7/25/01

Form PTO-1595	07 - 25 - 3	ZUU I ~	U.S. DEPARTMENT OF COMMERCE		
(Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)			U.S. Patent and Trademark Office		
Tab settings ⇔ ⇔ ▼		1607	<u> </u>		
To the Honorable Commissioner	1,03,484	Please record the attached	d original documents or copy thereof.		
Name of conveying party(ies):		2. Name and address of receiving party(ies)			
Catalina Lighting, Inc.		Name: <u>SunTrust Banks</u> , Inc.			
		Internal Address:			
Additional name(s) of conveying party(ies) attact	ned? La Yes Wa No				
3. Nature of conveyance:			الله الله الله الله الله الله الله الله		
_	Merger	Street Address:	303 Peachtree Street		
Security Agreement 🖫 C	Change of Name		25th Floor		
Other					
		City: <u>Atlanta</u>	State: <u>GA</u> Zip: <u>30308</u>		
Execution Date: July 23, 2001		Additional name(s) &	address(es) attached? 📮 Yes 📮 No		
4. Application number(s) or patent num	ber(s):				
If this document is being filed together	er with a new applic	cation, the execution of	date of the application is:		
A. Patent Application No.(s)					
See attached		See attached			
	Additional numbers atta	ached? 🔁 Yes 📮 No	21		
5. Name and address of party to whom correspondence concerning document should be mailed:		6. Total number of ap	pplications and patents involved:		
001.001	<del>c</del> u. I	7. Total fee (37 CFR 3.41)\$_1,200.00			
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Name: Susan Lake		7. Total fee (37 CFR	3.41)\$ 1.200.00 Expedite Fee 120.00		
		Enclosed	· · · · · · · · · · · · · · · · · · ·		
Name: Susan Lake		Enclosed  Authorized to	Expedite Fee 120.00 be charged to deposit account		
Name: Susan Lake Internal Address: King & Spald:	ing	Enclosed	Expedite Fee 120.00 be charged to deposit account		
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Name: Susan Lake Internal Address: King & Spald: Street Address: 191 Peachtree	ing e Street	Enclosed  Authorized to  B. Deposit account r  (Attach duplicate copy	Expedite Fee 120.00  be charged to deposit account number:		
Name: Susan Lake  Internal Address: King & Spald:  Street Address: 191 Peachtree  City: Atlanta State: GA	e Street Zip: 30303  DO NOT USE	Enclosed  Authorized to  B. Deposit account r  (Attach duplicate copy  THIS SPACE	Expedite Fee 120.00  be charged to deposit account  number:		
Name: Susan Lake  Internal Address: King & Spald:  Street Address: 191 Peachtree  City: Atlanta State: GA	e Street Zip: 30303  DO NOT USE	Enclosed  Authorized to  B. Deposit account r  (Attach duplicate copy  THIS SPACE	Expedite Fee 120.00  be charged to deposit account  number:		
Name: Susan Lake Internal Address: King & Spald: Street Address: 191 Peachtree  City: Atlanta State: GA  9. Statement and signature. To the best of my knowledge and belies a true copy of the original document	e Street Zip: 30303  DO NOT USE	Enclosed  Authorized to  B. Deposit account r  (Attach duplicate copy  THIS SPACE	Expedite Fee 120.00  be charged to deposit account  number:		
Name: Susan Lake  Internal Address: King & Spald:  Street Address: 191 Peachtree  City: Atlanta State: GA  9. Statement and signature.  To the best of my knowledge and believed.	e Street  Zip: 30303  DO NOT USE  ief, the foregoing in at.	Enclosed  Authorized to  8. Deposit account r  (Attach duplicate copy  THIS SPACE  formation is true and  Signature	be charged to deposit account number:  of this page if paying deposit account)  correct and any algored popy  July 34, 2001		

840.00%0 documents to be recorded with required cover sheet information to:
120.00 D Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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07/26/20

