

05-16-2001

FORM PTO-

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

101718427

To the Honorable Commissioner G.

original documents or copy thereof.

1. Name of conveying party(ies):

Nigel Jolley

05/08/01

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: February 21, 2001

2. Name and address of receiving party(ies):

Name: Nortel Networks Limited

Internal Address:

Street Address: World Trade Center of Montreal, 380 St. AntoineStreet West, 8th Floor, Montreal, Quebec Canada H2Y 3Y4Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: February 21, 2001

A. Patent Application No.(s)

09/850760

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William M. Lee, Jr.Internal Address: Lee, Mann, Smith, McWilliams, Sweeney & OhlsonStreet Address: P.O. Box 2786City: Chicago State: Illinois Zip: 60690-2786

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41)

\$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

12-0913

(Attach duplicate copy of this form if paying by deposit account.)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William M. Lee, Jr.

of Person Signing

Signature

May 8, 2001

Date

Name

Total number of pages including cover sheet, attachments and document 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

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ASSIGNMENT

NORTEL NETWORKS LIMITED

For value received, I (we) the undersigned, whose full post office addresses is (are) adjacent my (our) name(s), hereby sell, assign and transfer to

the address of whose registered office is: **NORTEL NETWORKS LIMITED**
World Trade Center of Montreal,
380 St. Antoine Street West, 8th floor,
Montreal, Quebec
Canada H2Y 3Y4

(hereinafter called "Nortel"), its successors, assigns and legal representatives, the entire right, title and interest for all countries, including any and all priority rights, in and to certain inventions relating to

RAMAN Amplification

identified in Nortel's records as and set forth in an application for letters patent of the United States of America Serial Number _____ filed on _____,

and all rights and privileges under any and all letters patent in all countries that may be granted for said inventions, to the intent that any such patent or other intellectual property protection shall be issued to Nortel alone or to its successors, assigns or legal representatives.

I (We) undertake without charge to Nortel but at its request and expense to execute all documents, take all oaths and do all reasonable acts to enable Nortel, its successors, assigns and legal representatives to procure and maintain patent or other intellectual property protection for said inventions in any and all countries and to vest title thereto in Nortel, its successors, assigns or legal representatives.

I (We) agree that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent or the like which may be required in any country for any purpose and more particularly in proof of the irrevocable right of Nortel, its successors, assigns and legal representatives to apply for and maintain patent or other intellectual property protection for said inventions in any and all countries and to claim the benefit of any right of priority.

This Assignment does not form part of a larger transaction or series of transactions in respect of which the amount or value or aggregate amount or value of the consideration exceeds £60,000.

_____ Witness Signature	_____ Christopher Fludger	_____ Date: Assignor
_____ Witness Name (print)	21 Briton Crescent South Croydon, Surrey CR2 0JN United Kingdom	

☒ Additional Assignors on attached Page 2

Michael Biddle
Witness Signature

N E Jolley
Nigel Jolley

Date: 21st February 2001
Assignor

MICHAEL BIDDLE
Witness Name (print)

100 Honeybourne
Hertfordshire, CM23 4EF
United Kingdom

Witness Signature

Date:
Assignor

Witness Name (print)

Witness Signature

Date:
Assignor

Witness Name (print)

Witness Signature

Date:
Assignor

Witness Name (print)