

05-14-2001



FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

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U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

5.7.01

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MAY - 7 2001

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**Submission Type**☒

New

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Document ID#

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Correction of PTO Error

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**Conveyance Type**☒

Assignment

☐

Security Agreement

☐

License

☐

Change of Name

☐

Merger

☐

Other

U.S. Government

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☐

Departmental File

☐

Secret File

**Conveying Party(ies)**☒

Mark if additional names of conveying parties attached

Name (line 1)

Piccioli, David P.

Execution Date  
Month Day Year

03/01/2001

Name (line 2)

**Second Party**

Name (line 1)

Krishnakumar, Suppayan M.

Execution Date  
Month Day Year

03/01/2001

Name (line 2)

**Receiving Party**☐

Mark if additional names of receiving parties attached

Name (line 1)

Continental Pet Technologies, Inc.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

7 Technology Drive

Address (line 2)

Address (line 3)

Bedford

NH

03110

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Lars S. Johnson

Address (line 1)

The Quaker Oats Company

Address (line 2)

321 N. Clark Street, 25-7

Address (line 3)

Address (line 4)

Chicago, IL 60606

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
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**PATENT**  
**REEL: 011781 FRAME: 0504**

**Correspondent Name and Address**

Area Code and Telephone Number (312) 222-7407

Name Lars S. Johnson

Address (line 1) The Quaker Oats Company

Address (line 2) 321 N. Clark Street, 25-7

Address (line 3)

Address (line 4) Chicago, IL 60606

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# three (3)

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

29/133,446

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 17-0025

Authorization to charge additional fees:

Yes ☐

No ☒

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Lars S. Johnson

Name of Person Signing

Signature

Date

**PATENT**

REEL: 011781 FRAME: 0505

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1) Collette, Wayne N.

03/01/2001

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (*Designation must be a separate document from Assignment.*)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

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Name (line 2)

Address (line 1)

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City

State/Country

Zip Code

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**



ASSIGNMENT

IN CONSIDERATION of One Dollar and other good and valuable considerations, the sufficiency and receipt of which is hereby acknowledged, we David P. Piccioli, Suppayan M. Krishnakumar, and Wayne N. Collette, hereby assign to CONTINENTAL PET TECHNOLOGIES, INCORPORATED, a corporation of the State of Delaware having its principal office in Florence, Kentucky, United States of America, its successors and assigns, the entire right, title and interest in the bottle design invention, as set forth below in a design patent application for BOTTLE PORTION, filed on the 30th day of November, 2000, and assigned Serial No. 29/133,446, executed by us respectively, the 18<sup>th</sup> day of March, 2001, 1<sup>st</sup> day of March, 2001, and the 1<sup>st</sup> day of March, 2001 (a representative of the named assignee being hereby authorized to insert said execution dates when ascertained), including any improvements, thereof and any and all divisional and continuation applications, and in any and all Letters Patents, reissues and extensions issuing thereon, and we hereby authorize and request the Commissioner of Patents to assign said Letters Patents to said CONTINENTAL PET TECHNOLOGIES, INCORPORATED.

FOR SAID CONSIDERATION, we hereby agree, upon the request and on behalf of said assignee, its successors and assigns, to execute any and all divisional and continuing applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of said Letters Patents and any Letters Patent that may be granted upon any divisional and continuation applications that said assignee, its successors or assigns, may deem necessary or expedient, and for the said considerations we further agree upon the request of said assignee, its successors and assigns, in the event of said Letters Patents or any division or continuation thereof, or any Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, we hereby agree to perform, upon such request and at the expense of said assignee, any and all affirmative acts to obtain said Letters Patents and vest all rights therein hereby conveyed in the said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made. And for the said considerations we hereby assign to said CONTINENTAL PET TECHNOLOGIES, INCORPORATED, its successors and assigns, the entire right, title and interest in said invention or improvements for any and all foreign countries and agree upon the request of said CONTINENTAL PET TECHNOLOGIES, INCORPORATED, its successors and assigns, to execute any and all documents that shall be required of us to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said assignee, without further compensation, but at the expense of said assignee.

EXECUTED this 1st day of March, 2001.

By: David P. Piccoli  
Name: David P. Piccoli

STATE OF New Hampshire  
COUNTY OF Hillsborough SS.

On this 1st day of March, 2001, before a Notary Public in and for the County and State aforesaid, appeared David P. Piccoli, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Dorothy A. Hamill (SEAL)  
Notary Public  
DOROTHY A. HAMILL, Notary Public  
My Commission Expires May 13, 2003

EXECUTED this 1st day of March, 2001.

By: Suppayan M. Krishnakumar  
Name: Suppayan M. Krishnakumar

STATE OF New Hampshire  
COUNTY OF Hillsborough SS.

On this 1st day of March, 2001, before a Notary Public in and for the County and State aforesaid, appeared Suppayan M. Krishnakumar, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Dorothy A. Hamill (SEAL)  
Notary Public

DOROTHY A. HAMILL, Notary Public  
My Commission Expires May 13, 2003

EXECUTED this 1st day of March, 2001.

By Wayne N. Collette  
Name: Wayne N. Collette

STATE OF New Hampshire )  
COUNTY OF Hillsborough ) SS.

On this 1st day of March, 2001, before a Notary Public in and for the County and State aforesaid, appeared Wayne N. Collette, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as a free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Dorothy A. Hamill (SEAL)  
Notary Public

DOROTHY A. HAMILL, Notary Public  
My Commission Expires May 13, 2003