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U.S. Department of Commerce  
Patent and Trademark Office  
Attorney Docket No. 04329.2566  
CUSTOMER NUMBER: 22,852

101705200

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy thereof.

ATTN. BOX ASSIGNMENTS

1017 U.S. PTO  
09/842403  
04/26/01

1. Name of conveying party(ies):

1. Shinichi ITO
2. Katsuya OKUMURA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Kabushiki Kaisha Toshiba

Internal Address:

Street Address: 72 Horikawa-cho, Saiwai-ku,  
Kawasaki-shi, Japan

City:

State:

Zip Code:

09842403

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: April 16, 2001

Additional name(s) & Address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: April 16, 2001

A. Patent Application Number(s):

04/30/2001 HGBREM1 00000025 09842403

01 FC:581

40.00 OP

B. Patent Number(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mr. Ernest F. Chapman

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT  
& DUNNER, L.L.P.

6. Total number of applications and registrations involved:  
1

7. Total fee (37 CFR 3.41): \$40  
☒

Enclosed (Please charge deficiency to deposit account)

☐  
Authorized to be charged to deposit account

Street Address: 1300 I Street, N.W.

City: Washington

State: DC

Zip:

20005-3315

8. Deposit account number: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard V. Burgujian

Reg. No. 31,744

Signature

April 25, 2001

Date

Total number of pages including cover sheet, attachments and documents: 3

PATENT  
REEL: 011757 FRAME: 0516

## A S S I G N M E N T

In consideration of value received, I, having a mailing address at c/o Intellectual Property Division, KABUSHIKI KAISHA TOSHIBA, 1-1 Shibaura 1-chome, Minato-ku, Tokyo 105-8001, Japan and a residence as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of an invention described in an application for United States patent entitled:

FILM FORMATION METHOD, SEMICONDUCTOR ELEMENT AND METHOD THEREOF,  
AND METHOD OF MANUFACTURING A DISK-SHAPED STORAGE MEDIUM

said application having been filed on \_\_\_\_\_  
and assigned Application No. \_\_\_\_\_;  
sell and assign to  
KABUSHIKI KAISHA TOSHIBA  
a corporation of Japan, having a business address at  
72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, Japan

its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent: (check one)

☒ executed concurrently herewith;

☐ executed separately herefrom;

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty is to issue patents, to issue any patent on said invention or resulting therefrom to said Assignee, and I agree that on request and without further consideration, but at the expense of said Assignee, I will communicate to said Assignee or its representatives all facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, or other applications, make all rightful oaths and declarations, and generally do everything possible to aid said Assignee to obtain and enforce proper patent protection for said invention in all countries.

I hereby grant the following law firm the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the Rules of the U. S. Patent and Trademark Office for recordation of this document.

Finnegan, Henderson, Farabow, Garrett & Dunner, L. L. P.,  
1300 I Street, N. W., Washington, D. C., 20005-3315

# A S S I G N M E N T

01S0319-1

Inventor: (Signature)

Date

Residence

*Shinichi Ito*  
Shinichi Ito

APR. 16. 2001

Yokohama-shi, Japan

*Katsuya Okumura*  
Katsuya Okumura

APR. 16. 2001

Tokyo, Japan

RECORDING OFFICER:

After recording please return to:  
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**RECORDED: 04/26/2001**

**PATENT**  
**REEL: 011757 FRAME: 0518**