

05-14-2001



101715367

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ **New**
- ☐ **Resubmission (Non-Recordation)**
Document ID#
- ☐ **Correction of PTO Error**
Reel # Frame #
- ☐ **Corrective Document**
Reel # Frame #

Conveyance Type

- ☒ **Assignment** ☐ **Security Agreement**
- ☐ **License** ☐ **Change of Name**
- ☐ **Merger** ☐ **Other**

U.S. Government
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☐ **Departmental File** ☐ **Secret File**

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☒ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

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Address (line 3)
City

State/Country

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☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Name

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 011780 FRAME: 0657

Correspondent Name and Address

Area Code and Telephone Number

847-925-3452

Name

Michael J. Femal

Address (line 1)

Square D Company

Address (line 2)

1415 South Roselle Road

Address (line 3)

Palatine, IL 60067

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

5

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/378786

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

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PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

19-3875(MON-21)

Authorization to charge additional fees:

Yes

☒

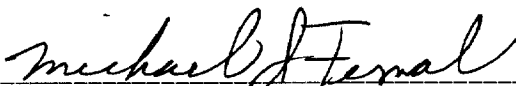
No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Michael J. Femal



5/3/01

Name of Person Signing

Signature

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) Dilip Purohit

4/24/01

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

MON-21

Whereas, I(We), Philip J. Hopkinson, Gary King and Dilip Purohit., hereafter referred to as applicants, have invented certain new and useful improvements in TRANSFORMER WINDING.

☒ for which an application for a United States Patent was filed on 8/23/99.

Application Number 09/378786.

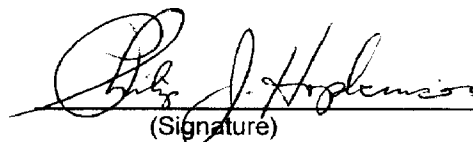
☐ for which an application for a United States Patent was executed on _____, and

Whereas, Square D Company, herein referred to "assignee" whose post office address is 1415 S. Roselle Rd., Palatine, IL 60067 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I(We), the applicant, by these present do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I(We) hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 24th day of April, 2001,

at Square D Company 1809 Airport Rd. Monroe, N.C. 28110


(Signature)

State of North Carolina) SS.

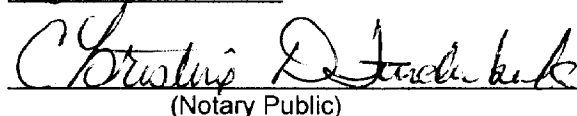
County of Union)

Before me personally appeared said Philip J. Hopkinson

And acknowledged the foregoing instrument to be his free act and deed this 24th

Day of April, 2001.

My Commission Expires 9-18-2005


(Notary Public)

Executed this 24th day of April, 2001,
at Square D Company 1809 Airport Rd. Monroe N.C. 28110

Dilip Purohit
(Signature)

State of North Carolina) SS.
County of Union)

Before me personally appeared said Dilip Purohit
And acknowledged the foregoing instrument to be his free act and deed this 24th
Day of April, 2001.

My Commission Expires 9-18-2005

Christine D. Funderburk
(Notary Public)

Executed this 24th day of April, 2001,
at Square D Company 1809 Airport Rd. Monroe N.C. 28110

Gary King
(Signature)

State of North Carolina) SS.
County of Union)

Before me personally appeared said Gary King
And acknowledged the foregoing instrument to be his free act and deed this 24th
Day of April, 2001.

My Commission Expires 9-18-2005

Christine D. Funderburk
(Notary Public)

CERTIFICATE OF MAILING

I do hereby certify that this correspondence is being deposited with the United States Postal Service on 5/3/01 as First Class Mail, postage prepaid in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


Kimberly Anne L. Linn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--------------------------|---|----------------------|
| In re Application of: |) | Docket No: MON-21 |
| Hopkinson et al. |) | |
| |) | |
| Serial No.: 09/378,786 |) | |
| |) | Examiner: N/A |
| Filed: 8/23/99 |) | |
| |) | Group Art Unit: 2832 |
| For: Transformer Winding |) | |
| |) | |

ASSIGNMENT TRANSMITTAL

Assistant Commissioner
for Patents
Washington, DC 20231
Attn: Box Assignments

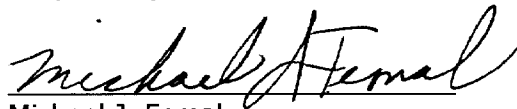
Dear Sir:

Enclosed for recording is an Assignment from Philip Hopkinson, Gary King and Dilip Purohit, the inventor(s) of record for the above-identified patent application, to Square D Company.

Please have this document recorded officially and returned to us. The requisite Recordation Form Coversheet is enclosed.

Signed at Palatine, County of Cook, State of Illinois, this 3rd day of
May, 2001.

Respectfully Submitted,



Michael J. Femal
Registration No. 27,784
Attorney for Applicants
SQUARE D COMPANY
Executive Plaza
Palatine, IL 60067
847-925-3452