

05-10-2001

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101712461

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

SURFACE ENGINEERED PRODUCTS CORP.

Additional name(s) of conveying party(ies) attached? ☐ Yes X No

## 2. Name and address of receiving party(ies):

Name:

SURFACE ENGINEERED PRODUCTS CORPORATION

Internal Address: \_\_\_\_\_

Street Address: 10102 - 114 Street  
Fort Saskatchewan, Alberta  
T8L 3W4 CANADAAdditional name(s) & address(es) attached? ☐ Yes X No

## 3. Nature of conveyance:

X Assignment

☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: March 5, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

09/219,149

09/557,597

09/589,196

## B. Patent No.(s)

6,093,260

(Our File: T8461852US)

Additional numbers attached? ☐ Yes X No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arne I. Fors  
Gowling Lafleur Henderson LLPStreet Address: Suite 4900  
Commerce Court West  
Toronto, Ontario  
M5L 1J3  
CANADA

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3:41) ..... \$ 160.00

☐ Enclosed

X Authorized to be charged to deposit account

## 8. Deposit account number:

07/1750

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arne I. FORS, Registration No. 20,775

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 7

## ASSIGNMENT

EFFECTIVE DECEMBER 28, 2000, FOR GOOD AND VALUABLE CONSIDERATION, **SURFACE ENGINEERED PRODUCTS CORP.**, the post office address of whose principal office or place of business is 10102-114 Street, Fort Saskatchewan, Alberta, Canada T8L 3W4, (hereinafter referred to as the "Assignor") does hereby sell, assign and transfer unto **SURFACE ENGINEERED PRODUCTS CORPORATION**, the full post office address of whose principal office or place of business is 10102-114 Street, Fort Saskatchewan, Alberta, Canada T8L 3W4, (hereinafter referred to as the "Assignee"), its entire right, title and interest in Canada, the U.S.A. and throughout the world in and to the inventions as described and claimed in the patents and patent applications as shown on the attached Schedule "A" together with its title and interest in and to all Letters Patent issuing on any applications for Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns or other legal representatives, to the full ends of the terms of all said Letters Patent therefor which may be granted.

AND ASSIGNOR HEREBY COVENANTS AND AGREES that it will execute or procure any further necessary assurance of the title to said inventions and any Letters Patent which may issue therefor, and that it will, at any time, upon request and at the expense of the Assignee deliver any testimony in any legal proceedings and execute all papers that may be necessary or desirable to perfect the title to said inventions or any Letters Patent which may be granted therefor in the Assignee, its successors, assigns, or other legal representatives, and that it will at any time, upon the request and at the expense of the Assignee execute any divisionals, or any other additional

applications of Letters Patent for said inventions or any part or parts thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to the Assignee, and will make all rightful oaths, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of the Assignee, its successors, assigns or other representatives.

AND ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Patent Officials to issue any and all Letters Patent resulting from any of the aforesaid application to the said Assignee.

**SIGNED** at Fort Saskatchewan, Alberta, this 5<sup>th</sup> day of March, 2001.


**SURFACE ENGINEERED PRODUCTS CORP.**

By: 

Name: Douglas H. Murray

Title: Assistant Corporate Secretary

On this 5<sup>th</sup> day of March, 2001, Douglas H. Murray who identified himself to me as an authorized signing officer of Surface Engineered Products Corp. who executed the foregoing instrument, and acknowledged that he executed the same of his own free will and for the purposes set forth with authority to do so.

  
Notary Public

Debra L. Marr, Barrister and Solicitor  
Notary Public in and for the  
Province of Alberta

The undersigned hereby accepts the Assignment.

**SIGNED** at Fort Saskatchewan, Alberta, this 5<sup>th</sup> day of March, 2001.

**SURFACE ENGINEERED PRODUCTS  
CORPORATION**

By: 

Name: Douglas H. Murray

Title: Assistant Corporate Secretary

On this 5<sup>th</sup> day of March, 2001, Douglas H. Murray who identified himself to me as an authorized signing officer of Surface Engineered Products Corporation who executed the foregoing instrument, and acknowledged that he executed the same of his own free will and for the purposes set forth with authority to do so.

  
\_\_\_\_\_  
Notary Public

Debra L. Marr, Barrister and Solicitor

Notary Public in and for the

Province of Alberta



## SCHEDULE A

### Surface Engineered Products Patent Cases

W 474

TITLE SURFACE ALLOYED HIGH TEMPERATURE ALLOYS

COUNTRY	APPLICATION NUMBER	PATENT NUMBER	STATUS	EXPIRY DATE
ARGENTINA	P 98 01 05969		Pending	
AUSTRALIA	713419 [old SN25010/97]	713419	Issued	21-Apr-17
BRAZIL	PI 9709127-8		Pending	
CANADA	2,175,439		Pending	
CHINA	97 1 94171.8		Pending	
CZECH REPUBLIC	PV 3199-98		Pending	
EUROPE	97916289.4		Pending	
GCC (Gulf Cooperation Council)	GCC/P/98/00030		Pending	
HUNGARY	P 99 02697		Lapsed	
IRAN	37709040	26165	Lapsed	
JAPAN	9-538416		Pending	
LIBYA	544/99		Pending	
MALAYSIA	PI 9804623		Pending	
MEXICO	988784		Pending	
NORTH KOREA	98-0880		Lapsed	
PCT	PCT/CA97/00261		National Phase entered	
POLAND	P-329 457		Pending	
ROMANIA	98-01491		Pending	
RUSSIAN FEDERATION	98121312		Pending	
SINGAPORE	9805107-1	56567	Issued	21-Apr-17
SOUTH KOREA	98-708776		Pending	
U.S.A.	08/839,831	6,093,260	Issued	17-Apr-17

W 474a

TITLE SURFACE ALLOYED HIGH TEMPERATURE ALLOYS

COUNTRY	APPLICATION NUMBER	PATENT NUMBER	STATUS	EXPIRY DATE
U.S.A.	09/219,149 divisional of 08/839,831		Pending	

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Monday, December 18, 2000

PATENT  
REEL: 011763 FRAME: 0883

W 474b

TITLE SURFACE ALLOYED HIGH TEMPERATURE ALLOYS

COUNTRY	APPLICATION NUMBER	PATENT NUMBER	STATUS	EXPIRY DATE
U.S.A.	09/557,597 CIP of 08/839,831		Pending	

W 541

TITLE METHOD AND APPARATUS FOR MAGNETRON SPUTTERING

COUNTRY	APPLICATION NUMBER	PATENT NUMBER	STATUS	EXPIRY DATE
TAIWAN	89106607		Pending	
THAILAND	056788		Pending	
U.S.A.	09/545,817		Pending	

W 550

TITLE COATING SYSTEM FOR HIGH TEMPERATURE STAINLESS STEELS

COUNTRY	APPLICATION NUMBER	PATENT NUMBER	STATUS	EXPIRY DATE
U.S.A.	09/589,196		Pending	

W 555

TITLE PROTECTIVE COATING SYSTEM FOR HIGH TEMPERATURE STAINLESS STEEL

COUNTRY	APPLICATION NUMBER	PATENT NUMBER	STATUS	EXPIRY DATE
U.S.A.	09/690,447		Pending	