

4-27-01

05-10-2001



To the Assistant Commissioner for Patents.

101710505

or copy thereof.

1. Name of conveying party(ies):

Frederick P. Benning
Douglas A. Kuchta
Steven L. Maynard
Jon E. Podolske

Additional names of conveying party(ies) attached?

☐ yes ☒ no

3. Nature of Conveyance

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 27, 2001; April 27, 2001; April 27, 2001; April 27, 2001

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

TITLE: Polishing Process for Glass or Ceramic Disks Used in Disk Drive Data Storage Devices

If this document is being filed together with a new application, the execution date of the application is April 27, 2001

A. Patent Application No(s). (Unassigned)

B. Patent No(s).

Docket ROC9-2001-0059-US1

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James R. Nock
IBM Corporation - Dept. 917
3605 Highway 52 North
Rochester, MN 55901

6. Total number of applications and patents involved: 1

7. Total fee (37 CVF 3.41):

\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☒ Total fee due
☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Nock
Name of Person Signing

James R. Nock
Signature

4-27-01

Date

Total number of pages comprising cover sheet: 1

10621 U.S. PTO
09/04/01

04/27/01

A S S I G N M E N T

Whereas, we

- (1), Frederick P. Benning, of 1808 Cascade Street N.W., Rochester, MN 55901, USA,
 (2), Douglas A. Kuchta, of 1400 81st Street N.E., Rochester, MN 55906, USA,
 (3), Steven L. Maynard, of 4518 Glen Lane N.W., Rochester, MN 55901, USA,
 and (4), Jon E. Podolske, of 119 Pioneer Drive, Wabasha, MN 55981, USA,

have invented certain improvements in

POLISHING PROCESS FOR GLASS OR CERAMIC DISKS USED IN DISK DRIVE DATA STORAGE DEVICES

and have executed, respectively, a United States patent application therefor on

Dates

Inventors (1) April 27, 2001, (2) April 27, 2001,

Signed

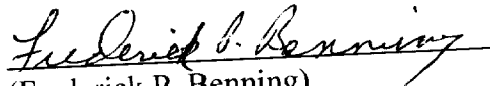
Declaration (3) April 27, 2001, and (4) April 27, 2001.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

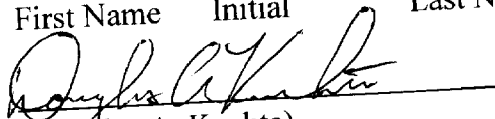
Signed

Inventor (1) at Rochester, MN, USA
on April 27, 2001.


 (Frederick P. Benning)
 First Name Initial Last Name

Inventor
Signature

Inventor (2) at Rochester, MN, USA
on April 27, 2001.


 (Douglas A. Kuchta)
 First Name Initial Last Name

Inventor
Signature

Inventor
(3) at Rochester, MN, USA
on April 27, 2001.

Steven L. Maynard
(Steven L. Maynard)
First Name Last Name

Inventor
Signature

Inventor
(4) at Rochester, MN, USA
on April 27, 2001.

Jon E. Podolske
(Jon E. Podolske)
First Name Initial Last Name

Inventor
Signature