

05-02-2001

Attorney Docket: 1983P

RECORDATION FORM COVER SHEET  
PATENTS ONLY

101698968

US Department of Commerce  
Patent & Trademark Office

To the Assistant Commissioner of Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yiqiang Li  
Yongjian WangAdditional name(s) & addresses of conveying  
receiving party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: AC Photonics, Inc.

Address: 2374 Walsh Avenue  
City: Santa Clara State: CA ZIP: 95051Additional name(s) & address(es) of  
parties attached? ☐ Yes ☒ NoJ1002 U.S. PTO  
09/832492  
04/11/01

3. Nature of conveyance:

☒ AssignmentExecution date: April 6, 2001  
April 6, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:  
April 6, 2001; and April 6, 2001.

A. Patent Application No.(s)

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

09/832492

5. Name and address of party to whom  
correspondence concerning document  
should be mailed:Name: Joseph A. Sawyer, Jr.  
Internal  
Address: Sawyer Law Group LLP  
Street  
Address: P.O. Box 51418  
Palo Alto, California 943036. Total Number of applications and patents  
involved: One7. Total fee (37 CFR 3.41).....\$ 40.00  
Enclosed  
☒ Authorized to be charged to  
deposit account8. Deposit Account Number: 02-2120 (Sawyer Law  
Group LLP).

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.

Date

4/11/2001

Joseph A. Sawyer, Jr.

Total number of pages including cover sheet, attachments, and documents: 3

PATENT  
REEL: 011739 FRAME: 0103

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, Yiqiang Li and Yongjian Wang, do hereby sell, assign, and transfer to: **AC Photonics, Inc.**, a corporation of California, having a principal place of business at 2374 Walsh Avenue, Santa Clara, California 95051 ("Assignee"), its successors, assigns, and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent,

☒ which has been executed by the undersigned concurrently herewith,

☐ which was filed on \_\_\_\_\_ and assigned Ser. No. \_\_\_\_\_,

and is entitled:

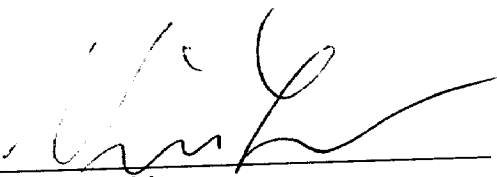
**METHOD AND SYSTEM FOR PROVIDING A SIMPLIFIED IN-LINE OPTICAL CIRCULATOR**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; and

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Signed:

Name:   
Yiqiang Li

Date: 4/6/2001

Name:   
Yongjian Wang

Date: 4/6/2001