

05-07-2001

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

04/23/01

CHENG HORNG, RU-YING TONG AND MIN LI

2. Name and address of receiving party(ies):

Name: Headway Technologies, Inc.

Internal Address:

Street Address:

678 S. Hillview Drive
City: Milpitas State: Ca Zip:
95035Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ other _____Execution Date: 3/13/01Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/13/01A. Patent Application No(s)B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 20 MCINTOSH DRIVECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit acct.8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

STEPHEN B. ACKERMAN

Name of Person Signing

SignatureApril 19, 2001

Date

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40.00 CH

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Cheng Horng
Type or print name of ASSIGNOR
7174 CALCATERRA DR
Address
SAN JOSE, CA 95120
U.S.A.
Nationality

Ru-Ying Tong
Type or print name of ASSIGNOR
2433 LE BAIN DR
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Min Li
Type or print name of ASSIGNOR
40469 Shaw CT
Address
Fremont, CA 94538
P.R. China
Nationality

ASSIGNEE:

Headway Technologies, Inc.
678 S. Hillview Drive
Milpitas, Ca 95035
Usa
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
- ☐ an undivided _____ percent (___%) interest for the United States and its territorial possessions
(check the following box/f foreign rights are also to be assigned)
- ☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

Top Spin Valve Heads For Ultra-High Recording Density

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. application serial no. _____ filed on _____
(d) ☐ U.S. patent no. _____ issued _____
(e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority
(also check (e) if foreign application(s) is also being assigned)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

March 13, 2001 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Cheng T. Horng
Signature of ASSIGNOR(S)
Ru Jing
Min Li

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

____Notarization of Legalization Page Added.