

FORM PTO-1679A  
Expires 06/30/99  
OMB 0651-0027



05-07-2001



101703493

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**RECORDATION FORM COVER SHEET  
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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☒ New  
Resubmission (Non-Recordation)

☐ Document ID#

Correction of PTO Error

☐ Reel #  Frame #

Corrective Document

☐ Reel #  Frame #

**Conveyance Type**

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Name (line 1) Nies, Craig A.

Name (line 2)

Execution Date  
Month Day Year

04 23 2001

**Second Party**

Name (line 1) De Traversay, Jean

Name (line 2)

Execution Date  
Month Day Year

04 21 2001

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) HNC Software, Inc.

Name (line 2) a Corporation of the state of Delaware

Address (line 1) 5930 Cornerstone Court West

Address (line 2)

Address (line 3) San Diego

CA

92121

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 011749 FRAME: 0400**

## Correspondent Name and Address

Area Code and Telephone (415) 875-2410

Name Robert R. Sachs

Address (line 1) Fenwick &amp; West LLP

Address (line 2) 2 Palo Alto Square

Address (line 3) Palo Alto, CA 94306

Address (line 4)

## Pages

Enter the total number of pages of the conveyance document including attachments #

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/672,142

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

# 1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment

Enclosed



Deposit Account



## Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 19-2555

Authorization to charge additional fees:

Yes



No



## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

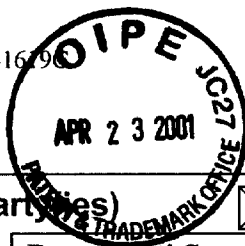
Robert R. Sachs

Name of Person Signing

Signature

Date

4/13/01



RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)



Mark if additional names of conveying parties attached

Execution Date  
Month Day Year

Name (line 1) Deo, Arati S.

04 19 2001

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Pathria, Anu K.

04 23 2001

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) Biafore, Louis S.

04 23 2001

Name (line 2)

Receiving Party(ies)



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Enter additional Receiving Party(ies)

Name (line 1)



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Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)



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Name (line 2)

Address (line 1)

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Address (line 3)

City

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Application Number(s) or Patent Number(s)



Mark if additional numbers attached

Enter either the Patent Application Number of the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



## ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to HNC Software, Inc., a Delaware corporation, having a place of business at 5930 Cornerstone Court West, San Diego, California 92121 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the application for United States Letters Patent entitled: **WEBSTATION: CONFIGURATION WEB-BASED WORKSTATION FOR REASON DRIVEN DATA ANALYSIS** ("APPLICATION"), which:

☐ is to be filed herewith  
☒ was filed on September 27, 2000, now bearing U.S. serial number 09/672,142 and for which a Declaration was executed by INVENTOR on the date(s) below; and

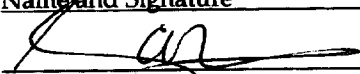
2. The entire worldwide right, title, and interest in and to:  
(a) the APPLICATION, including any right of priority; (b) any divisional, continuation, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; and (c) any patents which may be granted on the applications set forth in (a) and (b) above.

INVENTORS agree that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTORS agree to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTORS represent that INVENTORS have the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTORS have made or will make hereafter no assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Name and Signature	Date of Signature	Date Declaration Executed By This Person
 Craig A. Nies	4/23, 2001	4/23, 2001
Jean de Traversay	, 2001	, 2001

Case Docket # 5076

23/04 01 MON 04:02 FAX  
04/20/2001 09:34 FAX

MINDWAVE

002

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Name and SignatureDate of SignatureDate Declaration  
Executed By This PersonCraig A. Nies20012001Jean de TravenayApril 21<sup>st</sup> 2001April 21<sup>st</sup> 2001

Case/Document #

REV

Title of Document: **ASSIGNMENT**

Re:

Title:

Webstation: Configuration Web-Based Workstation for Reason Driven Data  
Analysis

Filed: (if applicable)

September 27, 2000

Serial No.: (if applicable)

09/672,142

Name and SignatureDate of SignatureDate DeclarationExecuted By This PersonArati S. Deo  
Arati S. DeoApril 19, 2001April 20, 2001Anu K. Pathria  
Anu K. PathriaApril 23, 2001April 23, 2001Louis S. Biafore  
Louis S. Biafore23 APR, 200123 APR, 2001State of \_\_\_\_\_  
County of \_\_\_\_\_On \_\_\_\_\_ before me, \_\_\_\_\_  
personally appeared:☐ *Craig Nies*☐ *Jean de Traversay*☐ *Arati S. Deo*☐ *Anu K. Pathria*☐ *Louis S. Biafore*

Personally known to me or proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacity, and that by their signatures on the instrument the persons, or the entity upon behalf of which the persons acted, executed the instrument.

Notary Seal

\_\_\_\_\_  
Notary