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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ty T. Hu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance: 5-7-01

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 4/24/2001

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Internal Address: _____

MAY - 7 2001

Street Address: 3200 Lakeside Dr.

City: Santa Clara State: CA Zip: 95054

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/750,674

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William W. Kidd

Internal Address: Blakely, Sokoloff, Taylor & Zafman

Street Address: 12400 Wilshire Boulevard

City: Los Angeles State: CA Zip: 90025-1026

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George P. Simion, Reg. No. 47,089

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 011774 FRAME: 0859

In consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I _____,
the undersigned Assignor(s), **Ty T. Hu**

hereby sell, assign, and transfer to **Advanced Cardiovascular Systems, Inc.**
a corporation of **California**, having a principal place of business at
3200 Lakeside Drive, Santa Clara, CA 95054, ("Assignee"),
and its successors, assigns, and legal representatives, the entire right, title, and interest for the
United States and all foreign countries, in and to any and all improvements that are disclosed in
the same patent application

 X which was filed with the United States Patent and Trademark Office on
 12/29/00 and which was assigned Application Number 09/750,674.
 which has been executed by the undersigned prior hereto or concurrently
herewith on the dates indicated below.

The undersigned further sell, assign, and transfer to Assignee said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application; and the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

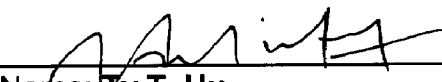
The undersigned further agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all

The undersigned covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

The undersigned hereby authorize and request the Officials of the United States Patent and Trademark Office and any and all foreign Patent Offices to issue any and all Letters Patents, when granted, to said Assignee as the assignee of the entire right, title and interest in and to the same, for the sole use of said Assignee, its successors and assigns, to the full end of the term for which said Letters Patents may be granted.

The undersigned hereby grant to the law firm of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, located at 12400 Wilshire Boulevard, Seventh Floor, Los Angeles, CA 90025, the power to insert on this document any further identification necessary or desirable to comply with the rules of the United States Patent and Trademark Office for recordation of this Assignment.

Each Inventor/Assignor: Please Sign and Date Below:

<u>April 24th</u> , 20 <u>01</u> Date	<u></u> Name: Ty T. Hu
_____, 20____ Date	_____ Name:
_____, 20____ Date	_____ Name:

Please also list date you signed the accompanying DECLARATION AND POWER OF ATTORNEY (if Assignment executed with new filing):

<u>4-24</u> , 20 <u>01</u> Date
_____, 20____ Date
_____, 20____ Date