

05-16-2001

Docket No.: YOR920000640US1(13908)

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08A/REV02



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ER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

JLY

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 05/03/01
Stephen McConnell Gates
Christopher B. Murray
Satyanarayana V. Nitta
Sampath Purushothaman
Additional names(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):
Name: International Business Machines Corporation
Address: New Orchard Road
City: Armonk State/Prov.: New York
Country: U.S.A. ZIP: 10504
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other
Execution Date: 4/26/01,4/26/01,4/30/01,4/26/01 respectively

4. Application number(s) or registration numbers(s):
If this document is being filed together with a new application, the execution date of the application is: 4/26/01,4/26/01,
Patent Application No. 09/848153 Filing date
B. Patent No.(s) 4/30/01, 4/26/01
respectively
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Steven Fischman
Registration No. 34,594
Address: Scully, Scott, Murphy & Presser
400 Garden City Plaza
(516)742-4343
City: Garden City State/Prov.: New York
Country: U.S.A. ZIP: 11530

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41):.....\$ 40.00
 Enclosed - Any excess or insufficiency should be credited or debited to deposit account
 Authorized to be charged to deposit account
8. Deposit account number:
50-0510/IBM

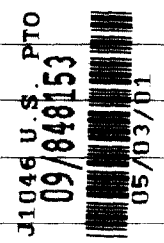
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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Steven Fischman
Name of Person Signing

Steven Fischman
Signature

May 3, 2001
Date

Total number of pages including cover sheet, attachments, and document: 3



ASSIGNMENT

Whereas, We,

INVENTOR AND CITY	(1) Stephen McConnell Gates County of Westchester	of Ossining and State of New York
INVENTOR AND CITY	(2) Christopher B. Murray County of Westchester	of Ossining and State of New York
INVENTOR AND CITY	(3) Satyanarayana V. Nitta County of Dutchess	of Poughquag and State of New York
INVENTOR AND CITY	(4) Sampath Purushothaman County of Westchester	of Yorktown Heights and State of New York

have invented certain improvements in

TITLE ORDERED TWO-PHASE DIELECTRIC FILM, AND
SEMICONDUCTOR DEVICE CONTAINING THE SAME

DATE THAT and executed, a United States patent application
therefor on

DECLARATION WAS SIGNED	(1) <i>April 26</i>	2001, and	(2) <i>April 26</i>	2001, and
	(3) <i>April 30</i>	2001, and	(4) <i>April 26</i>	2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

ASSIGNMENT

CITY (1) at Yorktown Hts., NY Stephen M. Gates
DATE on April 26 2001, (Stephen M. Gates)
FIRST NAME MIDDLE INITIAL LAST NAME

CITY (2) at Yorktown Hts, NY Christopher B. Murray
DATE on April 26 2001, (Christopher B. Murray)
FIRST NAME MIDDLE INITIAL LAST NAME

CITY (3) at East Fishkill NY Nitta
DATE on April 30 2001, (Satyanarayana V. Nitta)
FIRST NAME MIDDLE INITIAL LAST NAME

Yorktown Hts, NY
CITY (4) at Sampath Purushothaman
DATE on April 26, 2001, (Sampath Purushothaman)
FIRST NAME MIDDLE INITIAL LAST NAME