

05-03-2001




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4.19.01

UNUS #99-D240-EDG

Docket #J6572(C)

RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE - PATENT	
To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.			
1. NAME OF CONVEYING PARTY(IES):  Shuman MITRA  ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? YES [ <input type="checkbox"/> >] NO [ <input checked="" type="checkbox"/> x]		2. NAME & ADDRESS OF RECEIVING PARTY(IES):  APR 19 2001 NAME: Unilever Home & Personal Care USA, Division of Conopco, Inc. STREET ADDRESS: 33 Benedict Place CITY: Greenwich STATE: Connecticut ZIP: 06830	
3. NATURE OF CONVEYANCE:  [ <input checked="" type="checkbox"/> x] ASSIGNMENT [ <input type="checkbox"/> >] SECURITY AGREEMENT [ <input type="checkbox"/> >] MERGER [ <input type="checkbox"/> >] CHANGE OF NAME [ <input type="checkbox"/> >] OTHER EXECUTION DATE : 4/9/01			
4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 09/772,206 FILED January 29, 2001 IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS: <>			
A. PATENT APPLICATION NO.(S) 09/772,206 ADDITIONAL NUMBERS ATTACHED? [ <input type="checkbox"/> >] YES [ <input checked="" type="checkbox"/> x] NO		B. PATENT NO.(S) <> ADDITIONAL NUMBERS ATTACHED? [ <input type="checkbox"/> >] YES [ <input checked="" type="checkbox"/> x] NO	
5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020			
6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1			
7. TOTAL FEE (37 CFR 3.41): \$40.00 [ <input checked="" type="checkbox"/> x] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT [ <input checked="" type="checkbox"/> x] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.			
8. DEPOSIT ACCOUNT NUMBER: 12-1155			
DO NOT USE THIS SPACE			
9. STATEMENT AND SIGNATURE: TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT   ALAN A. BORNSTEIN ATTORNEY FOR THE APPLICANT(S) REGISTRATION NO. 40,919  17 April '01 DATE			
TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 5			
OMB NO. 0651-0011 (EXP. 4/94)			
DO NOT DETACH THIS PORTION  MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO: COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231  PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231			

PATENT  
REEL: 011743 FRAME: 0418

**ASSIGNMENT**

WHEREAS, **SHUMAN MITRA**, a citizen of The United States, residing at 4637 Sandyford Court Dublin, CA 94568, respectively, invented certain improvements in

**EXTRUDABLE MULTIPHASE COMPOSITION COMPRISING LAMELLAR  
PHASE INDUCING STRUCTURANT IN EACH PHASE**

(bearing Attorney's Docket Number J6572(C),) which is found in:

[ Check and complete (a), (b) or (c) ]

(a) \_\_\_\_\_ U.S. patent application executed on \_\_\_\_\_

(b)   X   To comply with 37 CFR 3.21 for recordal of this assignment, I/ WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 09/772,206 filed on January 29, 2001.

(c) \_\_\_\_\_ International Application No. PCT \_\_\_\_\_ / \_\_\_\_\_.

which claims the benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

60/190,624  
(Application No.)

March 20, 2000  
(Filing Date)

\_\_\_\_\_  
(Application No.)

\_\_\_\_\_  
(Filing Date)

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York, having a principal place of business at 33 Benedict Place, Greenwich, Connecticut 06830, desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid **UNILEVER HOME & PERSONAL**

**CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** its successors and assigns, may be necessary in this or any foreign country fully to secure to said **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.** its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 9<sup>th</sup> day of April, 2001.

STATE OF California )  
COUNTY OF Alameda ) Shuman Mitra (Seal)  
**Shuman Mitra**

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001 before me came Shuman Mitra to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

See Attached  
\_\_\_\_\_  
Notary Public

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Alameda } SS.

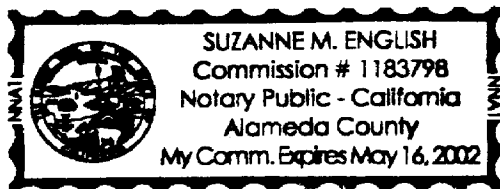
On 4-9-01 \_\_\_\_\_  
Date

, before me, Suzanne M. English \_\_\_\_\_  
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Shuman Mitra \_\_\_\_\_  
Name(s) of Signer(s)

- ☒ personally known to me  
☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Suzanne M. English \_\_\_\_\_  
Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

*Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.*

### Description of Attached Document

Title or Type of Document: Assignment - Docket # 16572c

Document Date: 4-9-01 \_\_\_\_\_ Number of Pages: 1

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

