

05-16-2001

Commissioner of Patents &amp;



Washington, D.C. 20231

FORM PTO-1619A

101718464

SHEET

U.S. DEPARTMENT OF COMMERCE

(Rev. 6-99)

## PATENTS ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

## 1. Submission Type

☒ New☐ Resubmission (Non-Recordation)

Document ID # \_\_\_\_\_

☐ Correction of PTO Error

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

☐ Corrective Document

Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

## 2. Name of Conveying Party(ies):

LEE, Myung-Hyun

MIN, Yoo Hong

JU, Jung Jin

PARK, Seung Koo

DO, Jung Yun

Additional Name(s) of conveying party(s) attached? ☐ Yes ☒ No

## 3. Name and Address of Receiving Party(ies):

Name: **ELECTRONICS and TELECOMMUNICATIONS  
RESEARCH INSTITUTE**Street Address: **161 Kajong-dong, Yusong-gu,**City: **Taejon**State/Country: **Korea** Zip: \_\_\_\_\_Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_Execution Date: **03032001**

## 5. Application number(s) or patent number(s):

If this document is being filed together with a new application,

☒ the first execution date of the application is: **03032001**☒ the filing date of the application is: **05082001**

A. Patent Application No.(s)

B. Patent No.(s)

05/10/2001 0517H1B1 00000045 09051145

03 FC:581

40.00 00

6 Name and address of party to whom correspondence concerning this matter should be mailed:

**CUSTOMER NUMBER 00136**

or

**JACOBSON, PRICE, HOLMAN & STERN, PLLC  
400 Seventh Street, N.W.  
Washington, DC 20004  
Tel. 202-638-6666**Attorney Docket No. **P66639US0**

7. Number of applications and patents

**1**8. Total fee (37 CFR 3.41) ..... \$ **40.00**☒ Enclosed☒ Any deficiencies in enclosed fees are authorized to be charged to deposit account **06-1358**.

DO NOT USE THIS SPACE

## 10. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Yoon S. Ham/45,307**

Name of Person Signing/Reg. No.

Signature

**May 8, 2001**

Date

Total number of pages excluding cover sheet:

**-1-**

JC872 U.S. PTO  
09/051145  
05/09/01

# UNITED STATES OF AMERICA—ASSIGNMENT

(1-6) Insert Name(s) of Inventors

- (1) LEE, MYUNG-HYUN
- (2) MIN, YOO HONG
- (3) JU, JUNG JIN
- (4) PARK, SEUNG KOO
- (5) DO, JUNG YUN
- (6) \_\_\_\_\_

In consideration of the sum of one dollar (\$1.00), and other good and valuable consideration to each of the undersigned, the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to assign, transfer and set over to

(7) ELECTRONICS AND TELECOMMUNICATIONS RESEARCH INSTITUTE

(7) Insert Name of assignee

(8) of 161 Kajong-dong, Yusong-gu, Taejon, Korea

(8) Insert Address of Assignee

(9) Insert Legal Entity and State or Country (e.g., a corporation or citizen of Japan)

(9) a Institute of Republic of Korea

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, territories, dependencies and possessions, in the invention known as

(10) insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(10) TUNABLE FABRY-PEROT FILTER AND METHOD FOR FABRICATING THE SAME

(11) Insert Date of Signing of Application, or filing date and Serial No., if known

(11) Said application having been executed/ filed on May 7, 2001 (and a Serial No New Application )

1) The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing or division thereof and also to execute separate assignments in connection with such application as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared application or any continuing or divisional applications thereof and to cooperate with the Assignee in every way possible in obtaining going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection provisions of the International Union for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United State Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letter United States resulting from this application or any continuing or divisional applications thereof to the said Assignee, as Assignee of the and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) no will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the law firm of **Jacobson, Price, Holman & Stern**, 400 Seventh Street, N.W., Washington, power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rule States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s)

Date March 3<sup>rd</sup>, 2001 Name of Inventor LEE, MYUNG-HYUN  
typed name

[Signature] (SEAL)

Date March 3<sup>rd</sup>, 2001 Name of Inventor MIN, YOO HONG  
typed name

[Signature] (SEAL)

Date March 3<sup>rd</sup>, 2001 Name of Inventor JU, JUNG JIN  
typed name

[Signature] (SEAL)

Date 3-3-2001 Name of Inventor PARK, SEUNG KOO  
typed name

[Signature] (SEAL)

Date 3-3-2001 Name of Inventor DO, JUNG YUN  
typed name

[Signature] (SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
typed name

\_\_\_\_\_  
(SEAL)

Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_  
typed name

\_\_\_\_\_  
(SEAL)

This assignment should preferably be signed before a United States Consul if signed abroad, or a Not domestically signed. If not, then the execution by the inventor(s) should be witnessed by at least two witnesses who sign

☐ A additional inventor's names and signatures Witness  
separate sheet.

Witness LEE, HEE-JEONG  
LEE, SE-RIM

Lee Hee Jeong  
Lee Se Rim

LAW OFFICES OF  
**JACOBSON, PRICE, HOLMAN & STERN**  
THE JENIFER BUILDING

PATENT

RECORDED: 05/09/2001

REEL: 011790 FRAME: 0680