

FORM PTO-1619A Expires 06/30/99 OMB 0651-0027

40.00.03

101718525

U.S. Department of Commerce Patent and Trademark Office PATENT

			P <sub>T</sub>	
RECORDATION FORM COVER SHEET				
PATENTS ONLY				
TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(less see Submission Type Conveyance Type				
X New	05/04/01	)	y Agreement	
Resubmission Document ID	n (Non-Recordation) #	License Change	e of Name	
Correction of		Merger Other		
Reel # Corrective Do	Frame #	U.S. Govern (For Use ONLY by U.S. Go	<i>nment</i> vernment Agencies)	
Reel #	Frame #	Departmental File	<del></del>	
Conveying Par	ty(ies)	Mark if additional names of conveyi	ing parties attached Execution Date	
Name (line 1)	lly R. Bedford		03/15/2001	
Name (line 2)				
Second Party			Execution Date Month Day Year	
Name (line 1) Tin	Timothy M. Waters 04/26/2001			
Name (line 2)				
Receiving Part	У	Mark if additional na	ames of receiving parties attached	
	<b>y</b> cerpillar Inc.	Mark if additional n	If document to be recorded is an assignment and the	
Name (line 1) Cat			If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment	
Name (line 1) Cat	cerpillar Inc.		If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached.	
Name (line 1) Cat	cerpillar Inc.		If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic	
Name (line 1) Cat  Name (line 2) Int  Address (line 1) 100  Address (line 2)	cerpillar Inc.	artment	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 1) Cat  Name (line 2) Int  Address (line 1) 100  Address (line 2) Address (line 3) Ped	cerpillar Inc.  cellectual Property Dep  N.E. Adams Street	artment  Illinois State/Country	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from	
Name (line 1) Cat  Name (line 2) Int  Address (line 1) 100  Address (line 2) Address (line 3) Ped	cerpillar Inc. cellectual Property Dep O N.E. Adams Street	artment  Illinois State/Country	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 1) Cat  Name (line 2) Int  Address (line 1) 100  Address (line 2) Address (line 3) Ped	cerpillar Inc.  cellectual Property Dep  N.E. Adams Street	Illinois State/Country	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 1) Cat Name (line 2) Int Address (line 1) 100 Address (line 2) Address (line 3) Peo Domestic Repr	cerpillar Inc.  cellectual Property Dep  N.E. Adams Street	Illinois State/Country	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 1) Cat Name (line 2) Int Address (line 1) 100 Address (line 2) Address (line 3) Peo Domestic Repr	cerpillar Inc.  cellectual Property Dep  N.E. Adams Street	Illinois State/Country	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 1) Cat Name (line 2) Int Address (line 1) 100 Address (line 2) Address (line 3) Peo Domestic Repr Name Address (line 1)	cerpillar Inc.  cellectual Property Dep  N.E. Adams Street	Illinois State/Country	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 1) Cat Name (line 2) Int Address (line 1) 100 Address (line 2) Address (line 3) Pec Domestic Repr Name Address (line 1) Address (line 2)	cerpillar Inc.  cellectual Property Dep  N.E. Adams Street	Illinois State/Country	If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

PATENT REEL: 011791 FRAME: 0609

<del></del>				
FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT		
Correspondent Nam	ne and Address  Area Code and Telephone Number (309)	675-5124		
Name Calvin I	E. Glastetter			
Address (line 1) Caterpil	lar Inc.			
Address (line 2) Intelled	ctual Property Department			
Address (line 3) 100 N. I	3. Adams Street			
Address (line 4) Peoría,	IL 61629-6490			
_	total number of pages of the attached conveyance document g any attachments.	# 2		
<b>Application Number</b>	(s) or Patent Number(s) Mark if additiona	al numbers attached		
Enter either the Patent App	olication Number or the Patent Number (DO NOT ENTER BOTH numbers for the s	ame property).		
Patent Applic	ation Number(s) Patent Numb	oer(s)		
09/849805				
		Month Day Year		
signed by the first named exe	together with a <u>new</u> Patent Application, enter the date the patent application was cuting inventor.	Month Day Year 03/15/2001		
Patent Cooperation	Treaty (PCT)			
•	PCT PCT PCT	PCT		
		1		
has not been	1011	PCT		
Number of Propertie	S Enter the total number of properties involved. # 1			
Fee Amount	Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.0	0		
Method of Paymer Deposit Account	nt: Enclosed Deposit Account X			
(Enter for payment by deposit account or if additional fees can be charged to the account.)				
Docket No. 00-420	Deposit Account Number: # 03-1	129		
DOCKEC NO. 00-420	Authorization to charge additional fees: Yes	X No		
Statement and Signa	ture			

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Calvin E. Glastetter

05/04/2001

Name of Person Signing

Date

## **ASSIGNMENT**

WHEREAS, Billy R. Bedford and Timothy M. Waters, residing respectively at Peoria, Illinois and Peoria, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

## METHOD OF FORMING A SEAL ASSEMBLY AROUND A SHAFT

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on 3/15/2001 and 4/26/2001; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

- 1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- 3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

PATENT REEL: 011791 FRAME: 0611

Billy R. BEDFORD	Mar 15, 2001  Date
IN WITNESS OF the execution hereof by BILI	LY R. BEDFORD we have hereunto set our hands.
Witness (1) Date	Byan Etapa 3-15-01 Witness (2) Date
Address: 1300 Highview RD	Address: 3013 Woodbine Tor. #24
E. Peoria, IL 61611	Peoria, IL 6/604
TEM M. WATERS	4/26/01 Date
IN WITNESS OF the execution hereof by TIMO  Witness (1)  Date	OTHY M. WATERS we have hereunto set our hands  [ Llon W. Branch 9 4/26/0]  Witness (2) Date
Address: 1095 Wapper Spring Bayfle E. Gracia, SK 41611	Address: 220 E. Lakewood

RECORDED: 05/04/2001

PATENT REEL: 011791 FRAME: 0612