Form PTO -1619A **U.S. Department of Commerce** Expires 06/30/99 Patent and Trademark Office 05-15-2001 OMB 0651-0027 **PATENT** APR 30 : 01 REC EET 101716851 TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). **Submission Type Conveyance Type** 4-30-01 **(X)** New Assignment (X) ( ) **Security Agreement** ( ) **Resubmission (Non-Recordation)** ( ) License ( ) Change of Name **Document ID#** ( ) Merger ( ) Other ( ) **Correction of PTO Error** Reel # Frame # U.S. Government (For Use ONLY by U.S. Government Agencies) **Corrective Document** Reel # Frame # ( ) Departmental File ( ) Secret File Conveying Party(ies) **Execution Date** (X)Mark if additional names of conveying parties attached Month Day Year Name (line 1) Gregory Donoho 03 19 01 Name (line 2) **Execution Date** Month Day Year Second Party 03 07 01 Name (line 1) C. Alexander Turner, Jr. Name (line 2) **Receiving Party** ( )Mark if additional names of receiving parties attached ( ) If document to be recorded is Name (line 1) Lexicon Genetics Incorporated an assignment and the receiving party is not Name (line 2) domiciled in the United States, an appointment of a Address (line 1) 4000 Research Forest Drive domestic representative is attached. (Designation must be a separate Address (line 2) document from Assignment.) TX/US Address (line 3) The Woodlands, State/Country Zip Code Enter for the first Receiving Party only.

**Domestic Representative Name and Address** 

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

05/14/2001 DBYRNE

00000073 500892

09691344

FOR OFFICE USE ONLY

40.00 CH 01 FC:581

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

> Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

> > **PATENT** REEL: 011787 FRAME: 0457

40E

| FORM PTO-161<br>Expires 06/30/99<br>OMB 0651-0027 | 19B  | Pa                                      | age 2   | U.S. Department of Commerce<br>Patent and Trademark Office<br>PATENT |
|---|--|---|---|--|
| Corresponde                                       | nt Name and Address Are  | a Code and Telepho                      | one Number (281) 863-3333                                       |  |
| Name  | Lance K. Ishimoto  |   |   |  |
| Address (line 1)                                  | Lexicon Genetics Incorporate   | <u>d</u>                                |   |  |
| Address (line 2)                                  | 4000 Research Forest Drive   |   |   |  |
| Address (line 3)                                  | The Woodlands, TX 77381  |   |   |  |
| Address (line 4)                                  |  |   |   |  |
| Pages   | Enter the total number of pag including any attachments.                     | es of the attached c                    | onveyance document  | #7   |
| Application N                                     | Number(s) or Patent Num  | ber(s)                                  | ( ) Mark if ad  | ditional numbers attached  |
| • •   |  |   | mber (DO NOT ENTER BOTH num                                     | bers for the same property).   |
|   | Patent Application Number(s)   |   |   | : Number(s)  |
| 09/691  |  |   |   | ,,   |
|   | s being filed together with a <u>new</u> l<br>t named executing inventor.    | Patent Application, e                   | nter the date the patent application wa                         | s Month Day Year   |
|   | eration Treat (PCT)  |   |   | alver  |
| _   |  | PCT                                     | PCT   | PCT  |
|   | PCT application number a U.S. Application Number                             | 101                                     | 101   |  |
| has no  | t been assigned.   | PCT                                     | PCT   | PCT  |
| Number of P                                       | roperties  | Enter the tota                          | l number of properties involved.                                | #2   |
|   | Fee<br>d of Payment:<br>it Account   | Amount for Prope<br>Enclosed ( )        | rties Listed (37 CFR 3.41):  Deposit Account (X)                | \$40.00  |
|   | for payment by deposit account or  |   | n be charged to the account.)<br>sit Account Number:            | # 50-0892  |
|   |  | Autho                                   | orization to charge additional fees:                            | Yes (X) No ( )   |
| Statement an                                      | d Signature  |   |   |  |
| attach  | e best of my knowledge and<br>ned copy is a true copy of the<br>nted herein. | belief, the forego<br>c original docume | ing information is true and cornent. Charges to deposit account | rect and any<br>are authorized, as                                   |
| Lance K. Is                                       | himoto   | Im                                      | . At  | April 27, 2001   |
| Name of Pers<br>Reg. No. 41,866                   |  | Signature                               |   | Date   |

