

05-03-2001

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



PATENTS ONLY

101700816

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kwong H. Wong

04/18/01

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City: Armonk

State: NY

ZIP: 10504

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: 04/17/2001

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 04/17/2001

A. Patent Application No.(s)

09/837805

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric W. Petraske

Internal Address: Intellectual Property Law Department

International Business Machines Corporation

Dept. 18G / Bldg. 300-482

Street Address: 2070 Route 52

City: Hopewell Junction

State: NY

ZIP: 12533

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-217

05/04/2001 DRYRNE 00000015 090458 09837805

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric W. Petraske, Reg. No. 28,459

Name of Person Signing

*Eric W. Petraske*

Signature

April 18, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT

REEL: 011743 FRAME: 0400

# ASSIGNMENT

WHEREAS, I

(1) Kwong H. Wong of Wappingers Falls  
County of Dutchess State of New York

have invented certain improvements in

## A METHOD FOR MAKING METAL-INSULATOR-METAL CAPACITOR USING PLATE-THROUGH MASK TECHNIQUES

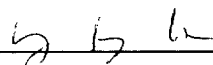
and executed a United States patent application therefor on: 4/17/41 .  
(dates that Inventors signed the declaration)

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at East Fishkill, NY  
on 4/17/41,

  
\_\_\_\_\_  
Kwong H. Wong