

05-09-2001

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101711718

4-26-01

Tab settings → → →

To the Honorable Commissioner of Pat.

attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Thomas J. Karol; and Ronald J. Tepper

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: Nov.17, 2000

## 2. Name and address of receiving party(ies):

Name: R.T. Vanderbilt Company, Inc.

Address: 30 Winfield Street

City: Norwalk

State/Prov.: CT

Country: USA

ZIP: 06855

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/693,138

Oct, 20, 2000

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce S. Londa

Registration No. 33,531

Address: Norris, McLaughlin &amp; Marcus P.A.

220 East 42nd Street, 30th Floor

City: New York State/Prov.: NY

Country: USA ZIP: 10017

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

14-1263

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce S. Londa

Name of Person Signing

Signature

April 26, 2001

Date

3

Total number of pages including cover sheet, attachments, and

PATENT  
REEL: 011765 FRAME: 0792

01-30-2001

Docket No.: 101221-565

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
Copyright 1996-97 LegalStar  
P08A/REV02

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101598470

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Thomas J. Karol; and  
Ronald J. Tepper

1-8-01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

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Name: R.T. Vanderbilt Company, Inc.

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City: Norwalk

State/Prov.: CT

Country: USA

ZIP: 06855

Execution Date: Nov. 17, 2000

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/693,136

Oct. 20, 2000

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bruce S. Londa

Registration No. 33,531

Address: Norris, McLaughlin &amp; Marcus P.A.

220 East 42nd Street, 30th Floor

01/29/2001 GTOW11 00000183 141263 09693136

01 FC:581 40.00 CH

City: New York

State/Prov.: NY

Country: USA

ZIP: 10017

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

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## 8. Deposit account number:

14-1263

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bruce S. Londa

Name of Person Signing

Signature

Jan. 4, 2001

Date

3

Total number of pages including cover sheet, attachments, and

PATENT  
REEL: 011765 FRAME: 0793

## ASSIGNMENT

WHEREAS, WE, THOMAS J. KAROL, citizen of United States of America, residing in Norwalk, State of Connecticut; whose post office address is 33 Harborview Avenue, Norwalk, Connecticut, 06854; and RONALD J. TEPPER, citizen of United States of America, residing in Fairfield, state of Connecticut; whose post office address is 160 Smith Street, Fairfield, Connecticut, 06430 have made an invention entitled "THIADIAZOLE ADDITIVES AND LUBRICATING COMPOSITIONS CONTAINING THE SAME" as set forth and described in application for Letters Patent of the United States of America, Serial No. 09/693,138, filed October 20, 2000; and

WHEREAS, R.T. VANDERBILT COMPANY, INC., a corporation organized and existing under the laws of the state of New York, and having an office for the transaction of business at 30 Winfield Street, Norwalk, Connecticut 06855, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

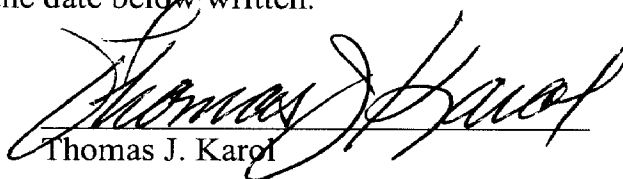
NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said THOMAS J. KAROL and RONALD J. TEPPER, for and in consideration of the sum of one dollar (\$1.00), lawful money of the United States of America, to me in hand paid by R.T. VANDERBILT COMPANY, INC. and other valuable considerations unto us moving from said R.T. VANDERBILT COMPANY, INC. at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said R.T. VANDERBILT COMPANY, INC. its successors and assigns, their entire right, title and interest in and to the said invention entitled "THIADIAZOLE ADDITIVES AND LUBRICATING COMPOSITIONS CONTAINING THE SAME" as set forth and described in application for Letters Patent of the United States of America, Serial No. 09/693,138, filed October 20, 2000, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States of America which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said THOMAS J. KAROL and RONALD J. TEPPER do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States of America to said R.T. VANDERBILT COMPANY, INC., as the assignee of our entire right, title and interest in and to the same, for the sole use and benefit of said R.T. VANDERBILT COMPANY, INC., its successors and assigns;

AND WE, the said THOMAS J. KAROL and RONALD J. TEPPER for the considerations aforesaid, do hereby covenant and agree to and with said R.T. VANDERBILT COMPANY, INC. its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right

heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said R.T. VANDERBILT COMPANY, INC., its successors and assigns, may be required or necessary more effectively to secure to and vest in said R.T.VANDERBILT COMPANY, INC., its successors and assigns, our entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

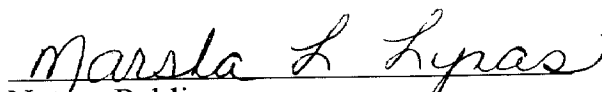
IN WITNESS WHEREOF, WE, the said THOMAS J. KAROL and RONALD J. TEPPER have hereunto set my hand and seal on the date below written.


