



To the Honorable Commissioner of Patents

101718220

Attached original documents or copy thereof.

1. Name of conveying party(ies):

05/09/01
Andrew C. Gilbert
Andrew Stergiopoulos
William P. TselepisAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): May 8, 2001

2. Name and address of receiving party(ies)

Name: eSPEED, INC.

Internal Address: _____

Street Address: One World Trade Center

City: New York State: NY ZIP: 10048

Country: U.S.A.

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is/are:
May 8, 2001

and the attorney docket number is: CF/033

A. Patent Application No.(s)

09/851848

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fish & Neave

Internal Address: Matthew T. Byrne

Street Address: 1251 Avenue of the Americas

City: New York State: NY ZIP: 10020-1104

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed ☐ Authorized to be charged to deposit account
☒ Charge excess/credit overpayment to deposit account

8. Deposit account number:

06-1075

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Matthew T. Byrne

Name of Person Signing

Signature

05/09/01

Date

Reg. No. 40,934

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231Express Mail Label
No. EI125454795US

A S S I G N M E N T

WHEREAS, we, Andrew C. Gilbert, 5 Scarlet Oak, Califon, New Jersey 07830, Andrew Stergiopoulos, 420 East 55th St., Apt. 7J, New York, New York 10020, and William P. Tselepis, 35 Woodcrest Drive, New Providence, New Jersey 07974, have made an invention in:

SYSTEMS AND METHODS FOR CONTROLLING
TRADERS FROM MANIPULATING
ELECTRONIC TRADING MARKETS

and have made application for United States Letters Patent therefor, the same being executed by us on even data herewith; and

WHEREAS, eSPEED, Inc., a corporation of Delaware having an office and place of business at One World Trade Center, New York, New York 10048, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may be issued thereon;

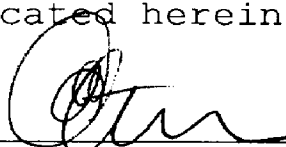
NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) to us paid, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said eSPEED, Inc., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereon for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and any patents which may issue thereon, and

any reissues of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same; and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said eSPEED, Inc., as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed
our names on the date(s) indicated hereinafter.

5/8/01

Date



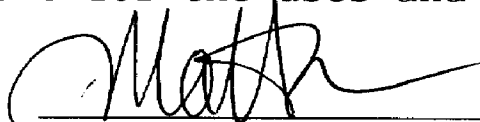
Andrew C. Gilbert

STATE OF NEW YORK)

: ss.:

COUNTY OF NEW YORK)

On this 8th day of May 2001,
Andrew C. Gilbert personally appeared before me and
acknowledged the above to be his signature and that he
signed, sealed and delivered the above instrument as
his voluntary act and deed, and for the uses and
purposes therein set forth.



Notary Public

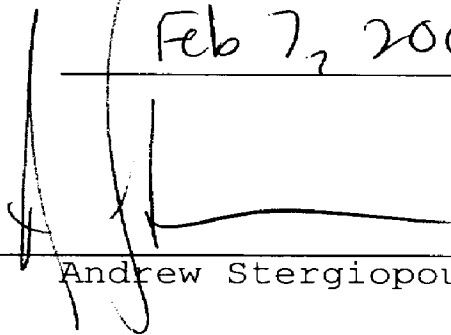
MATTHEW T. BYRNE
Notary Public, State of New York
(Notary No. 02BY6036735)
Qualified in New York County
Commission Expires February 7, 2002

My Commission Expires:

Feb 7, 2002

5.8.01

Date



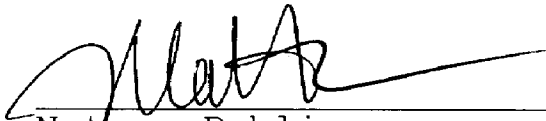
Andrew Stergiopoulos

STATE OF NEW YORK)

: ss.:

COUNTY OF NEW YORK)

On this 8th day of May 2001,
Andrew Stergiopoulos personally appeared before me and
acknowledged the above to be his signature and that he
signed, sealed and delivered the above instrument as
his voluntary act and deed, and for the uses and
purposes therein set forth.



Notary Public

MATTHEW T. BYRNE
Notary Public, State of New York
(Notary No. 02BY6036735)
Qualified in New York County
Commission Expires February 7, 2002

My Commission Expires:

Feb 7, 2002

5-8-01

Date

William P. Tselepis

William P. Tselepis

STATE OF NEW YORK)

: ss.:

COUNTY OF NEW YORK)

On this 8th day of May 2001,
William P. Tselepis personally appeared before me and
acknowledged the above to be his signature and that he
signed, sealed and delivered the above instrument as
his voluntary act and deed, and for the uses and
purposes therein set forth.



Notary Public

MATTHEW T. BYRNE
(Notary Public, State of New York)
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