Form PTO-1619A (Modified)

05-04-2001



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PATENTS ONLY				
TO: The Commissioner of Patents and Trademarks	: Please record the atta	iched original document(s) or copy(ies).		
Submission Type	Conveyance Type	2		
☑ New	Assignment ■	☐ Security Agreement		
☐ Resubmission (Non-Recordation) Document ID #	License	☐ Change of Name		
Correction of PTO Error Reel # Frame #	☐ Merger	☐ Other		
Corrective Document Reel # Frame #				
Attorney Docket No. SCAL.P0005				
	names of conveying parties	Execution Date MMDDYYYY		
Name (1 st party) COATES, Joshua L.		02262001		
Name (2 nd party) BOZEMAN, Patrick E. Name (3 rd party) PATTERSON, David A.		02262001		
Name (4 th party)		02262001		
Receiving Party Name Scale Eight, Inc. Name A Delaware Corporation 625 Second Street, Suite 201 Address Address Address San Francisco, CA 94107 City State/Country	I names of receiving parties Zip Code	☐ If document to be recorded is an assignment and the receiving party is not domiciled in the U.S., an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)		
•	ceiving party is not domiciled i	n the United States)		
Name				
Address				
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Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT REEL: 011747 FRAME: 0101

U.S. Department of Commerce

Patent and Trademark Office

PATENT

Form PTO-1619B (Modified)	RECORDATION FORM COVER SHEET Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT		
Correspondent Na	ame and Address			
Name: Address: Telephone Number: Fax Number:	John Stattler Stattler Johansen & Adeli LLP P.O. Box 51860 Palo Alto, California 94303-0728 (650) 934-0470, ext. 100 (650) 934-0475			
	the total number of pages of the attached conveyance nent including any attachments.	3		
Application Number(s) or Patent Number(s) Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property.) Patent Application Number(s) Patent Number(s)				
09753221 If this document is being f	iled together with a new Patent Application, enter the date the patent	*		
	the first named executing inventor. MMDDYYYY			
Patent Cooperation Treaty (PCT) Enter PCT application number(s) only if a U.S. Application Number has not been assigned.				
Number of Proper	ties Enter the total number of properties involved	1		
Fee Amount	Fee Amount for Propetries Listed (37CFR3.41) \$	40.00		
Method of Payment: Enclosed ☑ Deposit Account ☐ Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.) Deposit Account Number: # 50 1128 Authorization to charge additional fees: Yes ☑ No ☐				
Statement and Sig				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.				
John Stattler Name of Perso	on Signing Signature	4/27/01 Date		

PATENT

REEL: 011747 FRAME: 0102

JOINT INVENTORS TO CORPORATION ASSIGNMENT

WHEREA	S, the	undersigned	Inventors:
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- (1) <u>Joshua L. Coates</u>, a resident of <u>Orinda, California</u>;
- (2) Patrick E. Bozeman, a resident of San Francisco, California; and
- (3) <u>David A. Patterson</u>, a resident of <u>Kensington</u>, <u>California</u>;

have invented certain new and useful improvements in:

A DISTRIBUTED STORAGE CLUSTER ARCHITECTURE

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

	On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature.
Or	

Said application having SC/Serial Number <u>09/753,221</u> and filed on <u>December 29, 2000.</u>

WHEREAS Scale Eight, Inc. (hereinafter termed "Assignee"), a corporation of the State of Delaware, having a place of business at 625 Second Street, Suite 201, San Francisco, California, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any

Page 1 of 2

Attorney Docket No. SCAL.P0005

PATENT REEL: 011747 FRAME: 0103 of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives, and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgement as given below and delivered this instrument to said Assignee.

(1)	Joshua L. Coates	2 26 01 Date
(2)	Patrick E. Bozeman	2/26/01 Date
(3)	David A Patterson	Date

of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
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(1)		
(-)	Joshua L. Coates	Date
(2)	Patrick E. Bozeman	Date
	Patrick E. Bozeman	Date
(3)	David A. Patterson	2/26/01 Date
	David A. Falleisun	Date