

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

4/19/01 RE

07-19-2001

SHEET

U.S. DEPARTMENT
Patent and Trademark Office

Tab settings ▾ ▾ ▾ ▾ ▾



To the Honorable Commissioner of P.

101771794

Attached original documents or copy the

1. Name of conveying party(ies):

Baron, Andre T.

Reiter, Jill L.

Maihle, Nita J.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: TBIG

Internal Address: Tumor Biology

Investment Group

Street Address: 2610 Viola Rd., NE

City: Rochester State: MN ZIP: 55906

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment (3)☐ Merger☐ Security Agreement☐ Change of Name☒ Other Copy of Official Date of
Receipt (April 19, 2001)

Execution Date: April 9, 2001

4. Application number(s) or patent number(s): 09/676,380

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Debra M. Parrish

Internal Address:

Parrish Law Offices

Street Address: 615 Washington Road

Suite 200

City: Pittsburgh State: PA ZIP: 15228

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

501317

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Debra M. Parrish

Name of Person Signing

Signature

7-18-01

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box AssignmentsPATENT
REEL: 011761 FRAME: 0676

RESUB 7/18/01

PTO/SB/15 (02-01)

Approved for use through 05/31/2002. OMB 0651-0027

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

99-057

Whereas, I/We, Andre T. Baron of Rochester, Minnesota, hereafter referred to as applicant, have invented certain new and useful improvements in Soluble Epidermal Growth Factor Receptor-Like Proteins and Their Uses in Cancer Detection Methods

☒ for which an application for a United States Patent was filed on September 29, 2000

Application Number 09/676,380

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Tumor Biology Investment Group (TBIG) herein referred to "assignee" whose mailing address is Rochester, Minnesota is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of No dollars (\$ -0-), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 9th day of April, 2001
at Rochester, MN

State of Minnesota)

County of Olmsted)

SS:

Andre T. Baron

(Signature)

Andre T. Baron

Before me personally appeared said Andre T. Baron

and acknowledged the foregoing instrument to be his free act and deed this 9th

day of April

Seal



NORMA J. DALLMAN
NOTARY PUBLIC - MINNESOTA
My Commission Expires Jan. 31, 2005

Norma Dallman
(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☐ Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

PTO/SB/15 (02-01)

Approved for use through 05/31/2002. OMB 0651-0027

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

99-057

Whereas, I/We, Jill L. Reiter of Rochester, Minnesota hereafter referred to as applicant, have invented certain new and useful improvements in Soluble Epidermal Growth Factor Receptor-Like Proteins and Their Uses in Cancer Detection Methods

☒ for which an application for a United States Patent was filed on September 29, 2000
Application Number 09 / 676,380

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Tumor Biology Investment Group (TBIG) herein referred to "assignee" whose mailing address is Rochester, Minnesota is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of No dollars (\$ -0-), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

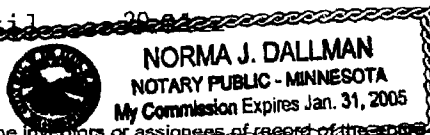
Executed this 9th day of April, 2001
at Rochester, MN

State of Minnesota
County of Olmsted SS:

Jill Reiter
(Signature)
Jill L. Reiter

Before me personally appeared said Jill L. Reiter
and acknowledged the foregoing instrument to be his free act and deed this 9th
day of April, 2001

Seal



Norma Dallman
(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☐ Total of _____ forms are submitted.

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PTO/SB/15 (02-01)

Approved for use through 05/31/2002. OMB 0651-0027

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

99-057

Whereas, I/We, Nita J. Maihle of Rochester, Minnesota, hereafter referred to as applicant, have invented certain new and useful improvements in Soluble Epidermal Growth Factor Receptor-Like Proteins and Their Uses in Cancer Detection Methods

☒ for which an application for a United States Patent was filed on September 29, 2000

Application Number 09/676,380

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Tumor Biology Investment Group (TBIG) herein referred to "assignee" whose mailing address is Rochester, Minnesota is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of No dollars (\$ -0-), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 6 day of April, 20 01

at Mary's Employee Credit Union - Rochester, MN

Nita J. Maihle

(Signature)

Nita J. Maihle

State of MinnesotaCounty of Columbia

SS:

Before me personally appeared said Nita J. Maihle

and acknowledged the foregoing instrument to be his free act and deed this 6

day of April, 20 01.

Rose Mary Timmer

(Notary Public)

Seal



Note: Signatures of all the Inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☐ Total of _____

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

PATENT

RECORDED: 07/18/2001

REEL: 011761 FRAME: 0679