

05-08-2001



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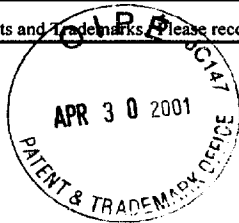
4.30.01

RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof.

1. Name of conveying parties:

- (1) Ian BRACKENRIDGE
(2) Caroline McGEE
(3) Steven McINTYRE
(4) John KNIGHT
(5) David HARTLEY



2. Name and address of receiving party:

Name: Vernalis Limited
Street Address: Oakdene Court, 613 Reading Road
Winnersh, Wokingham, Berkshire RG41 5UA
Country: Great Britain

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Additional name(s) & address(es) attached? ☐ yes ☒ no

Execution Dates: (1) April 5, 2001 (2) April 5, 2001;
(3) April 2, 2001; (4) April 5, 2001; and (5) April 7, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.

09/689,661

B. Patent No(s).

406

Additional numbers attached? ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

Internal Address: c/o Eric K. Steffe

Street Address: 1100 New York Ave., N.W.
Suite 600

City: Washington State: D.C. Zip Code: 20005-3934

6. Total number of applications and patents involved

1

7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to Deposit Account

8. Deposit Account Number: 19-0036

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric K. Steffe

Name of Person Signing

Registration No. 36,688

Signature

Date

4/30/01

Total number of pages including cover sheet, attachments and document 7

OMB NO. 0651-0011 (exp.4/94)

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

05/07/2001 GTON11 00000192 09689661

01 FC:581

40.00 DP

PATENT
REEL: 011759 FRAME: 0209

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: Ian BRACKENRIDGE, Caroline McGEE, Steven McINTYRE, John KNIGHT and David HARTLEY, the undersigned inventors hereby sells and assigns to VERNALIS LIMITED (the Assignee) his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box(es) ☒ for the United States of America (as defined in 35 U.S.C. § 100),
☒ and throughout the world,

(a) in the invention known as Process for the Production of R-(+)-6-Carboxamido-3-N-Methylamino-1,2,3,4-Tetrahydrocarbazole for which application for patent in the United States of America has been executed by the undersigned on (1) _____ (2) _____
(3) _____ (4) _____ (5) 1st April 2001 (also known as United States Application No. 09/689,661, filed October 13, 2000), in any and all applications thereon, in any and all Letters Patent(s) therefor, and

(b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventors agree to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned inventors agree to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventors hereby represent that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventors hereby grant Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29,021; Samuel L. Fox, Esquire, Registration No. 30,353; David K.S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Esquire, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831; Michele A. Cimbala,

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IN WITNESS WHEREOF, executed by the undersigned inventors on the date opposite his/her name.

Date: _____ Signature of Inventor: _____
(1) Ian BRACKENRIDGE

Date: _____ Signature of Inventor: _____
(2) Caroline McGEE

Date: _____ Signature of Inventor: _____
(3) Steven McINTYRE

Date: _____ Signature of Inventor: _____
(4) John KNIGHT

Date: 7th April 2001 Signature of Inventor: David Hartley
(5) David HARTLEY

ASSIGNMENT

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(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

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Date: 05 Apr 2001 Signature of Inventor: 
(1) Ian BRACKENRIDGE

Date: 05 APR 2001. Signature of Inventor: 
(2) Caroline McGEE

Date: _____ Signature of Inventor: _____
(3) Steven McINTYRE

Date: 05 APR 2001 Signature of Inventor: 
(4) John KNIGHT

Date: _____ Signature of Inventor: _____
(5) David HARTLEY

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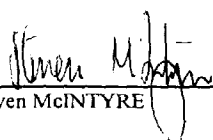
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Date: _____ Signature of Inventor: _____
(2) Caroline McGEE

Date: 02 APR 2001 Signature of Inventor: 
(3) Steven McINTYRE

Date: _____ Signature of Inventor: _____
(4) John KNIGHT

Date: _____ Signature of Inventor: _____
(5) David HARTLEY