

05-03-2001



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# RECORDATION FORM COVER SHEET

 U.S. DEPARTMENT OF COMMERCE  
 Patent and Trademark Office

**PATENTS ONLY** 09/744,912

Patents and Trademarks: Please record the attached original documents or copy thereof.

**1. Name of conveying party(ies):**

Naoki MASUDA and Kazuyuki AIHARA

 Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance:**
☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: 1/16/01 &amp; 1/24/01

**2. Name and address of receiving party(ies)**

 Name: JAPAN SCIENCE AND TECHNOLOGY  
 CORPORATION

Internal Address: \_\_\_\_\_

09744912

Street Address: 1-8, Hon-cho 4-chome,

Kawaguchi-shi, Saitama 332-0012  
JAPAN

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

 Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

U.S.S.N. 09/744,912

B. Patent No.(s)

 Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence concerning document should be mailed:**

Name: George A. Loud

Internal Address: \_\_\_\_\_

04/16/2001 MAILING 00000061 09744912

03 FEB 2001

40.00 DP

Street Address: LORUSSO &amp; LOUD

3137 Mt. Vernon Avenue

City: Alexandria State: VA ZIP: 22305

**6. Total number of applications and patents involved:**

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account
**8. Deposit account number:**

(Attach duplicate copy of this page if paying by deposit account)

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**9. Statement and signature.**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George A. Loud

Name of Person Signing

Signature

12 April 2001

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:

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PATENT

REEL: 011741 FRAME: 0118

ASSIGNMENT

WHEREAS, WE, Naoki MASUDA and Kazuyuki AIHARA  
of, respectively, Yamaya Bldg. 202, 20-5, Ikebukuro Hon-cho 1-chome,  
Toshima-ku, Tokyo 170-0011 Japan; 8-8-208, Yatsu 4-chome,  
Narashino-shi, Chiba 275-0026 Japan

have invented certain improvements in "TIME-SERIES PREDICTION METHOD  
AND APPARATUS UTILIZING WAVELET COEFFICIENT SERIES" set forth in  
an application for Letters Patent of the United States; and

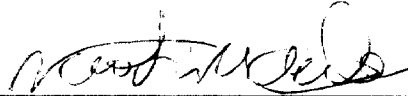
WHEREAS, JAPAN SCIENCE AND TECHNOLOGY CORPORATION  
of 1-8, Hon-cho 4-chome, Kawaguchi-shi, Saitama 332-0012 Japan  
is desirous of acquiring an interest therein;

NOW THEREFORE, for and in consideration of the sum of  
One Dollar (\$1.00) and other good and valuable consideration, the  
receipt of which is hereby acknowledged, we, Naoki MASUDA and  
Kazuyuki AIHARA

by these presents do sell, assign and transfer unto said JAPAN  
SCIENCE AND TECHNOLOGY CORPORATION its  
successors, legal representatives, heirs and assigns, the full  
and exclusive right, title and interest in the United States of  
America said application executed on the 16<sup>th</sup> day of  
January, 2001, (and we hereby authorize my attorneys,  
authorized to prosecute said application, to here insert the  
filing date and serial number of said application, when known:  
Serial No. 09/744,912 Filed 31 JAN 01) to all Letters

Patents in the United States which may be granted on said application, to all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent, all applications for Letters Patent and all Letters Patent therefor, to be held and enjoyed by JAPAN SCIENCE AND TECHNOLOGY CORPORATION for its use and behoof and for its legal representatives, successors, heirs, and assigns, to the full end of the term for which said Letters Patent are granted and any extensions thereof, as fully and entirely as the same would have been held by us had this assignment and sale not been made; and for the same consideration, we hereby covenant and agree that, at the time of execution and delivery of this instrument, we hold good and full right and lawful authority to sell and convey the application for Letters Patent above mentioned in the manner herein set forth; and for the same consideration we hereby covenant and agree that we will, whenever counsel of JAPAN SCIENCE AND TECHNOLOGY CORPORATION or the counsel of its successors, legal representatives, heirs or assigns, shall advise that any proceeding in connection with said application for Letters Patent, or any proceeding in connection with Letters Patent in the United States, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue or extension of any Letters Patent to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary

or required to be done for the procurement, maintenance,  
enforcement and defense of said Letters Patent, without charge to  
us, our successors, legal representatives, heirs and assigns, but  
at the cost and expense of JAPAN SCIENCE AND  
TECHNOLOGY CORPORATION its  
successors, legal representatives, heirs and assigns; and we  
hereby request the Commissioner of Patents to issue said Letters  
Patent to JAPAN SCIENCE AND  
TECHNOLOGY CORPORATION as assignee thereof.

 Jan. 24, 2001  
\_\_\_\_\_  
Naoki MASUDA date

 Jan. 16, 2001  
\_\_\_\_\_  
Kazuyuki AIHARA date

\_\_\_\_\_  
date