  
Thomas J. Karol

STATE OF CONNECTICUT)

COUNTY OF FAIRFIELD) ss:

BE IT KNOWN, that on this 17<sup>th</sup> day of NOVEMBER, 2000, before me personally came , to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.


  
Notary Public **MARSHA L. LYNAS**  
**NOTARY PUBLIC, STATE OF CONNECTICUT**  
**COUNTY OF FAIRFIELD**  
**MY COMMISSION EXPIRES APRIL 30, 2005**

  
Ronald J. Tepper

STATE OF CONNECTICUT)

COUNTY OF Fairfield) ss:

BE IT KNOWN, that on this 17<sup>th</sup> day of NOVEMBER, 2000, before me personally came , to be known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

  
Notary Public **MARSHA L. LYNAS**  
**NOTARY PUBLIC, STATE OF CONNECTICUT**  
**COUNTY OF FAIRFIELD**  
**MY COMMISSION EXPIRES APRIL 30, 2005**



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 06, 2001

PTAS

NORRIS, MCLAUGHLIN & MARCUS P.A.  
BRUCE S. LONDA  
220 EAST 42ND STREET, 30TH FLOOR  
NEW YORK, NY 10017



\*101598470A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/08/2001

REEL/FRAME: 011458/0513  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KAROL, THOMAS J.

DOC DATE: 11/17/2000

ASSIGNOR:  
TEPPER, RONALD J.

DOC DATE: 01/17/2000

ASSIGNEE:  
R.T. VANDERBILT COMPANY, INC.  
30 WINFIELD STREET  
NORWALK, CONNECTICUT 06855

SERIAL NUMBER: 0969313~~8~~  
PATENT NUMBER:

FILING DATE: 10/20/2000  
ISSUE DATE:

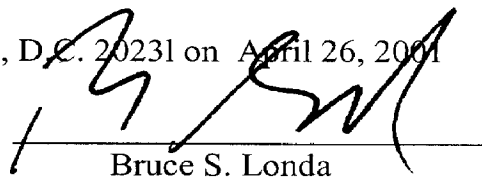
TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**PATENT  
REEL: 011765 FRAME: 0796**

## MAILING CERTIFICATION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 26, 2001

  
Bruce S. Londa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Docket No: 101221-565

Applicant(s) : Thomas J. Karol et al.

Appln. No. : 09/693,138

Filed : Oct. 20, 2000

For : Thiadiazole Additives and Lubricating Compositions

Hon. Commissioner of Patents & Trademarks

ASSIGNMENT DIVISION

Box Assignments, CG-4

1213 Jefferson Davis Hwy., Suite 320

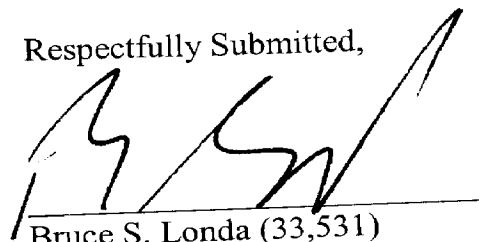
Washington, D.C. 20231

Dear Sir:

We are enclosing a copy of the Notice of Recordation of Assignment Document together with the assignment and a new Cover Sheet. The original Cover Sheet listed the wrong serial number for the application. Please record the enclosed assignment for U.S. Serial No. 09/693, 138.

If a recordation fee is required, you are hereby authorized to charge deposit account no. 14-1263.

Respectfully Submitted,



Bruce S. Londa (33,531)

Attorney for Applicant

Norris, McLaughlin & Marcus P.A.

220 East 42<sup>nd</sup> Street, 30th Floor

New York, N.Y. 10017

Telephone: (212)808-0700

Telecopier: (212)808-0844