

07-16-2001

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101764199

1. Name of conveying party(ies):

Timothy Jay Smith
Vivek Prabhakar RaoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 4/10/01

Patents and Trademarks: Please record the attached original documents or copy thereof.

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: Appliance Park

GE Appliances, 2-225

City: Louisville State: KY ZIP: 40225

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 10, 2001

A. Patent Application No.(s)
9D-EC-19759

B. Patent No.(s)

09829866

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: _____

Internal Address: _____

Street Address: Appliance Park

GE Appliances, 2-225

City: Louisville State: KY ZIP: 40225

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0844

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

05/01/2001 TDIAZ1 00000015 070844 09829866
01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George L. Rideout Jr.
Name of Person SigningGeorge L. Rideout Jr.
Signature

4/10/01

Date

Total number of pages comprising cover sheet: 1

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, Timothy Jay Smith of Elizabethtown, Hardin County, Kentucky; and Vivek Prabhakar Rao of Louisville, Jefferson County, Kentucky sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in Delivery Management System Capacity Process and Display invented by us, as described in the application for United States patent executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention in all countries.

4-10- 2001

4-10- 2001

Timothy Jay Smith

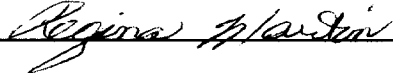
Vivek Rao

State of Kentucky)

) ss:

County of Jefferson)

This 10th day of April 2001, before me personally came the above-named Timothy Jay Smith, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



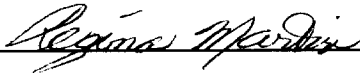
MY COMMISSION EXPIRES March 24, 2003

State of Kentucky)

) ss:

County of Jefferson)

This 10th day of April 2001, before me personally came the above-named Vivek Prabhakar Rao, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.



MY COMMISSION EXPIRES March 24, 2003