# Form PTO-1619C

Expires 06/30/99 OMB 0651-0027 RECORDATION FORM COVER SHEET CONTINUATION

U.S. Department of Commerce

Patent and Trademark Office

### **PATENT**

## **PATENTS ONLY**

| Conveying Party(ies) (X)Mark if ad<br>Enter additional Conveying Parties  | ditional names of conveying parties attached |   |  |  |
|---|--|---|--|--|
| Name (line 1) Michael C. Nehls  |  | Execution Date<br>Month Day Year<br>02 14 01  |  |  |
| Name (line 2)   |  | Execution Date  |  |  |
| Name (line 1) Glenn Friedrich   |  | Month Day Year<br>01 17 01  |  |  |
| Name (line 2)   |  | Execution Date  |  |  |
| Name (line 1) <u>Brian Zambrowicz</u>   |  | Month Day Year 03 13 01   |  |  |
| Name (line 2)   |  |   |  |  |
| Receiving Party  Enter additional Receiving Party(ies) Name (line 1)  Name (line 2)   | l names of receiving parties attached        | ( ) If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. |  |  |
| Address (line 1)  |  | (Designation must be a separate<br>document from Assignment.)   |  |  |
|   |  |   |  |  |
| Address (line 2)  |  |   |  |  |
| Address (line 3) City State/Country   | Zip Code                                     |   |  |  |
| Name (line 1)   |  | ( ) If document to be recorded is an assignment and the   |  |  |
| Name (line 2)   |  | receiving party is not<br>domiciled in the United<br>States, an appointment of a  |  |  |
| Address (line 1)  |  | domestic representative is<br>attached. (Designation must<br>be a separate document   |  |  |
| Address (line 2)  |  | from Assignment.)   |  |  |
| Address (line 3)  City State/Country  | Zip Code                                     |   |  |  |
| Application Number(s) or Patent Number(s) ( ) Mark if additional numbers attached  Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property). |  |   |  |  |
| Patent Application Number(s)  | Patent Number(s)                             |   |  |  |
|   |  |   |  |  |
| ,   |  |   |  |  |
| !   |  |   |  |  |
| j   |  |   |  |  |
|   | ş-   |   |  |  |
|   |  |   |  |  |

|   | —   |                                  |   |  |
|---|---|----------------------------------|---|--|
| Form PTO-1619C  | RECORDATION FOR   | RM COVER SHEET                   | U.S. Department of Commerce   |  |
| Expires 06/30/99  | CONTINU   | UATION                           | Patent and Trademark Office   |  |
| OMB 0651-0027   |   |                                  | PATENT  |  |
|   | PATENT  | S ONLY                           |   |  |
| Conveying Party(ies) attached Enter additional Conveying Parties      | ( )Mark if addition   | nal names of conveying parties   | Execution Date  |  |
| Name (line 1) Arthur T. Sands   |   |                                  | Month Day Year<br>03 03 01  |  |
| Name (line 2)   |   |                                  | Execution Date<br>Month Day Year  |  |
| Name (line 1)   |   |                                  |   |  |
| Name (line 2)   |   |                                  | Execution Date<br>Month Day Year  |  |
| Name (line 1)   |   |                                  |   |  |
| Name (line 2)   |   |                                  |   |  |
| Receiving Party  Enter additional Receiving Party(ies)  Name (line 1) | ( )Mark if additional name  | es of receiving parties attached | ( ) If document to be recorded is<br>an assignment and the<br>receiving party is not<br>domicited in the United States,<br>an appointment of a domestic |  |
| Name (line 2)   |   |                                  | representative is attached. (Designation must be a separate document from   |  |
| Address (line 1)  |   |                                  | Assignment.)  |  |
| Address (line 2)  |   |                                  |   |  |
| Address (line 3) City   | State/Country   | Zip Code                         |   |  |
| Name (line 1)   |   |                                  | ( ) If document to be recorded is<br>an assignment and the  |  |
| Name (line 2)   |   |                                  | receiving party is not domiciled in the United States, an appointment of a  |  |
| Address (line 1)  |   |                                  | domestic representative is attached. (Designation must be a separate document   |  |
| Address (line 2)  |   |                                  | from Assignment.)   |  |
| Address (line 3)  | State/Country   | Zip Code                         |   |  |
|   | Application Number(s) or Patent Number(s) ( ) Mark if additional numbers attached  Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property). |                                  |   |  |
| Patent Application I  | Number(s)   | Patent Number(s)                 | ,   |  |
|   |   |                                  |   |  |

