

05-02-2001

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FORM PTO-1595  
1-31-92RECORDATION FORM COVER SHEET  
PATENTS ONLY

101698449

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ACTION ENGINE CORPORATION

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☐ Assignment ☐ Merger  
☒ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: November 15, 2000

2. Name and address of receiving party(ies):

Name: IMPERIAL BANK  
 Address: 5330 CARILLON POINT  
 City: KIRKLAND State: WA Zip: 98033

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this Document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/658,467 09/658,468  
 09/658,406 09/658,407  
 09/658,399 09/783,215  
 09/783,611 09/783,610  
 09/783,609 09/783,608  
 09/783,616

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Erin O'Brien  
 Internal Address: GRAY CARY WARE & FREIDENRICH  
 400 Hamilton Avenue  
 Palo Alto, CA 94301

6. Total number of applications and patents involved: 11

7. Total fee (37 CFR 3.41) . . . . . \$ 440.00  
☒ Enclosed

8. Deposit account number: \_\_\_\_\_  
 Please debit any underpayment or credit any  
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Erin O'Brien

Name of Person Signing

Signature

April 17, 2001

Date

Total number of pages comprising cover sheet: [ 6 ]

OMB No. 0651-0011 (exp. 4/94)

05/02/2001 TDIAZ1 00000133 09658467

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Mail documents to be recorded with required cover sheet information to:

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1090371-944600PATENT  
REEL: 011739 FRAME: 0731

# INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of November 15, 2000 by and between IMPERIAL BANK ("Bank") and ACTION ENGINE CORPORATION, a Delaware corporation ("Grantor").

## RECITALS

A. Bank has agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Bank and Grantor dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in certain Copyrights, Trademarks and Patents to secure the obligations of Grantor under the Loan Agreement.

B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

## AGREEMENT

To secure its obligations under the Loan Agreement and under all other agreements now existing or hereafter arising between Grantor and Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including without limitation those Copyrights, Patents and Trademarks listed on Schedules A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The rights and remedies of Bank with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

Grantor represents and warrants that Exhibits A, B, and C attached hereto set forth any and all intellectual property rights in connection to which Grantor has registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

IN WITNESS WHEREOF, the parties have cause this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.


GRANTOR:

ACTION ENGINE CORPORATION

Address of Grantor:

8520 154th Avenue Northeast 2nd Floor  
Redmond, WA 98052-3500

Attn: Chief Financial Officer

By:   
Title: CFO

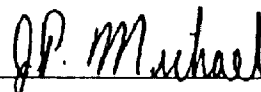
BANK:

IMPERIAL BANK

Address of Bank:

5330 Carillon Point  
Kirkland, WA 98033

Attn: Chris Fenner

By:   
Title: FVP + Manager

**EXHIBIT A**

**Copyrights**

**Description**

**Registration/  
Application  
Number**

**Registration/  
Application  
Date**

**EXHIBIT B****Patents**

<b><u>Description</u></b>	<b><u>Registration/ Application Number</u></b>	<b><u>Registration/ Application Date</u></b>
Web-Based personal assistance communication system	Provisional 60/182,330	2/14/2000
Web-Based personal assistance communication <i>system</i>	Non-Provisional 09/616,468	7/14/2000
Web-Based personal assistance communication <i>method</i>	Non-Provisional 09/615,660	7/14/2000
Web-Based personal assistance <i>user interface</i> system	Non-Provisional 09/616,490	7/14/2000
Voice-to-concept conversion system	Pending	
On-line service provider sign-up system	Pending	
System for converting textual concepts to interactive audio and audio/visual presentations	Pending	
System for Obtaining service-related information for local interactive wireless devices	Pending	
System for secure electronic transactions using unique identifies for order-related information	Pending	
Airline flight departure and arrival prediction based upon historical and real-time data	Pending	
Hypertext concept notation for dynamically constructing a sentence to respond to a user request	Pending	
Assembling personal information of a target person based upon third-party information and a request purpose	Pending	
Automated reservation and appointment system using interactive voice recognition	Pending	
Rendering data using rendering instructions based upon concept identifiers for the data	Pending	
Automated alert state change of user devices for time-based and location-based events	Pending	

EXHIBIT C

Trademarks

<u>Description</u>	<u>Registration/ Application Number</u>	<u>Registration/ Application Date</u>
VIVA E-SISTANT	75/743,336	07/06/99
E-SISTANT	75/743,335	07/06/99
ACTION ENGINE	76/158,983	11/02/00
ACTION ENGINE	76/158,850	11/02/00
E AND DESIGN	76/158,853	11/02/00
E AND DESIGN	76/158,851	11/02/00
E-SISTANT	76/158,984	11/02/00
E-SISTANT	76/158,874	11/02/00
ACTION MESSAGING	76/172,833	11/27/00
ACTION MESSAGING	76/172,834	11/27/00

**CERTIFICATE OF FIRST CLASS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail on: April 17, 2001  
addressed to: Commissioner of Patents and Trademarks, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231.

By:



Crystal Grondahl

Gray Cary\PA\10097375.2  
1090371-944600