

05-03-2001

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



PATENTS ONLY

101700698

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John D. Dobak, III
Juan C. Lasheras

4.7301

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 17, 2001

2. Name and address of receiving party(ies):

Name: Innercool Therapies, Inc.

Internal Address: _____

APR 23 2001

Street Address: 3931 Sorrento Valley Boulevard

City: San Diego State: CA ZIP: 92121

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/714,749

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark D. Wiczorek

Internal Address: Innercool Therapies, Inc.

Street Address: 3931 Sorrento Valley Boulevard

City: San Diego State: CA ZIP: 92121

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark D. Wiczorek, Reg. No. 37,966

Name of Person Signing

Signature

April 18, 2001

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT

REEL: 011743 FRAME: 0927

ASSIGNMENT

WHEREAS, We, John D. Dobak, III, a citizen of the United States of America, having place of residence at 2385 Ridgeway Row, La Jolla, California 92037, and Juan C. Lasheras, a citizen of the United States of America, having place of residence at 6898 Via Estrada, La Jolla, California 92037; hereafter referred to as “applicants”, have invented certain new and useful improvements in: METHOD FOR LOW TEMPERATURE THROMBOLYSIS AND LOW TEMPERATURE THROMBOLYTIC AGENT WITH SELECTIVE ORGAN TEMPERATURE CONTROL for which an application for a United States Patent was filed on November 16, 2000, application serial number 09/714,749, and

WHEREAS, Innercool Therapies, Inc., a California corporation having an office at 3931 Sorrento Valley Boulevard, San Diego, California 92121 (herein referred to as “assignee”, which term includes the successors and assigns of assignee), is desirous of acquiring the entire right, title, and interest in and to said invention and in and to said letters patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, we, the applicants, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention *including any and all divisionals, continuations, continuations-in-part, reissues, and reexaminations thereof* in the United States and in any and all foreign countries and the entire right, title, and interest in and to any and all Patents which may be granted therefore in the United States and in any and all foreign countries.

WE HEREBY authorize and request the Commissioner of Patents to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for its

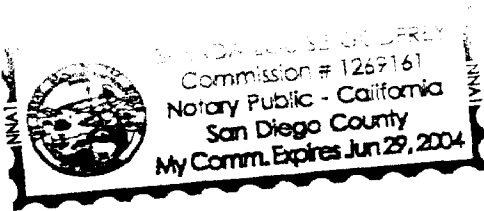
sole use and behoof; and for the use and behoof of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

Executed this 17 day of April, 2001, at San Diego,

John D. Dobak, III
John D. Dobak, III

State of California) SS:
County of San Diego)

On April 17, 2001, before me,
Brenda Louise Godfrey, Notary Public, personally
appeared John D. Dobak, III, personally known to
me to be the person whose name is subscribed to the
within instrument and acknowledged to me that he
executed the same in his authorized capacity, and
that by his signature on the instrument the person, or
entity upon behalf of which the person acted,
executed the instrument.



WITNESS my hand and official seal.

Brenda Godfrey
Louise

Executed this 17 day of April, 2001, at San Diego,

Juan C. Lasheras
Juan C. Lasheras

State of California) SS:
County of San Diego)

On April 17, 2001, before me,
Brenda Louise Godfrey, Notary Public, personally
appeared Juan C. Lasheras, personally known to me
to be the person whose name is subscribed to the
within instrument and acknowledged to me that he
executed the same in his authorized capacity, and
that by his signature on the instrument the person, or
entity upon behalf of which the person acted,
executed the instrument.



WITNESS my hand and official seal.

Brenda Louise Godfrey