#### ASSIGNMENT OF PATENT APPLICATION

#### FOR GOOD AND VALUABLE CONSIDERATION.

We, the undersigned (each) have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

#### Lexicon Genetics Incorporated

a corporation organized under the laws of the state of as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

#### Novel Human Proteins and Polynucleotides Encoding the Same

described in an application for Letters Patent of the United States, identified as Attorney Docket No. LEX-0071-USA, at Lexicon Genetics Incorporated 4000 Research Forest Drive, The Woodlands, TX 77381, and filed on 10/18/2000, as Application Serial No. 09/691,344, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

We hereby authorize Lance K. Ishimoto, attorney for Assignee, to insert the filing date and Serial number into the first paragraph of this assignment, after the application for Letters Patent has been filed, and the U.S. Patent Office has assigned such application a Serial Number.

We covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have hereunto signed our name(s) on the day and year set forth below.

3/19/01 Gregory Donoho (Inventor's Typed Name) STATE OF TEXAS COUNTY OF On this day of MATCA, 2011, before me personally came the above named Gregory Donoho who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

GRENDA THOMAS otary Public, State of Texas My Commission Expires February 13, 2005

NOTARY PUBLIC

My Commission Expires: 2-13-65

| C- alexand ( home //   | 2 3-7-01  |
|--|---|
| nventor (signature)  | DATE  |
| C. Alexander Turner, Jr.   |   |
| Inventor's Typed Name)   |   |
| STATE OF TEXAS ) ) SS  |   |
| COUNTY OF MONTGOMERY)  |   |
| on this 1th day of March, 200  | , before me personally came the above named <u>C. Alexander Turner, Jr.</u> who is  |
| ersonally known or proved to me on the basis of satisfactory cknowledged to me that he/she executed the same of his/her ow   |   |
| exhowledged to the that hossic executed the same of his her ow   | will the use and purposes therein set form.   |
| MICHELLE KLEIN   | evidence to be the same individual who executed the foregoing assignment, and who on free will for the use and purposes therein set forth.  NOTARY PUBLIC  My Commission Expires: 21305 |
| Notary Public, State of Texas<br>My Commission Expires   | NOTARY PUBLIC   |
| February 13, 2005  | My Commission Expires: 21309  |
|  |   |
|  |   |
|  |   |
|  |   |
| nventor (signature)  | DATE  |
| Michael C. Nehls   | _   |
| Inventor's Typed Name)   |   |
| TATE OF ) SS   |   |
| COUNTY OF )  |   |
| On this,,,,  | , before me personally came the above named Michael C. Nehls who is personally  |
| nown or proved to me on the basis of satisfactory eviden   | ice to be the same individual who executed the foregoing assignment, and who  |
| cknowledged to me that he/she executed the same of his/her ow  | on free will for the use and purposes therein set forth.  |
|  |   |
|  | NOTARY PUBLIC   |
|  | My Commission Expires:  |
|  | nay contamission Expires.   |
|  |   |
| 1. 1   |   |
| Menny usduck   | 1/17/01   |
| nventor (signature)  | DATE  |
| Glenn Friedrich  | <u> </u>  |
| Inventor's Typed Name)   |   |
| TATE OF TEXAS )  |   |
| OUNTY OF HARRIS )  |   |
| on this 17th day of Jan. 20  | O/, before me personally came the above named Glenn Friedrich who is personally   |
| nown or proved to me on the basis of satisfactory eviden   | ace to be the same individual who executed the foregoing assignment, and who  |
| cknowledged to me that he/sheecuted the same of his/her ow   | vn free will for the use and purposes therein set forth   |
| THE THEREST PROPERTY OF THE  | Marik Harres  |
| MARYK SHAVER &   | NOTAKY PUBLIC   |
| NOTARY PUBLIC, STATE OF TEXAS MY COMMISSION EXPIRES  | My Commission Expires: 8-11-03  |
| AUG. 11, 2003  | May Conditional Dayless.  |
| CANAL CONTRACTOR OF THE STATE O |   |

