

5-10-61

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**ER SHEET** 

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Tadgmarks:	Please record the attached original documents or copy thereof.			
- \(\sigma_{\sigma_0}\)	2. Name and address of receiving party(ies):			
1. Name of conveying party(ies):  John S. Flowers  Thomas C. Stracener	Name: nCircle Network Security, Inc.			
Thomas C. Shacehor	Address: 6550 Vallejo Street, Suite 101			
Additional name(s) of conveying party(ics) attached? _ Yes X No	Emeryville, California 94608			
3. Nature of conveyance:				
X Assignment Merger	Additional name(s) & address(es) attached? Yes _X_ No			
Security Agreement Change of Name				
Other				
Execution Date: <u>04/27/01; 04/27/01</u>				
4. Application number(s) or patent number(s): 09/757,963				
A. Patent Application No.:	B. Patent No(s).:			
Title: INTEROPERABILITY OF VULNERABILITY AND INTRUSION DETECTION SYSTEMS				
Filed Date: January 10, 2001				
Additional numbers atta	ched? Yes _X_ No			
If this document is being filed together with a new application, the execution date of the application is: 04/27/01; 04/27/01				
Name and address of party to whom correspondence concerning document should be mailed:	6. Total Number of applications and patents involved:  1 X \$40.00 each			
Nomes Couch Donone Schwartz	7. Total fee (37 CFR 3.41)\$ 40.00			
Name: Sarah Barone Schwartz	X Check Enclosed			
Address: Fliesler, Dubb, Meyer & Lovejoy	8. Fee Authorization. Authorization is given to charge			
Four Embarcadero Center, Suite 400	any additional fees or credit any overpayment			
San Francisco, CA 94111	to Deposit Account No. 06-1325.  Copy. (A duplicate copy of this authorization is not enclosed.)			
Telephone: _(415) 362-3800				
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
Sarah Barone Schwartz  May 7, 2001				
Attorney (Reg. No.: 40,284) Signature  Date				
10. Total number of pages to be recorded: (1 page cover sheet and page document).				
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Attorney Docket No.: HVWD-01008U\$0 MEM/SBS sbs/hvwd/1008/1008.008.wpd

260.001:082800 Customer No. 23910

## JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

(1)	John S. Flowers		
a resident of	6401 Shellmound Street #6205, Emeryville, California 94608		
(2)	Thomas C. Stracener		
a resident of	6400 Christie Avenue, Apt. #3115, Emeryville, California 94608		

have invented certain new and useful improvements in:

## INTEROPERABILITY OF VULNERABILITY AND INTRUSION DETECTION SYSTEMS

WHEREAS nCircle Network Security, Inc. (formerly Hiverworld, Inc.) (hereinafter termed "Assignee"), a corporation of the State of California, having a place of business at 6550 Vallejo Street, Suite 101, Emeryville, State of California, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.
- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation

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proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgment before the Notary Public as given below and delivered this instrument to said Assignee:

Said application having SC/Serial Number 09/757,963 and filed on the 10th day X of January, 2001. Date of Execution State of \_\_\_\_\_\_\_\_\_ County of Mitchell before me. (name and title of officer) personally appeared John S. Flowers, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official seal. MITCHELL D. LEE COMM. #1222512 NOTARY PUBLIC-CALIFORNIA Signature ALAMEDA COUNTY My Comm. Exp. JUNE 4, 2003

	application having SC/Se inuary, 2001.	rial Number 09/757,96	3 and filed on the <u>10th</u> day
	1	Date of Execution:	4 - 27 - 01
	(	(2) Thomas G. Stra	/ ACEVVV acener
State of	n a	,	
County of	umeda		
On Ap1	בל צפטן before m	e, M. tchell	o, lea,
evidence) to be the perso he/she/they executed the	on(s) whose name(s) is/are subs	scribed to the within instrume ed capacity(ies), and that by	me on the basis of satisfactory ent and acknowledged to me that his/her/their signature(s) on the
WITNESS my hand and	official seal.	74444444444	
Signature	Marting		MITCHELL D. LEE COMM. #1222512 NOTARY PUBLIC-CALIFORNIA ALAMEDA COUNTY My Comm. Exp. JUNE 4, 2003
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**RECORDED: 05/10/2001** 

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