

05-14-2001

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



101715972

1 SHEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office
Atty Dkt No.:00P9119US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Nicolas NAGEL
Jingyu LIAN
Michael WISE
Chenting LIN

5-7-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

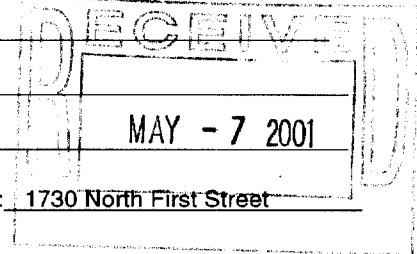
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 2, 2001 (NAGEL); January 23, 2001(LIAN); February 6, 2001(WISE); March 19, 2001 (LIN)

2. Name and address of receiving party(ies)

Name: Infineon Technologies North America Corp.

Internal Address: _____



Street Address: 1730 North First Street

City: San Jose State: CA ZIP: 95112

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 28, 2000

A. Patent Application No.(s)

09/751,551

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: _____

Siemens Corporation

Intellectual Property Department

Street Address: 186 Wood Avenue South

City: Iselin State: NJ ZIP: 08830

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stanton C. Braden
Name of Person Signing
Reg. No. 32,556
SignatureApril 30, 2001
Date

Total number of pages including cover sheet, attachments, and document: 10

05/11/2001 LKELLER 00000169 192179 09751551

01 F01581 40.00 CH

SCB/sb

PATENT
REEL: 011786 FRAME: 0404

00 P 9119 US

ASSIGNMENT

For good and valuable consideration, I, Jingyu LIAN, residing at 6 Libra Lane, Wallkill, NY 12589, a citizen of China; Chenting LIN, residing at 15 Coachlight Drive, Poughkeepsie, NY 12603, a citizen of Taiwan; Nicholas NAGEL, residing at 75-2 Mishinoya-cho, Naka-ku, Yokohama 231-0244, Japan, a citizen of Germany; Michael WISE, residing at 260 Walsh Road, Lagrangeville, Ny 12540, a citizen of the United States;

Hereby sell, assign, and transfer to Infineon Technologies North America Corp., a corporation organized and existing under the laws of the state of Delaware, having its principal place of business at 1730 North First Street, San Jose, CA 95112,

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent,

Ser. No.:

Filed: **December 28, 2000**

and entitled: **MULTI-LAYER Pt ELECTRODE FOR DRAM AND FRAM WITH HIGH K DIELECTRIC MATERIALS**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____

Jingyu LIAN

Witness: _____
(type name)

Witness: _____
(type name)

Date: _____

Chenting LIN

Witness: _____
(type name)

Witness: _____
(type name)

Date: 02.05.01

Nicolas Nagel
Nicolas NAGEL

Witness: R. Bruchhaus
(type name)

Witness: H.-O. Bachim
(type name)

-3-

Date: _____

Michael WISE

Witness: _____
(type name)

Witness: _____
(type name)

00 P 9119 US

ASSIGNMENT

For good and valuable consideration, I, Jingyu LIAN, residing at 6 Libra Lane, Wallkill, NY 12589, a citizen of China; Chenting LIN, residing at 15 Coachlight Drive, Poughkeepsie, NY 12603, a citizen of Taiwan; Nicholas NAGEL, residing at _____, a citizen of Germany; Michael WISE, residing at 260 Walsh Road, Lagrangeville, Ny 12540, a citizen of the United States;

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Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

PATENT**REEL: 011786 FRAME: 0408**

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 1-23-01

Jingyu LIAN
Jingyu LIAN

Witness: Marcia Mori
(type name)

Witness: ERIC COTRELL
(type name)

Date: 4/

Chenting LIN
Chenting LIN

Witness: _____
(type name)

Witness: _____
(type name)

Date: _____

Nicolas NAGEL
Nicolas NAGEL

Witness: _____
(type name)

Witness: _____
(type name)

-3-

Date: 2/6/01
Michael WISEWitness: George K. Worth MW 4/9/01
(type name)Witness: Chester T. Dziubkowski MW 4/9/01
(type name)
Chester T. Dziubkowski 4/9/01

RAJEEV MALIK 4/12/01

ASSIGNMENT

For good and valuable consideration, I, Jingyu LIAN, residing at 6 Libra Lane, Wallkill, NY 12589, a citizen of China; Chenting LIN, residing at 15 Coachlight Drive, Poughkeepsie, NY 12603, a citizen of Taiwan; Nicholas NAGEL, residing at _____, a citizen of Germany; Michael WISE, residing at 260 Walsh Road, Lagrangeville, Ny 12540, a citizen of the United States;

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Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____

Jingyu LIAN

Witness: _____
(type name)

Witness: _____
(type name)

Date: 3/19/01

Chenting LIN

Witness: Wen-Hui Chiu
(type name)

Witness: Jennifer Lin
(type name)

Date: _____

Nicolas NAGEL

Witness: _____
(type name)

Witness: _____
(type name)

- 3 -

Date: _____

Michael WISE

Witness: _____
(type name)

Witness: _____
(type name)