

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20503

700006249

PATENT
REEL: 011741 FRAME: 0962

Correspondent Name and Address	Area Code and Telephone Number	(215) 568-6400
Name	RUY M. GARCIA-ZAMOR, ESQUIRE	
Address (line 1)	VOLPE AND KOENIG, P.C.	
Address (line 2)	SUITE 400, ONE PENN CENTER	
Address (line 3)	1617 JOHN F. KENNEDY BLVD.	
Address (line 4)	PHILADELPHIA, PA 19103	

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 4

Application Number(s) or Patent Number(s) ☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
09/735,583					

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40

Method of Payment: Enclosed ☐ Deposit Account ☒

Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 22-0493

Authorization to charge additional fees: Yes ☐ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

RUY M. GARCIA-ZAMOR, ESQUIRE

Name of Person Signing Reg. No. 44,117

Signature

Date 7/10/01

**RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY**

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) JOHNSON, WARREN P.

05 23 2001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, Brett J. Rosen, residing at 15 Peter Drive, Richboro, Pennsylvania 18954, United States of America; Robert J. Chilton III, residing at 1129 Tyson Avenue, Abington, Pennsylvania 19001, United States of America; and Warren P. Johnson, residing at 4 Colony Boulevard, # 427, Wilmington, Delaware 19802, United States of America, all citizens of the United States of America (hereafter jointly and severally referred to as the undersigned), are the inventors of

OPTICAL COMPONENT MOUNTING BRACKET

for which the undersigned have executed an application for United States Letters Patent, U.S. Patent Application No. 09/735,583, filed December 13, 2000.

AND, WHEREAS, General Instrument Corporation, a Delaware corporation, having its principal place of business at 101 Tournament Drive, Horsham, Pennsylvania 19044, United States of America (hereafter referred to as the assignee), is desirous of acquiring the entire right, title and interest in said invention, all applications for and all letters patent issued on said invention.

NOW, THEREFORE, in consideration of One Dollar (\$1.00), receipt of which is acknowledged by the undersigned, and of other good and valuable consideration, the undersigned, intending to be legally bound, do hereby sell, assign and transfer to the assignee and assignee's successors, assigns and legal representatives the entire right, title and interest in said invention and all patent applications thereon, including, but not limited to, the application for United States Letters Patent entitled as above, and all divisions and continuations thereof, and in all letters patent, including all reissues thereof, throughout the world, including the right to claim priority under the Paris Convention.

It is agreed that the undersigned shall be legally bound, upon request of the assignee, to supply all information and evidence relating to the making and practice of said invention, to testify in any legal proceeding relating thereto, to execute all instruments proper to patent the invention throughout the world for the benefit of the assignee, and to execute all instruments proper to carry out the intent of this instrument.

The undersigned warrant that the rights and property herein conveyed are free and clear of any encumbrance.

EXECUTED under seal on this 18th day of May, 2001
at Horsham, PA.

Witness:

Kimberlee A. Nilsen

Brett J. Rosen (L.S.)
Brett J. Rosen

Commw. Pennsylvania
State of

SS.

County of Montgomery

On this 18th day of May, 2001 before me personally appeared Brett J. Rosen,
to me known to be the person described herein and who executed the foregoing instrument,
and acknowledged that he executed the same knowingly and willingly and for the purposes
therein contained.

Witness my hand and Notarial seal the day and year immediately above written.

Kimberlee A. Nilsen
Notary Public

My Commission Expires:

Notarial Seal
Kimberlee A. Nilsen, Notary Public
Horsham Twp., Montgomery County
My Commission Expires May 5, 2005

Member, Pennsylvania Association of Notaries

EXECUTED under seal on this 23 day of MAY, 2001
at HORSHAM PA.

Witness:

Kimberlee A. Nelson

Robert J. Chilton, III (L.S.)
Robert J. Chilton, III

Commw. Pennsylvania
State of Pennsylvania

SS.

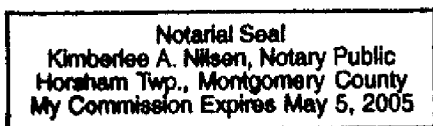
County of Montgomery

On this 23rd day of May, 2001 before me personally appeared Robert J. Chilton III, to me known to be the person described herein and who executed the foregoing instrument, and acknowledged that he executed the same knowingly and willingly and for the purposes therein contained.

Witness my hand and Notarial seal the day and year immediately above written.

Kimberlee A. Nelson
Notary Public

My Commission Expires:



Member, Pennsylvania Association of Notaries

EXECUTED under seal on this 23 day of May, 2001
at Horsham, PA.

Witness:

Kimberlee A. Nilsen

Warren Johnson (L.S.)
Warren P. Johnson

Commw.
State of Pennsylvania

SS.

County of Montgomery

On this 23rd day of May, 2001 before me personally appeared Warren P. Johnson, to me known to be the person described herein and who executed the foregoing instrument, and acknowledged that he executed the same knowingly and willingly and for the purposes therein contained.

Witness my hand and Notarial seal the day and year immediately above written.

Kimberlee A. Nilsen
Notary Public

My Commission Expires:

Notarial Seal
Kimberlee A. Nilsen, Notary Public
Horsham Twp., Montgomery County
My Commission Expires May 5, 2005

Member, Pennsylvania Association of Notaries