### **PATENTS**

# Schedule A (Patents)

# Catalina Lighting, Inc.

# PATENTS and PATENT APPLICATIONS-- U.S.

Title	Patent No.	Serial No.	Filing Date
Fire Safe Halogen Torchiere Lamp	5,801,490		
Computer Keyboard Light	5,868,487		
Halogen Task Lamp Diffuser	5,964,521		
Tip & Circuit Safety Features	6,133,695		
Lamp with a Temperature-Controlled Automatically	6,144,160		
Protecting Circuit			
Tipover-Safe Means for Lamp	6,246,176		
CD Rack Lamp	D368,544		
Lamp Base with Clock	D388,208		
Lamp Base with Clock	D392,571		
Retrofit Grid for Halogen Torchiere Lamp	D394,521		
Portable Fan	D406,331		
Packaging & Display System	D409,907		
Lamp Design	D426,014		
Safety Switch		08/910,982	08/07/97
Tip-Over Switch		09/152,479	09/14/98
Tip-Over Safety		09/152,480	09/14/98
Tripod Multi Work Light		09/731,073	03/12/01
Display Stand		09/731,074	03/12/01
Adjustable Can Lamp		09/731,076	12/06/99
Supply Connector for Track Lighting		60/244,884	11/02/00
Adjustable Hanger Bar		60/248,938	11/15/00
Track Pendant Adaptor		Pending	
Compact Fluorescent Lamp		Pending	
Universal Electrical Mounting Box		Pending	
Saddle Track Lighting		Pending	
Locking Harp for Table Lamp		Pending	
Collapsible Lamp Shade		Pending	
Hardware Canopies with Interchangeable Track		Pending	
Mono-Point Pendant Connector		Pending	
Adjustable Slope Recessed Housing		Pending	

PATENT REEL: 011783 FRAME: 0283

#### PATENT ASSIGNMENT

Whereas, Catalina Lighting, Inc., a Florida corporation having a principal business address at 18191 N. W. 68<sup>th</sup> Avenue, Miami, Florida 33015 (the "Assignor") is the sole owner of record of the inventions listed on <u>Schedule A</u> and for which letters patent have been issued or for which patent applications have been filed, as set forth on attached <u>Schedule A</u>;

and

Whereas, SunTrust Banks, Inc., a Georgia corporation, having a principal business address of 303 Peachtree Street NE, 25<sup>th</sup> Floor, Atlanta, Georgia 30308 (the "Assignee") is desirous of acquiring the entire right, title and interest in and to the inventions listed on <u>Schedule A</u> (the "Property") in all countries throughout the world, the letters patent and patent applications listed on <u>Schedule A</u>, and any patents to be issued thereupon, in all countries throughout the world;

Now, therefore, pursuant to that certain Note Purchase Agreement entered into between the parties on July 23, 2001 and for other good and valuable consideration, the receipt and adequacy of which the parties acknowledge, Assignor does hereby collaterally assign, pledge, mortgage and hypothecate to Assignee, its lawful successors and assigns, Assignor's entire right, title, and interest in and to the inventions, patents, and applications listed on Schedule A hereto, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all applications for Letters Patent which may hereafter be filed for these inventions in any foreign country and all Letters Patent which may be granted on these inventions in any foreign country, and all extensions, renewals, and reissues thereof; and the Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for these inventions to Assignee, its successors and assigns, in accordance with the terms of this Assignment. Unless and until the Assignee exercises the rights and remedies accorded to it under the Note Purchase Agreement and by law with respect to the realization upon its security interest in and collateral assignment of the Property, the Assignee hereby grants to the Assignor the exclusive, nontransferable, royalty-free right and license under the Property for the Assignor's own benefit and account, so that the Assignor may use and enjoy the Property in connection with its business operations and exercise rights and remedies with respect to the Property, but with respect to all Property being used in the Assignor's business, only in a manner consistent with the preservation of the current substance, validity, registration and the security interest and collateral assignment herein granted in such Property; provided, however, that the foregoing shall not impose an obligation on Assignor to continue to use any of the Property in the Assignor's business to the extent that such Property is not necessary in the normal conduct of its business;

Assignor covenants that it has the full right to convey the interest assigned by this Assignment and that it has not executed and will not execute any agreement in conflict with this Assignment;

PATENT REEL: 011783 FRAME: 0284

### **PATENTS**

# Schedule A (Patents)

# Catalina Lighting, Inc.

### PATENTS and PATENT APPLICATIONS-- U.S.

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Halogen Task Lamp Diffuser	5,964,521	}	
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Lamp with a Temperature-Controlled Automatically	6,144,160		
Protecting Circuit			
Tipover-Safe Means for Lamp	6,246,176		
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Lamp Base with Clock	D388,208		
Lamp Base with Clock	D392,571		
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Lamp Design	D426,014		
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Tip-Over Switch		09/152,479	09/14/98
Tip-Over Safety		09/152,480	09/14/98
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Display Stand		09/731,074	03/12/01
Adjustable Can Lamp		09/731,076	12/06/99
Supply Connector for Track Lighting		60/244,884	11/02/00
Adjustable Hanger Bar		60/248,938	11/15/00
Track Pendant Adaptor		Pending	
Compact Fluorescent Lamp		Pending	
Universal Electrical Mounting Box		Pending	
Saddle Track Lighting		Pending	
Locking Harp for Table Lamp		Pending	
Collapsible Lamp Shade		Pending	
Hardware Canopies with Interchangeable Track		Pending	
Mono-Point Pendant Connector		Pending	
Adjustable Slope Recessed Housing		Pending	

PATENT
RECORDED: 07/25/2001 REEL: 011783 FRAME: 0285