

05-03-2001



101700606

4.7301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Philip P. Garner
Patent No. : 5,731,416
Issued : March 24, 1998
Title : PEPTIDE BASED NUCLEIC ACID
SURROGATES
Attorney Docket : CWR 2 176-1
Assistant Commissioner For Patents
Washington, D.C. 20231
Attention: Assignment Branch

APR 23 2001

ASSIGNMENT TRANSMITTAL LETTER

408

Dear Sir:

Please record the attached original document or copy thereof.

1. Name of Party(ies) conveying an interest:

Case Western Reserve University
10900 Euclid Avenue
Cleveland, Ohio 44106

2. Name and Address of Party(ies) receiving an interest:

Philip P. Garner
3376 Clarendon Road
Cleveland Heights, Ohio 44118

3. Nature of Conveyance:

☒ Assignment ☐ Change of Name
☐ Security Agreement ☐ Merger
 Other _____

Execution Date: June 27, 2000

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

Date _____

A. Patent Application No.(s) _____

B. Patent No.(s) 5,731,416

Additional sheet attached? _____ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard J. Minnich, Esq.
FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP
1100 Superior Avenue; Seventh Floor
Cleveland, Ohio 44114-2518

6. Number of applications and patents involved: -1-

7. Total Fee (37 C.F.R. 3.41) \$40.00

 X Enclosed
 Authorized to be charged to Deposit Account

8. Deposit Account Number: 06-0308

** (Attach duplicate copy of this form if paying
by Deposit Account)

DO NOT USE THIS SPACE

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

4/20/01
Date

Richard J. Minnich
Richard J. Minnich
Reg. No. 24,175

10. -4- Total number of pages including cover sheet, attachments, and document.

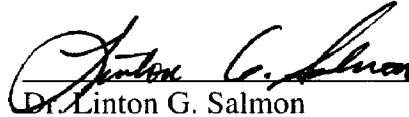
CERTIFICATE OF MAILING

I hereby certify that this Assignment is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on April 20, 2001.

Sally A. Wohlford
Sally A. Wohlford

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, receipt of which is hereby acknowledged, Case Western Reserve University, a non-profit corporation of the State of Ohio and with a principal place of business at 10900 Euclid Avenue, Cleveland, Ohio 44106, the owner of record of U.S. Patent Number 5,731,416, hereby sells, transfers, sets over and assigns unto Philip P. Garner, residing at 3376 Clarendon Road, Cleveland Heights, OH, his successors, assigns, nominees, or other legal representatives, all of the entire right, title and interest in and to United States Patent Number 5,731,416 which issued on March 24, 1998 with a title of Peptide Based Nucleic Acid Surrogates and naming as sole inventor Philip P. Garner.



Dr. Linton G. Salmon

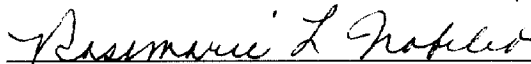
Vice President for Research and Technology Transfer
Case Western Reserve University

State of Ohio

ss:

County of Cuyahoga

This 17th day of June, 2000, before me personally appeared the above-named Linton G. Salmon to me personally known as the individual who executed the foregoing assignment, and who acknowledged to me that he executed the same on behalf of Case Western Reserve University for the purpose therein set forth.



Notary Public

ROSEMARIE L. NOBILIO
NOTARY PUBLIC, STATE OF OHIO
Recorded in Cuyahoga County
My Comm. Expires 09-30-02