Page 2 of 3

| 3/13/01  |
|--|
| DATE  Brian Zambrowicz  Inventor's Typed Name)   |
| STATE OF TEXAS  ) SS  COUNTY OF MONTGOMERY)  On this   |
| MICHELLE KLEIN Notary Public, State of Texas My Commission Expires February 13, 2005  My Commission Expires:  My Commission Expires:   |
| nventor (signature)  DATE  3/3/01  |
| Arthur T. Sands Inventor's Typed Name)   |
| STATE OF TEXAS ) ) SS COUNTY OF MONTGOMERY )   |
| On this 3 day of March, O, before me personally came the above named Arthur T. Sands who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.  |
| JULIA OGREN MY COMMISSION EXPIRES JULY 23, 2001  NOTARY PUBLIC  NO |

#### ASSIGNMENT OF PATENT APPLICATION

#### FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned (each) have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

#### Lexicon Genetics Incorporated

a corporation organized under the laws of the state of as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

#### Novel Human Proteins and Polynucleotides Encoding the Same

described in an application for Letters Patent of the United States, identified as Attorney Docket No. <u>LEX-0071-USA</u>, at Lexicon Genetics Incorporated 4000 Research Forest Drive, The Woodlands, TX 77381, and filed on <u>10/18/2000</u>, as Application Serial No. <u>09/691,344</u>, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

We hereby authorize Lance K. Ishimoto, attorney for Assignee, to insert the filing date and Serial number into the first paragraph of this assignment, after the application for Letters Patent has been filed, and the U.S. Patent Office has assigned such application a Serial Number.

We covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have hereunto signed our name(s) on the day and year set forth below.

| Inventor (signature) |                           | DATE   |
|----------------------|---------------------------|--|
| Gregory Donoho       |                           |  |
| (Inventor's Typed Na | me)                       |  |
| STATE OF TEXAS       | )<br>) SS                 |  |
| COUNTY OF            | )                         |  |
| known or proved to   | me on the basis of satisf | before me personally came the above named <u>Gregory Donoho</u> who is personally factory evidence to be the same individual who executed the foregoing assignment, and who e of his/her own free will for the use and purposes therein set forth. |
|                      |                           | NOTARY PUBLIC  |
|                      |                           | My Commission Expires:   |

