

4/26/01

Docket No. EMC-01-008

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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208/REV02

RECORDATION FORM COVER SHEET

05-07-2001

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PATENTS ONLY



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Thomas E. Linnell

2. Name and address of receiving party(ies):

Name: **EMC Corporation**

Internal Address: **Office of the General Counsel**

Street Address: **35 Parkwood Drive**

City: **Hopkinton** State: **MA** ZIP: **01748**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **April 12, 2001**

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/796,168

B. Patent No.(s)

05/04/2001 LMUELLER 00000077 050889 09796168

01 FC:581

40.00 CH

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Christopher K. Gagne, Esq.**

Internal Address: **Office of the General Counsel**

EMC Corporation

Street Address: **35 Parkwood Drive**

City: **Hopkinton** State: **MA** ZIP: **01748**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

05-0889

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christopher K. Gagne, Esq.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **4**

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PATENT

REEL: 011748 FRAME: 0857

ASSIGNMENT

WHEREAS, we, Thomas Linnell and Steven Touch, have invented an invention comprising and/or embodying certain improvements or discoveries or both as described in an application for Letters Patent of the United States entitled ***STORAGE SYSTEM ADAPTER AND METHOD OF USING SAME***, the specification of which was filed on February 28, 2001 as U.S. Application No. 09/796,168 (we hereby authorize and request my attorney Christopher K. Gagne of EMC Corporation, Office of the General Counsel, 35 Parkwood Drive, Hopkinton, MA 01748 USA, to insert in the foregoing blanks the filing date and application number of said application when known).

WHEREAS, EMC Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Massachusetts and having a usual place of business at 35 Parkwood Drive, Hopkinton, Massachusetts 01748 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey to ASSIGNEE all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

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AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals as set forth below:

Date: 4/12/2001

Thomas E. Linnell
Inventor's Signature

Print full name of first inventor:

Thomas Linnell

Residence:

9 Bridle Path Drive, Northboro, MA 01532

Citizenship:

USA

Mailing Address:

9 Bridle Path Drive, Northboro, MA 01532

STATE/Commonwealth of
COUNTY OF

Massachusetts
Middlesex

Then personally appeared before me the above-named Thomas Linnell and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 12 day of April, 2001.

[Signature]
Notary Public

JULIA-ANNAM. WALECKI, Notary Public
My Commission Expires July 12, 2002

Print Name: _____

My Commission expires ___ / ___ / ___

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Date:

Inventor's Signature

Print full name of second inventor:

Steven Touch

Residence:

9 Cypress Road, Attleboro, MA 02703

Citizenship:

USA

Mailing Address:

9 Cypress Road, Attleboro, MA 02703

STATE/Commonwealth of _____

COUNTY OF _____

Then personally appeared before me the above-named Steven Touch and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this _____ day of _____, 2001.

Notary Public

Print Name: _____

My Commission expires ____ / ____ / ____

G/ChrisG/Prosecution/EMC-01-008 Joint Assignment-Post Filing.doc