

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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RECORDATION FORM COVER SHEET

PATENTS ONLY

05-02-2001

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To the Honorable Commissioner of Patents and Trademarks: Please record the attache.

1. Name of conveying party(ies):

Warren Thomas Lay

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 9, 2001

2. Name and address of receiving party(ies):

Name: Ingersoll-Rand Company

Internal Address: _____

Street Address: 200 Chestnut Ridge Road

City: Woodcliff Lake State: NJ ZIP: 07677

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: April 9, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Glenn M. Massina, Esq.

Internal Address: Michael Best & Friedrich LLP

Street Address: 942 Memorial Parkway

City: Phillipsburg State: NJ ZIP: 08865

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0260

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glenn M. Massina, Reg. No. 40,081

Name of Person Signing

Signature

Total number of pages including cover sheet, attachments, and document:

Two

Date

4-12-01

PATENT
REEL: 011738 FRAME: 0844

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, I, Warren Thomas Lay of 7605 Damewood Drive, Catawba, VA 24070 hereby sell and assign to INGERSOLL-RAND COMPANY (hereinafter called the ASSIGNEE), a Corporation organized and existing under the laws of NEW JERSEY having its principal place of business at:

200 Chestnut Ridge Road
Woodcliff Lake, NJ 07677-7700

its successors, assigns, nominees, or other legal representatives, the entire right, title and interest in and to the INVENTION titled:

ROCKBOLT ASSEMBLY

invented by me and the application for United States PATENT (defined below) therefor, and all original and reissued PATENTS granted therefor, and all divisions and continuations thereof, including the subject-matter(s) of any and all claims which may be obtained in every such PATENT, and the right to apply for and obtain PATENTS in countries foreign to the United States, and in and to any PATENTS which may be granted thereon in such foreign countries, and authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue PATENTS, to issue the said PATENTS to the said ASSIGNEE, its successors, assigns, nominees or other legal representatives, as assignee of the entire interest, and covenant that we have full right to convey the entire interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith, and agree that we will communicate to said ASSIGNEE, its successors, assigns, nominees or other legal representatives, all facts known to us respecting said INVENTION, whenever requested, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and do all lawful acts requisite for such divisional, continuing, or reissue applications, or the procuring thereof, and that if and when said ASSIGNEE, its successors, assigns, nominees or other legal representatives desire to file a disclaimer concerning a PATENT, I will, upon request, sign all lawful papers requisite for the filing of such disclaimer, and I further covenant and agree that I will, at any time upon request, do everything legally possible to aid said ASSIGNEE, its successors, assigns, nominees or other legal representatives, either in its or their own name, to apply for, obtain and enforce proper PATENT protection for said INVENTION in all countries, all without further consideration but at the expense of said ASSIGNEE, its successors, assigns, nominees or other legal representatives.

Warren T. Lay
WARREN THOMAS LAY

State of Virginia)
County of Roanoke) ss:

This 9th day of April, 2001, before me personally came the above-named Warren Thomas Lay by me personally identified as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Joseph A. Davison
NOTARY PUBLIC 10-31-03

NOTE: as used herein, the terms PATENT and/or PATENTS include Letters Patent, Utility Model Registrations, Invention and/or Inventor's Certificates, Industrial Design Registrations, Model Registrations, and all documents which bestow grants of proprietary or exclusive rights.