| Inventor (signature)   | DATE  |
|--|---|
| C. Alexander Turner, Jr.   | _   |
| (Inventor's Typed Name)  |   |
| STATE OF TEXAS )   |   |
| ) SS<br>COUNTY OF MONTGOMERY)  |   |
| On this day of,  | , before me personally came the above named <u>C. Alexander Turner, Jr.</u> who is evidence to be the same individual who executed the foregoing assignment, and who we free will for the use and purposes therein set forth. |
|  | NOTARY PUBLIC   |
|  | NOTARI PUBLIC   |
| •  | My Commission Expires:  |
| Inventor (signature)   | 14/2/01<br>DATE   |
| Michael C. Nehls<br>(Inventor's Typed Name)  | _   |
| STATE OF BUNDIA )  |   |
| COUNTY OF Muricl ) SS  |   |
|  | 1, before me personally came the above named Michael C. Nehls who is personally   |
| known or proved to me on the basis of satisfactory evider  | nce to be the same individual who executed the foregoing assignment, and who  |
| acknowledged to me that he/she executed the same of his/her ov   | vn free will for the use and purposes therein set forth.  |
|  | Com-  |
| 6  | NOTARY PUBLIC 6027  |
| TOTAR IN   | My Commission Expires: my west  |
| Marie Friedrich  | 1/12/01   |
| Inventor (signature)   | DATE  |
| Glenn Friedrich (Inventor's Typed Name)  |   |
| STATE OF TEXAS )   |   |
| COUNTY OF HARRIS )   |   |
| On this 17th day of Jan. 20  | . before me personally came the above named Glenn Friedrich who is personally   |
| known or proved to me on the basis of satisfactory evide   | nce to be the same individual who executed the foregoing assignment, and who  |
| acknowledged to me that he/sheexecuted the same of his/her or  | wn free will for the use and purposes therein set forth:  |
| ANNINA TARRANA TARANA T | May K. Houres   |
| MARY K. SHAVER   | NOTARY PUBLIC   |
| NOTARY PUBLIC, STATE OF TEXAS MY COMMISSION EXPIRES AUG. 11, 2003  | My Commission Expires: 8-11-03  |

| Inventor (signature)                           | DATE  |
|--|---|
| Brian Zambrowicz                               |   |
| (Inventor's Typed Name)                        |   |
| STATE OF TEXAS ) SS                            |   |
| COUNTY OF MONTGOMERY)                          |   |
| known or proved to me on the basis of satisfa  | ,, before me personally came the above named <u>Brian Zambrowicz</u> who is personally ctory evidence to be the same individual who executed the foregoing assignment, and who of his/her own free will for the use and purposes therein set forth. |
| •  | NOTADADADA  |
| _  | NOTARY PUBLIC   |
|  | My Commission Expires:  |
|  |   |
|  |   |
| Inventor (signature)                           | DATE  |
| Arthur T. Sands                                |   |
| (Inventor's Typed Name)                        |   |
| STATE OF TEXAS ) ) SS                          |   |
| COUNTY OF MONTGOMERY )                         |   |
| known or proved to me on the basis of satisfac | ,, before me personally came the above named Arthur T. Sands who is personally ctory evidence to be the same individual who executed the foregoing assignment, and who of his/her own free will for the use and purposes therein set forth.         |
|  | NOTARY PUBLIC   |
|  | NOTART FUBLIC   |
|  | My Commission Expires:  |

I hereby certify that the above are the true signatures subscribed in my presence of

Mr. **Dr. Michael Nehls**, born 10/29/1961, of Paul-Keller-Strasse 8, 82131 Stockdorf (Germany), personally known to me.

As stated, the notary, his partner and his deputy were not active on behalf of the deponents off the limits of their professional duties.

Munich, this 02/14/2001

र्रेसर्र सं, द्यांटर हो है

### APOSTILLE

(Convention ce Le Haye du 5 octobre 1961)

| 1. Land: Bundow officer of  | s with the same of |       |         |      |
|-----------------------------|--|-------|---------|------|
| Die enterte vieringe        |  |       |         |      |
| 2. ist week of the s on     | Dr. Voss   | ius   |         |      |
| O. i. seine dicensded a's . |  | Notar | ••••••  |      |
| Notars Dr. Olive            |  |       |         |      |
| München                     | Bestina  | 16.   | Februar | 2001 |

die Präsidentin des Landgerichtes München I 910 a

xxxxx

Peter Selk

PATENT

RECORDED: 04/30/2001

REEL: 011787 FRAME: 0468