

4/23/01

05-04-2001



101702410

Martin J. Montgomery
E. Carl Sprengle

ECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

s and Trademarks: Please record the attached original documents or copy thereof.

2. Name and address of receiving party(ies):

Name: Smart Vent, Inc.

Internal Address: _____

Street Address: 3330 Simpson Avenue

City: Ocean City State: New Jersey Zip: 08226

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 03/16/01 and 04/07/01

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/821,397

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Rodman Steele, Jr.

Internal Address: Akerman, Senterfitt & Eidson, P.A.

Street Address: Post Office Box 3188

City: West Palm Beach State: FL Zip: 33402-3188

6. Total number of applications and patents involved:

1

7. Total Fee (37 CFR 34) \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number

50-0951

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a truecopy of the original document.

Mark D. Passler

Reg. No. 40,764

Date

4/19/01

6371-3

Docket No:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT OF PATENT RIGHTS

WHEREAS, Martin J. Montgomery, a citizen of the United States, residing at 461 Old Avalon Boulevard, Avalon, New Jersey, 08202; and E. Carl Sprengle, a citizen of the United States, residing at 20 Warrick Avenue, Glassboro, New Jersey, 08028 (hereinafter referred to as "ASSIGNORS"), are the owners of certain inventions or improvements for a FOUNDATION FLOOD GATE WITH VENTILATION for which an application for Letters Patent of the United States was filed on March 29, 2001; and

WHEREAS, Smart Vent, Inc., a New Jersey corporation, having its principal place of business at 3330 Simpson Avenue, Ocean City, New Jersey, 08226 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNORS do hereby sell and assign to the ASSIGNEE, its successors and assigns, the ASSIGNORS' entire right, title and interest in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations and extensions thereof; and the ASSIGNORS hereby authorize and request the

Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as the ASSIGNORS' interests are concerned, to the ASSIGNEE.

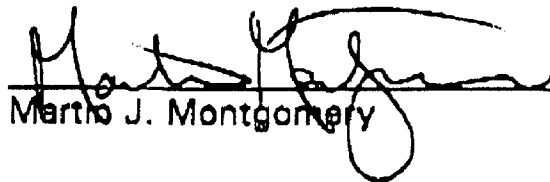
ASSIGNORS also hereby sells and assign to the ASSIGNEE, the ASSIGNEE'S successors and assigns, the ASSIGNORS' foreign rights to the invention disclosed in said application, in all patent-granting countries of the world, including the right to file applications and obtain patents for said invention in the ASSIGNEE'S own name in said countries and including all rights of priority in said countries under the terms of the International Convention for the Protection of Industrial Property, and the ASSIGNORS further agree to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.

The ASSIGNORS also hereby agree, at the request and expense of the ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid said ASSIGNEE, the ASSIGNEE'S successors and assigns, to obtain and enforce proper patent protection for said invention in all countries.

The ASSIGNORS also hereby grant J. Rodman Steele, Jr., Reg. No. 25,931; Gregory A. Nelson, Reg. No. 30,577; Joseph W. Bain, Reg. No. 34,290; Robert J. Sacco, Reg. No. 35,667; Stanley A. Kim, Reg., No. 42,730; Mark D. Passler, Reg. No. 40,764; Steven M. Greenberg, Reg. No. 44,725; Larry G. Brown, Reg. No. 45,834; Neil R. Jetter, Reg. No. 46,803; and Kevin T. Cuenot, Reg. No. 46,283

the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

Dated this 2 day of April, ~~1999~~
2001


Martin J. Montgomery

State of New Jersey)

County of CAPE MAY) ss

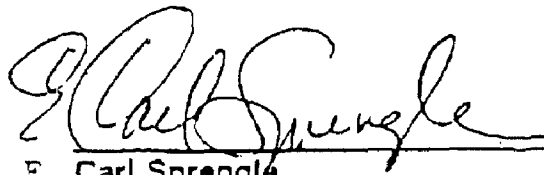
Before me, a notary public, in and for the State and County aforesaid, on this 2 day of April, 2001, personally appeared Martin J. Montgomery, who being personally known to me, and who having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be bound thereby and intending that said instrument be recorded.

(Notary Seal)


(Signature of Notary Public)

Kristen L. MacCarter
(Print, Type or Stamp Commissioned
Name of Notary Public)

My Commission expires: 2/05/2006


E. Carl Sprengle

State of New Jersey)
) :ss
County of Gloucester)

Before me, a notary public, in and for the State and County aforesaid, on this
26th day of March, 2001, personally appeared ^{E.} Carl Sprengle, who being
personally known to me, and who having been by me first duly sworn, did
acknowledge the foregoing instrument as his free deed and act, signed, sealed and
delivered by him for the purpose therein stated and intending to be bound thereby
and intending that said instrument be recorded.

(Notary Seal)


(Signature) **DOLores H PASTER**
Notary Public, State of New Jersey
My Commission Expires Dec. 31, 2002

(Print, Type or Stamp Commissioned
Name of Notary Public)

My Commission expires:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of MONTGOMERY et al.

Application No. 09/821,397

Examiner:

Filed: March 29, 2001

Group Art Unit:

For: FOUNDATION FLOOD GATE WITH VENTILATION

TRANSMITTAL LETTER

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being
deposited with the U.S. Postal Service as First Class mail
in an envelope addressed to Commissioner for
Patents, Washington, D.C. 20231 on 4/19/01

Mark D. Passler Reg. No. 40,764

Attn: Box Assignment
Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find enclosed for filing:

- X Assignment and Recordation Cover Sheet
- X Check in the amount of \$40.00 for recording the assignment
- X Please charge any deficiencies or credit any overpayment to
Deposit Account No. 50-0951.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 4/19/01

Mark D. Passler
J. Rodman Steele, Jr.
Registration No. 25,931
Mark D. Passler
Registration No. 40,764
Akerman, Senterfitt & Eidson, P.A.
Esperante Building, Suite 400
222 Lakeview Avenue
Post Office Box 3188
West Palm Beach, FL 33402-3188
Telephone: (561) 653-5000

Docket No: 6371-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of MONTGOMERY et al.

Application No. 09/821,397

Examiner:

Filed: March 29, 2001

Group Art Unit:

For: FOUNDATION FLOOD GATE WITH VENTILATION

TRANSMITTAL LETTER

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being
deposited with the U.S. Postal Service as First Class mail
in an envelope addressed to Commissioner for
Patents, Washington, D.C. 20231 on 4/19/01

Mark D. Passler Reg. No. 40,764

Attn: Box Assignment
Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find enclosed for filing:

- X Assignment and Recordation Cover Sheet
- X Check in the amount of \$40.00 for recording the assignment
- X Please charge any deficiencies or credit any overpayment to
Deposit Account No. 50-0951.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 4/19/01

Mark D. Passler
J. Rodman Steele, Jr.
Registration No. 25,931
Mark D. Passler
Registration No. 40,764
Akerman, Senterfitt & Eidson, P.A.
Esperante Building, Suite 400
222 Lakeview Avenue
Post Office Box 3188
West Palm Beach, FL 33402-3188
Telephone: (561) 653-5000

Docket No: 6371-3