

05-03-2001

MAL LABEL ELL66303095015

RECORDATION FORM COVER SHEET



PATENTS ONLY

4-18-01

To the Honorable Commissioner of P

101699637

ent or copy thereof

1. Name of conveying party(ies):  
Michael P. Etgen  
John H. Bailey  
Emilio F. Zegarra  
Dong Feng Li

2. Name and address of receiving party(ies):  
International Business Machines Corp.

Additional names attached? No

Street Address: New Orchard Road

3. Nature of conveyance:  
 Assignment  Merger

City: Armonk

Security Agreement

State: NY

Zip: 10504

Change of Name  Other

09837437

Execution Date:  
March 27, 2001 as to Etgen  
April 11, 2001 as to Bailey  
April 9, 2001 as to Zegarra  
March 25, 2001 as to Li

Additional name(s)/address(es) attached: No

4. Application number(s) or patent number(s)  
If this document is being filed together with a new application, the execution date of the application is:  
March 24, 2001 as to Inventor Michael P. Etgen;  
March 30, 2001 as to Inventor John H. Bailey;  
March 28, 2001 as to Inventor Emilio F. Zegarra;  
March 25, 2001 as to Inventor Dongfeng Li.

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Gregory S. Bernabeo  
Reg. No. 44,032  
Synnestvedt & Lechner LLP  
2600 Aramark Tower  
1101 Market Street  
Philadelphia, PA 19107-2950  
(215) 923-4466

6. Total number of applications and patents involved: (1)

7. Total fee (37 CFR 3.41) \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit Account No. 09-0461  
(attach duplicate copy if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

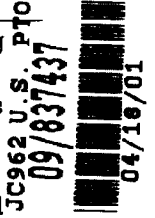
Gregory S. Bernabeo  
Name of Person Signing

*Gregory S. Bernabeo*  
Signature

April 18, 2001  
Date

Total number of pages including this cover sheet, attachments and documents: (9)

Form PTO-1595



**ASSIGNMENT**

Whereas, we

- |  |   |
|--|---|
| (1) <b>Michael P. Etgen</b><br>County of <b>Wake</b>           | City of <b>Apex</b><br>and State of <b>NC</b>           |
| and (2) <b>John H. Bailey</b><br>County of <b>Durham</b>       | City of <b>Durham</b><br>and State of <b>NC</b>         |
| and (3) <b>Emilio F. Zegarra</b><br>County of <b>Allegheny</b> | City of <b>Pittsburgh</b><br>and State of <b>PA</b>     |
| and (4) <b>Dong Feng Li</b><br>County of <b>Butler</b>         | City of <b>Cranberry Twp.</b><br>and State of <b>PA</b> |

have invented certain improvements in

**GRAPHICAL USER INTERFACE  
FOR DIRECT CONTROL OF DISPLAY DATA**

and have executed, respectively, a United States patent application therefor on the following dates:


- (1) March 24, 2001; (2) \_\_\_\_\_;
- (3) \_\_\_\_\_; and (4) \_\_\_\_\_.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED AND SEALED

(1) at Apex, NC, on March 27, 2001, 2001.

  
\_\_\_\_\_  
**Michael P. Etgen**

(2) at \_\_\_\_\_, on \_\_\_\_\_, 2001.

\_\_\_\_\_  
**John H. Bailey**

(3) at \_\_\_\_\_, on \_\_\_\_\_, 2001.

\_\_\_\_\_  
**Emilio F. Zegarra**

(4) at \_\_\_\_\_, on \_\_\_\_\_, 2001.

\_\_\_\_\_  
**Dongfeng Li**

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- (1) \_\_\_\_\_; (2) 3/30/2001 \_\_\_\_\_;  
 (Date) (Date)
- (3) \_\_\_\_\_; and (4) \_\_\_\_\_.  
 (Date) (Date)

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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SIGNED AND SEALED

(1) at \_\_\_\_\_, on \_\_\_\_\_, 2001.  
(City and State)

\_\_\_\_\_  
**Michael P. Etgen**

(2) at Durham, NC, on 4/11/, 2001.  
(City and State)

John H. Bailey  
**John H. Bailey**

(3) at \_\_\_\_\_, on \_\_\_\_\_, 2001.  
(City and State)

\_\_\_\_\_  
**Emilio F. Zegarra**

(4) at \_\_\_\_\_, on \_\_\_\_\_, 2001.  
(City and State)

\_\_\_\_\_  
**Dongfeng Li**

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(Date) (Date)

(3) March 28, 2001; and (4) \_\_\_\_\_.  
(Date) (Date)

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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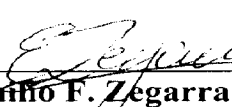
(1) at \_\_\_\_\_, on \_\_\_\_\_, 2001.  
(City and State)

\_\_\_\_\_  
**Michael P. Etgen**

(2) at \_\_\_\_\_, on \_\_\_\_\_, 2001.  
(City and State)

\_\_\_\_\_  
**John H. Bailey**

(3) at Pittsburgh, PA, on April 9<sup>th</sup>, 2001.  
(City and State)

  
\_\_\_\_\_  
**Emilio F. Zegarra**

(4) at \_\_\_\_\_, on \_\_\_\_\_, 2001.  
(City and State)

\_\_\_\_\_  
**Dongfeng Li**

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- (3) \_\_\_\_\_; and (4) 03/25/2001.

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\_\_\_\_\_  
**John H. Bailey**

(3) at \_\_\_\_\_, on \_\_\_\_\_, 2001.

\_\_\_\_\_  
**Emilio F. Zegarra**

(4) at 704 Rensser Court, on Mar. 25, 2001.  
**Dongfeng Li** Cranberry, PA 16066

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