuan County, Taiwan, R.O.C. wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE, its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each Inventor Must Sign and Date Signature Date 26 December 2000 Note: No Legalization or Other Witness Required Signature Date Signature Date



Practitioner's Docket No. U013143-7

4.16.01

D \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments
Commissioner of Patents and Trademarks
Washington, D.C. 20231

C

CORRECTION OF RECORDED COVER SHEET ERROR(S) (37 C.F.R. 3.34)

NOTE: See 37 CFR 3.34.

- Attached hereto is a copy of the Notice of Recordation of March 27, 2001 and:
 - the originally recorded document (e.g. Assignment).
 - a copy of the originally recorded document (e.g. Assignment).
- Also attached hereto is a **corrected** Cover Sheet for recordation.
- The error in the originally recorded cover sheet is apparent when that cover sheet is compared with the recorded document because:

09751980

Assignee's name should be - -Institute- - not "Institue"

Assignee's Address should be - - No. 481 Sec. Chia-An, Chung-Chen Rd., Chia-An Village, Lung-Tang, Taoyuan County, Taiwan, R.O.C.- - not "P.O. Box No. 90008-16 Lung-Tan, Tao-Yuan 325, Taiwan"

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

FACSIMILE

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

transmitted by facsimile to the Patent and Trademark Office.

Signature

Date: April 10, 2001

William R. Evans
(type or print name of person certifying)

04/24/2001 GTON11 00000064 09751980
01 FC:581 40.00 DP

(Correction of Recorded Cover Sheet Error(s)—page 1 of 2) 16-8

4. The recording fee is shown in the corrected cover sheet filed herewith. Payment herewith of this recording fee is in the manner set forth in the corrected cover sheet.

NOTE: "A fee is required for each application, patent and registration against which the document is recorded as identified in the cover sheet." 37 CFR 3.41



SIGNATURE OF PRACTITIONER

William R. Evans

(type or print name of practitioner)

Reg. No.: 25,858

Tel. No.: (212) 708-1930

Customer No.:

P.O. Address

c/o Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

(Correction of Recorded Cover Sheet Error(s)—page 2 of 2) 16-8