

05-01-2001

1595



/ER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

63/214

101696892

Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

Name of conveying party(ies):

Ven Hsu

Additional name(s) of conveying party(ies) attached?

Yes ☐ No ☒

2. Name and address of receiving party:

Name: NEWPORT OPTICOM, INC.

Street Address: 4910 Birch Street

City: Newport Beach State: CA Zip: 92660

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 17, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 17, 2001

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David E. Wang

Internal Address: LYON &amp; LYON LLP

633 West Fifth Street, Suite 4700

Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☒ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: April 17, 2001

David E. Wang, Reg No. 38,358

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Director — U.S. Patent and Trademark Office

Box Assignments

Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

04/23/2001 HNDHMMI 00000026 09837817

03 FC:581

40.00 QP


ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, **YING WEN HSU**, a citizen of the United States of America, (hereinafter referred to as "ASSIGNOR"), have invented OPTICAL SWITCHING SYSTEM THAT USES MOVABLE MICROSTRUCTURES TO SWITCH OPTICAL SIGNALS IN THREE DIMENSIONS for which application for Letters Patent of the United States of America has been executed on even date herewith; and

WHEREAS, **NEWPORT OPTICOM, INC.**, a corporation organized and existing under and by virtue of the laws of the State of **California** and having its principal place of business at **4910 Birch Street, Newport Beach, California 92660** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

  
Ying Wen Hsu

STATE OF CALIFORNIA )  
COUNTY OF ORANGE ) ss

WITNESS my hand and official seal.

