

4/21/01

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Expires 06/30/99
OMB 0651-0027

05-02-2001

U.S. Department of Commerce
Patent and Trademark Office
PATENT101699017
**RECORDATION FORM COVER SHEET
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- ☒ New
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Document ID# _____
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Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other _____
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)☒ Mark if additional names of conveying parties attached

Name (line 1) Nguyen, Tue

Execution Date
Month Day Year
04 19 2001

Name (line 2) _____

Second Party

Name (line 1) Nguyen, Tai Dung

Execution Date
Month Day Year
04 19 2001

Name (line 2) _____

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) Simplus Systems Corporation

Name (line 2) _____

Address (line 1) 40737 Encyclopedia Circle

Address (line 2) _____

Address (line 3) Fremont

California

94538

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Enter for the first Receiving Party only.

Name _____

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Address (line 2) _____

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PATENT
REEL: 011739 FRAME: 0410

Correspondent Name and Address

Area Code and Telephone Number (510) 656-4420

Name Nguyen, Tue

Address (line 1) 496 Olive Ave.

Address (line 2) Fremont, CA 94539

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/890349

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
03 -06 - 2001

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Tue Nguyen

Name of Person Signing

Signature

04-19-2001
Date

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- ☐ Departmental File ☐ Secret File

jc945 U.S. PTO
09/840349
04/21/01

Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached
- Execution Date
Month Day Year
04192001

Name (line 1) Bercaw, Craig Alan

Name (line 2) _____

Second Party

Name (line 1) _____

Name (line 2) _____

Execution Date
Month Day Year

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Simplus Systems Corporation

Name (line 2) _____

Address (line 1) 40737 Encyclopedia Circle

Address (line 2) _____

Address (line 3) Fremont

California

94538

City

State/Country

Zip Code

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Address (line 4) _____

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011739 FRAME: 0412

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

SIM076

Whereas, I, Tue Nguyen of Fremont, California, hereafter referred to as applicant, have invented certain new and useful improvements in _____
____ Semiconductor processing system and method _____

☐ for which an application for a United States Patent was filed on _____,
Application Number _____ / _____.

☒ for which an application for a United States Patent was executed on 04/19/2001, and

Whereas, Simplus Systems Corporation of Delaware state _____ herein referred to "assignee" whose mailing address is 40737 Encyclopedia Circle, Fremont, CA 94538 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 19 th day of April, 2001,

at Fremont, California

(Signature)

State of _____) SS:
County of _____)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20____.

(Notary Public)

Seal

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

SIM076

Whereas, I, Tai Dung Nguyen of Fremont, CA, hereafter referred to as applicant, have invented certain new and useful improvements in _____
____ Semiconductor processing system and method _____

☐ for which an application for a United States Patent was filed on _____
Application Number _____ / _____.

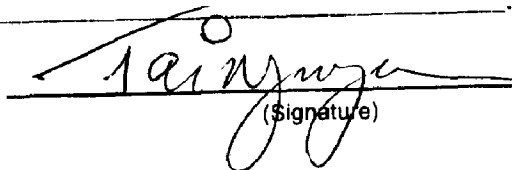
☒ for which an application for a United States Patent was executed on 04/19/2001, and

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Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

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at Fremont, California _____


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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

SIM076

Whereas, I, Craig Alan Bercaw of Los Gatos, California, hereafter referred to as applicant, have invented certain new and useful improvements in _____
Semiconductor processing system and method

☐ for which an application for a United States Patent was filed on _____,
 Application Number _____/_____.

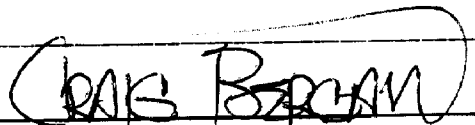
☒ for which an application for a United States Patent was executed on 04/19/2001, and

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Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

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